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(71) Applicant (for all designated States except US): **NUTRI PHARMA DANMARK HOLDING A/S [DK/DK]; Kongens Nytorv 22, 2nd, DK-1050 Copenhagen K (DK).**

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(72) Inventor; and

(75) Inventor/Applicant (for US only): **HØIE, Lars, Henrik [NO/GB]; 8 Blenheim Road, St. Johns Wood, London NW8 0LU (GB).**

(74) Agent: **BUDDE, SCHOU & OSTENFELD A/S; Vester Søgade 10, DK-1601 Copenhagen V (DK).**

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(54) Title: COMPOSITION COMPRISING SOY AND USE THEREOF IN THE PREVENTION AND/OR TREATMENT OF VARIOUS DISEASES

(57) Abstract: The invention concerns soy protein, phytoestrogens, phospholipids, and dietary fibers and compositions thereof suitable for preventing, treating and/or alleviating cardiovascular diseases such as hypercholesterolemia, hypertriglyceridemia, hyperlipidemia, arteriosclerosis, hypertension and related cardiovascular diseases, for preventing and/or treating type 2 diabetes and/or the metabolic syndrome, and for preventing, treating and/or alleviating pulmonary diseases.

TITLE: Composition comprising soy and use thereof in the prevention and/or treatment of various diseases.

## FIELD OF THE INVENTION

- 5    The present invention relates to soy protein, phytoestrogens, phospholipids, and dietary fibers and compositions thereof suitable for preventing, treating and/or alleviating cardiovascular diseases such as hypercholesterolemia, hypertriglyceridemia, hyperlipidemia, arteriosclerosis, hypertension and related cardiovascular diseases, for preventing and/or treating type 2 diabetes and/or the metabolic syndrome, and for preventing, treating and/or alleviating pulmonary diseases. The present invention also pertains to the use of such compositions in the prevention and/or treatment of a cardiovascular disease in a subject suffering from type 2 diabetes
- 10
- 15    A composition according to the present Invention is particularly useful in preventing and/or reducing the influx of triglycerides and/or cholesterol into the arterial wall and/or reducing the accumulation of cholesterol in the arterial wall of subjects at high risk for developing cardiovascular disease or subjects already suffering from a cardiovascular disease such as atherosclerosis or diabetic subjects. A composition according to the present invention is also useful for lowering serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides and/or homocysteine and/or for increasing serum levels of HDL-cholesterol and/or for improving the serum HDL/LDL-ratio in subjects at risk for developing cardiovascular diseases and in subjects already suffering from an arteriosclerotic condition such as e.g. atherosclerosis or a related cardiovascular disease. A composition according to the present invention is also useful in lowering serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides and/or glucose and/or increasing serum levels of HDL-cholesterol in diabetic subjects. A composition according to the present invention is also useful in treating e.g. chronic obstructive pulmonary disease (COPD), inflammation of the airways, asthma, bronchoconstriction, bronchitis, and small airways disease.
- 20
- 25
- 30

In addition the present invention relates to the use of these compositions as a medicament and/or in the manufacture of a medicament for treating a subject suffering from cardiovascular diseases, more particularly hypercholesterolemia, hypertriglyceridemia, hyperlipidemia, arteriosclerosis, hypertension and/or related

35

- cardiovascular diseases. The present invention also relates to the use of these compositions as a medicament and/or in the manufacture of a medicament for treating type 2 diabetes and/or the metabolic syndrome and/or a cardiovascular disease in a subject suffering from type 2 diabetes. Furthermore, the present invention also relates
- 5 to the use of these compositions as a medicament and/or in the manufacture of a medicament for treating a subject suffering from a pulmonary disease, more particularly chronic obstructive pulmonary disease (COPD), inflammation of the airways, asthma, bronchoconstriction, bronchitis, and/or small airways disease.
- 10 The present invention also concerns use of a composition according to the present invention in the prevention and/or treatment of said diseases and disorders and for lowering serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides and/or homocysteine in subjects. In addition, the present invention also provides methods for preventing, treating, prophylactically treating and/or alleviating by therapy
- 15 said diseases and disorders.

## BACKGROUND OF THE INVENTION

- Lipid metabolism involves biosynthesis and degradation of e.g. fatty acids, triglycerides, phospholipids, i.e. phosphoglycerides, and cholesterol. Ingested triglycerides are hydrolyzed in the small intestine and hydrolysis products are
- 20 absorbed by the intestinal mucosa. Due to the relative insolubility of dietary lipids in water, lipid digestion and absorption is facilitated by the action of detergent substances such as bile acids secreted from the gallbladder. Bile acids are essential for lipid digestion and absorption through the intestinal mucosa.
- 25 Triglycerides and cholesterol synthesized in the liver are transported in the bloodstream to peripheral tissues by transport proteins called lipoproteins. Lipoproteins are tiny vesicles coated by apoproteins, phospholipids and free cholesterol and with an interior consisting of the more hydrophobic lipids, cholesteryl esters and triglycerides. Apoproteins and lipoproteins are primarily synthesized in the
- 30 liver. The lipoproteins are capable of performing an apoprotein mediated binding to a receptor on the surface of a cell into which the entire lipoprotein particle is taken up and further metabolized.

Several different families of lipoproteins have been characterized and are traditionally

35 classified by their density as determined by centrifugation. A standard lipoprotein

classification scheme may include in increasing order of density, very low-density lipoprotein (VLDL), intermediate-density lipoprotein (IDL), low-density lipoprotein (LDL), and high-density lipoprotein (HDL).

- 5 VLDL contains approximately 60 to 65 percent triglycerides and 5 to 10 percent cholesterol, lecithin and protein. They are relatively large and function in the transport of triglycerides from the liver to tissue. LDL contains approximately 40 to 50 percent cholesterol and 10 to 15 percent triglycerides, lecithin and protein. They are somewhat smaller than VLDL and also function in the transport of cholesterol from the liver to  
10 tissue. HDL contains roughly 75 percent lecithin and protein, while the rest is made up of cholesterol and a small amount of triglycerides. They function in the transport of cholesterol from tissue to the liver and, as such, have the opposite function of LDL. Cholesterol esters cannot readily traverse cellular membranes and are taken up by cells in a receptor-mediated process. Once bound to the LDL receptor, the LDL-  
15 particle is internalized by means of endocytosis, and cholesterol and fatty acids are released and further metabolized.

- Ongoing investigations of the LDL receptor mediated internalization of cholesterol have generated a better understanding of the relationship between dietary cholesterol,  
20 plasma cholesterol levels, and the condition of atherosclerosis. It is believed that the white blood cells that accumulate cholesterol at sites of arterial injury contain a receptor termed a scavenger receptor. Like the LDL receptor, this scavenger receptor acts by the mechanism of endocytosis and mediates internalization of various extracellular materials. However, the scavenger receptor is indiscriminatory and takes  
25 up many different types of extracellular materials including oxidized LDL particles containing cholesterol. In contrast to the LDL receptor, the scavenger receptor is not down-regulated by a high concentration of cholesterol in the cell.

- In addition to the above-mentioned lipoproteins, the organism also contains a type of  
30 lipoproteins called chylomicrons. Chylomicrons contain 90 to 95 percent triglycerides and only a small amount of cholesterol, lecithin and protein, and they function in the transport of triglycerides from the small intestine to e.g. muscles, liver and heart.

- 35 Phosphoglycerides, i.e. phospholipids derived from glycerol, consists of a glycerol backbone, two fatty acid side chains, and a phosphorylated alcohol. The fatty acid chains in phosphoglycerides usually contain an even number of carbon atoms,

typically between 14 and 24, with the 16- and 18-carbon fatty acids being the most common. In animals, including humans, the hydrocarbon chain in fatty acids is unbranched and may be saturated or unsaturated.

- 5    In the simplest phosphoglyceride, phosphatidate, the hydroxyl groups at C-1 and C-2 of glycerol are esterified to the carboxyl groups of two fatty acid chains, and the C-3 hydroxyl group of the glycerol backbone is esterified to phosphoric acid. Only small amounts of phosphatidate exist in the organism. However, it is a key intermediate in the biosynthesis of other phosphoglycerides and the major phosphoglycerides are
- 10    derivatives of phosphatidate. In this respect the phosphate group of phosphatidate becomes esterified to the hydroxyl group of one of several alcohols. The common alcohol moieties of phosphoglycerides are serine, ethanolamine, choline, glycerol and inositol, and thus the principal phosphoglycerides include phosphatidyl serine, phosphatidyl ethanolamine, phosphatidyl choline, phosphatidyl glycerol and
- 15    phosphatidyl inositol.

- The metabolism of cholesterol in the human organism is closely linked to the synthesis, transport and degradation of triglycerides. As well cholesterol as phosphoglycerides are essential lipid component in all mammalian cells. They are
- 20    used to regulate the fluidity of cellular membranes and serve as precursors for certain hormones, signal molecules, vitamins and bile acids. Cholesterol is synthesized in the liver and is transported with the blood to peripheral tissues by lipoproteins. The liver has a dual function in the metabolism of cholesterol since it is capable of both synthesizing cholesterol and converting surplus cholesterol into bile acids. It is also
  - 25    capable of excreting cholesterol into the bile. Phospholipids have been speculated to be involved in the transport of triglycerides through the liver, especially during mobilization from adipose (fatty) tissue. Because of their high concentration in the cell membranes, it is most likely they are involved in the transport of hydrophobic constituents into and out of cells.
  - 30    Bile acids have ampholytic characteristics and contain both hydrophobic and hydrophilic surfaces. This ampholytic character facilitates a bile acid mediated emulsification of lipids into micelles. The formation of micelles allows digestive attacks by water-soluble enzymes and facilitates lipid absorption through the mucosal cells of
  - 35    the intestine. Bile acids are secreted from the liver and stored in the gallbladder before being passed through the bile duct and into the intestine. Biosynthesis of bile acids

- represents a major metabolic fate of cholesterol and accounts for more than half of the approximately 800 mg cholesterol that is normally metabolized per day in a normal adult. Even though bile acids in an amount of 400 mg are synthesized each day, significantly more than this amount is secreted into the intestine. Most of the bile acids
- 5 that are secreted into the upper small intestine are absorbed in the lower small intestine and are recycled to the liver. The process of enterohepatic circulation may amount to as much as 20 to 30 g of bile acids per day. In contrast, daily elimination of bile acids in the feces amounts to just 0.5 g or less.
- 10 Cholesterol acts on three different levels of regulation of its own synthesis. Firstly, it suppresses endogenous cholesterol synthesis by inhibiting HMG-CoA reductase. Secondly, it activates acyl-CoA:cholesterol acyltransferase (ACAT) which is involved in the synthesis of cholesterol esters from cholesterol and fatty acids bound to acyl-CoA. Thirdly, cholesterol regulates synthesis of the LDL receptor. Accordingly, a decreased
- 15 synthesis of LDL receptors will ensure that a cell in which a sufficient amount of cholesterol is already present does not take up cholesterol. This may explain why excessive dietary cholesterol generates a rapid elevation of cholesterol levels in the blood.
- 20 The presence of increased amounts of cholesterol in the blood is known to be positively correlated to arteriosclerosis, a condition commonly attributed to the deposition on the inner lining of an arterial wall of plaque in the form of cholesterol and fats. Arteriosclerosis is a common term for a group of conditions related to the arterial system and leading to an increased arterial wall thickness and a subsequent loss of
- 25 elasticity. Three main groups of arteriosclerosis frequently referred to are atherosclerosis, Mönckeberg's mediasclerosis and arteriolosclerosis. Atherosclerosis is most frequently observed in the aorta and in the main arteries connected thereto, in the coronary arteries and in the arteries of the brain. Mönckeberg's mediasclerosis leads to a narrowing of the media of the arteries of the extremities, and
- 30 arteriolosclerosis is related to a narrowing of the small arteries and arterioles caused mainly by hypertension. Other arteriosclerotic manifestations include hyperlipidemia, hypercholesterolemia, hypertriglyceridemia, hyperglycemia, hypertension, and hyperinsulinemia
- 35 One commonly occurring arterial condition is that of atherosclerotic cardiovascular disease. The condition may eventually progress through several stages. A normal

structure of an artery is characterized by discrete focal numbers of adhering monocytes, some intimal foam cells, and some intimal smooth muscle cells, or intimal cell masses at bifurcations. A fatty streak may occur non-symptomatically and involve a layer of foam cells. As arteriosclerosis progresses, the cells making up the inner wall of an artery will gradually start to harden due to the deposition of lipid and calcium and proliferation of smooth muscle cells, and the cells may eventually become degenerated. As the wall of an artery thickens, hardens, and lose its elasticity during arteriosclerosis, the blood vessels may develop twists and turns and become narrowed so that the heart must work harder to pump the usual amount of blood through the arteries. The condition may regress or it may evolve into a formation of e.g. fibrous plaque. Fibrous plaque is a slowly reversible condition that may develop further into a complicated lesion.

The cellular degeneration is likely to result in a fracture of the arterial wall which in turn leads to the formation of a deposit of calcium, platelet formation and a gradual development of scar tissue further contributing to both cellular degeneration and a substantially reduced elasticity of the arterial wall. Atherosclerosis characterized by a restricted flow of blood through a coronary artery may lead to the development of coronary heart disease. A complicated lesion of an artery is often symptomatic, hardly reversible and may, in severe cases such as thrombosis, be lethal. A decreased flow of blood through an artery may lead to the formation of blood clots and this may eventually lead to thrombosis. If a blood clot forms in a coronary artery, the interruption of the blood flow may result in the death of part of the heart muscle and cause the extremely painful chest pains associated with a heart attack.

Arteriosclerotic symptoms largely depend on the arteries and tissue affected. When arteriosclerosis occurs in the arteries leading to the brain, the decrease in blood flow and oxygen can cause mental confusion and personality changes. A stroke may occur, if an artery in the brain that has been weakened by a rupture or a blood clot prevents blood from flowing to the brain. This may possibly result in e.g. partial paralysis, loss of speech, and sometimes even death. A decrease in the flow of blood through the coronary arteries results in a shortage of oxygen to the heart muscle and causes chest pains and a painful condition called angina pectoris. Angina pectoris is usually caused by a narrowing or an obstruction of a coronary artery. An attack of angina pectoris may be caused by stress or result from physical activities that require an increased supply of blood to the heart.

Although it is well established that cholesterol, lipids and lipoproteins all contribute to the progression of various arteriosclerotic conditions in diabetic and non-diabetic subjects alike, little is known about the causes of arteriosclerosis. Hereditary

- 5 conditions clearly play a role in some cases and several socio-economical and life style related factors such as smoking, hypertension, dietary habits and continual stress also contribute to the development of arteriosclerosis.

- There is at present no simple cure or medical treatment for arteriosclerosis and
- 10 doctors usually advise patients to follow a low fat diet, to stop smoking and to exercise regularly. Patients suffering from hypercholesterolemia may be classified into four risk groups: (i) manifest coronary artery disease, (ii) other forms of atherosclerotic vascular disease, (iii) other risk factors for coronary artery disease in the absence of established atherosclerotic cardiovascular disease, and (iv) isolated
- 15 hypercholesterolemia in the absence of other risk factors. The recommended treatment regimen for risk group (iv) is to give general advice together with the laboratory results to those patients having a total cholesterol level of 5.0 – 6.4 mmol/l, without any further follow-up. To patients with cholesterol levels in the range of 6.5 – 7.9 mmol/l and LDL levels > 5.0 mmol/l or an LDL/HDL ratio > 5.0, only non-
- 20 pharmacological treatment is offered.

- Drug treatment of cardiovascular diseases may include the use of calcium channel blockers to expand the arteries so that blood can flow more freely, and anticoagulants to prevent blood clots from forming in diseased arteries. Some studies indicate that
- 25 compounds such as acetylsalicylic acid and sulphapyrazone, which may reduce and/or inhibit clotting by reducing platelet reactivity, may also prevent formation of a thrombus. In advanced cases, surgery to replace diseased blood vessels with grafts of healthy arteries may be necessary. Furthermore, an ether phospholipid, i.e. the 1-alkyl-2-acetyl analog of phosphatidyl choline, has been advocated to play an important
- 30 role in various arteriosclerotic conditions in diabetic and non-diabetic subjects alike. This compound, known as platelet-activating factor, apart from promoting the aggregation of platelets also causes the dilation of blood vessels even in very low concentration (0.1 nM).
- 35 Also, various lipid-lowering drugs have been advocated, as some studies have shown or indicated that even for otherwise healthy patients suffering from mild or moderate

hypercholesterolemia, coronary morbidity and mortality is reduced when they are treated with such lipid-lowering drugs. The most widely used lipid-lowering drugs in recent years have been statins, such as HMG-CoA-reductase-inhibitors, bile acid resins, fibrates, nicotinic acid derivatives and various fish oil concentrates with a high 5 content of  $\omega$ -3-fatty acids.

Furthermore also treatments using niacin alone or in combination with a statin has been advocated to have an effect on cardiovascular events, progression/regression of coronary lesions and hypercholesterolemia. However, the dosages currently 10 applicable are well above the recommended daily intake values, i.e. 18-20 mg/day, and adverse side effects have been reported to arise, when using the amounts of niacin presently shown to have an effect, i.e. 500-2000 mg/day.

Many cardiovascular risk factors are abnormally high in individuals suffering from type 15 2 diabetes and these individuals are consequently at an increased risk of developing various arteriosclerotic vascular diseases. At the time of diagnosis of type 2 diabetes, the existence of arteriosclerotic manifestations is already pronounced in many individuals and may include hypercholesterolemia, hypertriglyceridemia, hyperlipidemia, hyperglycemia, hypertension, and hyperinsulinemia.

20 In type 2 diabetes, an impaired insulin secretion as well as decreased insulin sensitivity is present. As a result of this, glucose is present in excessive amounts in the bloodstream in association with either low, normal or even high insulin levels.

25 Serum levels of glucose vary quite significantly depending on the nutritional status of a subject. Following a dietary intake rich in glucose containing carbohydrates, several homeostatic mechanisms are capable of promoting glucose uptake into cells as well as facilitating the metabolism of glucose leading e.g. to the synthesis of glycogen in the liver and muscles. When glucose levels subsequently fall some time after a meal, 30 other regulatory mechanisms initiate the release of glucose from glycogen and initiate gluconeogenesis in order to maintain the blood glucose levels within the required limits.

Some homeostatic mechanisms are dependent on the action of hormones, and the 35 most important hormone promoting glucose uptake and metabolism is insulin. In contrast, other hormones such as e.g. glucagon and epinephrine act antagonistically

and facilitate increased blood glucose levels. Insulin is synthesized in pancreatic  $\beta$  cells and secreted in response to e.g. increased levels of blood glucose.

- Once secreted into the bloodstream, insulin acts in several processes to promote i) uptake of metabolizable substrates into certain cells, ii) storage of lipids and glycogen, and iii) biosynthesis of macromolecules such as nucleic acids and proteins. More specifically, the action of insulin results in i) an increased uptake of glucose in muscles and adipose tissues, ii) activation of the glycolytic pathway in the liver, iii) an increased synthesis of fatty acids and triglycerides in the liver and adipose tissues, iv) inhibition 10 of lipolysis, v) inhibition of gluconeogenesis in liver, vi) an increased glycogen synthesis in liver and muscle tissue, vii) stimulation of amino acid uptake, viii) an increased protein synthesis in muscles, and ix) inhibition of proteolysis.

- The blood glucose elevation occurring shortly after an intake of a meal rich in 15 carbohydrates stimulates the secretion of insulin and concomitantly suppresses the secretion of glucagon. The combined effect thereof is a promotion of uptake of glucose into the liver, stimulation of glycogen synthesis and suppression of glycogen breakdown. When blood glucose levels subsequently begin to fall, the above events are reversed. A decreased secretion of insulin and an increased secretion of glucagon 20 lead to the breakdown of glycogen in the liver and triglycerides in adipocytes. Triglycerides are converted into fatty acids that are used by hepatic and muscle tissues. At the same time, the decreased insulin levels serve to reduce glucose utilization by hepatic, muscle and adipose tissues.
- 25 Type 2 diabetes accounts for approximately 80-90% of all diabetes cases and is arguably the fastest growing global threat to public health. Left unchecked, the current trend has been estimated to result in 215 million sufferers from type 2 diabetes worldwide by the year 2010.
- 30 Various clinical studies have implicated obesity as a risk factor for type 2 diabetes although the underlying mechanism for its role in the pathogenesis of the disease is still unclear. Obesity amongst people, who subsequently develop type 2 diabetes, as well as those with existing type 2 diabetes, is associated with an increased hepatic output and reduced glucose utilization by peripheral tissues, such as e.g. muscles.
- 35 Fatty acid metabolism is increased in both obesity and in type 2 diabetes, and this may affect glucose utilization by interfering with the actions of insulin.

The development of type 2 diabetes is progressive and likely to be a culmination of pathophysiological changes occurring over many years. In most cases, the subject is unaware of the disease process, particularly in the early stages. The first stage of the

5 disease is thought to be initiated due to a resistance to insulin. Insulin resistance is strongly associated with, and probably a major contributor to, the disease eventually entering the diabetic state. The insulin resistance stage is characterized by reduced sensitivity to insulin, as the cells normally stimulated by insulin are less sensitive to the hormone. The next stage of the disease is that of impaired glucose tolerance (IGT).

10 IGT follows from a continued increase in insulin resistance, i.e. a continued decrease in insulin sensitivity. Impaired glucose tolerance is formally defined as a fasting venous plasma glucose concentration < 7.0 mmol/l (126 mg/dl) and a two-hour venous plasma value after 75 gram oral glucose intake  $\geq 7.8$  ( $\geq 140$ ) and < 11.1 mmol/l (< 200 mg/dl). When glucose concentration  $\geq 11.1$  mmol/l, i.e. a level indicative of type 2

15 diabetes, the risk of developing specific diabetic complications is greatly enhanced. However, IGT and type 2 diabetes are both associated with a 2-4 fold increase in the burden of cardiovascular diseases. The World Health Organization (WHO) glycaemic criteria have been applied in a number of studies of IGT. These studies have determined the rate of progression to type 2 diabetes - 5 to 10 years after detection of

20 IGT - at between 19% and 61%.

The final phase of type 2 diabetes development is characterized by insulin secretory failure (ISF). In this stage of type 2 diabetes, the insulin secretory response is inadequate. It is believed that this results from impairment of the pancreatic  $\beta$ -cell functions and/or the inability of  $\beta$ -cells to secrete sufficient amounts of insulin to compensate for the increased insulin resistance. It is to be understood that all of the above mentioned phases in the development of type 2 diabetes leading to overt diabetes will be considered as being comprised by the term type 2 diabetes as used herein. Accordingly, a diagnosis of impaired glucose tolerance and/or reduced insulin sensitivity will be understood to relate to an individual also diagnosed as suffering from type 2 diabetes, or a condition, including any precondition, leading to type 2 diabetes.

The progression from normal glucose tolerance (NGT) to impaired glucose tolerance (IGT) is characterized by i) an increasing insulin resistance or a decreasing insulin sensitivity and ii) gradual increases in both fasting and glucose-stimulated plasma insulin levels. As IGT gradually progresses to a mild fasting hyperglycemia, there may

be a further small increase in insulin resistance in both fasting and glucose-stimulated insulin release. However, in this situation, further increases in the rate of insulin secretion are no longer sustained and overt fasting hyperglycemia and increased post-load glucose intolerance start to emerge.

5

Blood glucose may be monitored directly or by measuring e.g.

HbA<sub>1c</sub>/glycohaemoglobin in blood. The measurement of HbA<sub>1c</sub>/glycohaemoglobin in blood has become the gold standard for the long-term control of the Glycaemic state of diabetic patients as presented in the DCCT (The Diabetes Control and Complications

10 Trial) and UKPDS (Association of glycaemia with macrovascular and microvascular complications of type 2 diabetes) studies [The Diabetes Control and Complications Trial Research Group: The effect of intensive treatment of diabetes on the development and progression of long term complications in insulin-dependent diabetes mellitus. N Engl J Med 1993; 329:977-86, Stratton IM, Adler AL, Neil HA, Matthews

15 DR, Manley SE, Cull CA, et al. Association of glycaemia with macrovascular and microvascular complications of type 2 diabetes (UKPDS 35): prospective observational study. Br Med J 2000; 321:405-11 and Jeppsson JO, Kobold U, Barr J, Finke A, Hoelzel W, Hoshino T, Miedema K, Mosca A, Mauri P, Paroni R, Thienpont L, Umemoto M, Weykamp C; Approved IFCC reference method for the measurement of

20 HbA<sub>1c</sub> in human blood. Clin Chem Lab Med 2002; 40 (1):78-89].

Red blood cells contain haemoglobin. Adult haemoglobin (HbA) is a protein, containing two α and two β chains. The glycosylation takes place at the N-terminal group of the β-chain at the free amino group of the amino acid valin. Glycosylated haemoglobin is called HbA<sub>1</sub>, the unglycosylated fraction which is the main part with about 90% of HbA, is called HbA<sub>0</sub>. Several sugars can bind to the HbA<sub>1</sub>, e.g. binding of fructose-1,6-diphosphat leads to HbA<sub>1a1</sub>, binding of fructose-6-phosphat leads to HbA<sub>1a2</sub> [Poncker, E. Bedeutung des HbA1c, DMW 2001; 126: 608-609]. Binding of glucose (in the following it is referred as sugar) to haemoglobin produces 'glycosylated haemoglobin', called haemoglobin A1C or HbA<sub>1c</sub>. The more sugar is in the blood, the more haemoglobin A1C or HbA<sub>1c</sub> will be present in the blood.

The HbA<sub>1c</sub> test takes advantage of the lifecycle of red blood cells. Although constantly replaced, individual red cells live for 8 -12 weeks before they are replaced. Measuring the HbA<sub>1c</sub> can tell you how high your blood sugar has been on average over the last 8-

12 weeks. A normal non-diabetic HbA<sub>1c</sub> is 3.5-5.5% (this varies between hospitals). In diabetes 4-6% is acceptable [Kinshuck,D.J. Diabetikretinopathy.org.uk].

- The hemoglobin A1c test (also called HbA<sub>1c</sub>) is a simple lab test that shows the
- 5    average amount of sugar that has been in the blood of a person over the last 3 months. The hemoglobin A1c test shows whether a person's blood sugar is close to normal or is too high. It is the best test for a health care provider to tell if a person's blood sugar is under control.
- 10   Sugar in the bloodstream can become attached to the hemoglobin (the part of the cell that carries oxygen) in red blood cells. This process is called glycosylation. Once the sugar is attached, it stays there for the life of the red blood cell, which is about 120 days. The higher the level of blood sugar, the more sugar attaches to red blood cells. The hemoglobin A1c test measures the amount of sugar sticking to the hemoglobin in
- 15   the red blood cells. Results are given in per cent. Because of its "memory effect" this measure is more reliable than even repeated individual measurements of blood glucose.
- 20   The findings of a major diabetes study, the Diabetes Control and Complications Trial (DCCT), have shown just how important the hemoglobin A1c test is. The study showed that lowering the hemoglobin A1c can delay or prevent the development of serious eye, kidney, and nerve disease in people with diabetes. The study also showed that lowering hemoglobin A1c levels by any amount improves a person's chances of staying healthy.
- 25   The hemoglobin A1c goal for people with diabetes is less than 7 per cent. The DCCT findings showed that people with diabetes who keep their hemoglobin A1c levels close to 7 per cent have a much better chance of delaying or preventing diabetes problems that affect the eyes, kidneys, and nerves than people with hemoglobin A1c levels of 8
- 30   per cent or higher. A change in treatment is needed if the hemoglobin A1c level is over 8 per cent. However, if people with diabetes can lower their hemoglobin A1c by any degree, they will improve their chance of improving their conditions.
- 35   People with high daily blood sugar readings most of the time will usually have a high hemoglobin A1c test result. To maintain a hemoglobin A1c level less than 7 per cent

means that the blood sugar should rarely go above 150 mg/dl on any self-monitoring blood glucose test performed before meals during the previous 3 months.

- As already mentioned, many cardiovascular risk factors are abnormally high in
- 5 individuals suffering from type 2 diabetes and these individuals are consequently at an increased risk of developing various arteriosclerotic vascular diseases. There is at present no simple cure or medical treatment for arteriosclerosis and no effective preventive therapy for treating diabetics suffering from the symptoms of cardiovascular diseases exists.
- 10 The effect of the development of arteriosclerosis in diabetes is very clear. The proportion of total deaths from coronary heart disease (CHD) in diabetes has progressively increased and is now reported to cause almost 75 percent of all deaths among type 2 diabetics. However, even though the association between diabetes,
- 15 insulin resistance, blood lipids, hypertension and premature arteriosclerosis have long been recognized, the complex mechanisms responsible for this association are still as vague and evasive as they were more than 50 years ago.
- For type 2 diabetes there has actually not occurred a medical breakthrough since i)
- 20 insulin was discovered in 1922 and since ii) sulphonylureas, biguanides, and  $\alpha$ -glucosidase inhibitors were developed in 1954, 1957 and 1986, respectively.
- Obesity and insufficient physical exercise have been suggested to be major contributors to type 2 diabetes, and coronary heart diseases and heart infarction are among the most common cardiovascular conditions diagnosed in diabetic subjects. It
- 25 has been estimated that two out of every three diabetics contract a cardiovascular disease.
- An increased serum level of triglycerides is now regarded as a prominent risk factor for the development of a cardiovascular diseases, also in diabetic subjects. Importantly,
- 30 recent studies have indicated that serum levels of triglycerides currently considered as "normal" - (2.2 mmol/l) or 200 mg per deciliter of serum - may in fact be too high. It has been proposed that the "normal" limit for triglycerides should be reduced by as much as 50 percent as compared to the limit presently regarded as being the "normal" limit (Yahoo News, 1 May 1998). In a group of patients examined over almost 20 years, a
- 35 serum triglyceride level of more than 1.1 mmol/l or 100 mg per deciliter serum actually increased the relative risk of contracting a new cardiovascular event by 50 percent and

reduced the chance of surviving that event. It was emphasized that so far no clinical trials have examined whether lowering triglyceride levels affects the incidence of subsequent cardiovascular events, and research into the effect of serum triglyceride levels on cardiovascular events lags far behind research directed to establishing the  
5 effect of increased cholesterol serum levels on the subsequent development of cardiovascular diseases.

Pulmonary diseases are diseases generally affecting the lungs. The airways and the lungs are subject to many disease causing and/or disease stimulating factors such as  
10 e.g. inhaled pathogens like bacteria and viruses, allergens and toxic substances such as cigarette smoke or air pollutants. Such factors generate disorders with symptoms like e.g. difficulty in breathing, chest pains, coughing, and wheezing.

The airways of the human and animal body consist of a series of tubes and passages  
15 that include the throat, the larynx and the trachea. In the chest cavity the trachea divides into the right and left bronchi, or bronchial tubes, that enter the lungs. The branches of the bronchi subsequently become more narrow and form tubes, the bronchioles, that divide into even more narrow tubes, the alveolar ducts. The end of each alveolar duct forms a cluster of thinly walled sacs termed the alveoli.  
20

Several terms have been used to describe a group of conditions now generally recognized as leading to a limitation or obstruction of the flow of air in the airways and in the lungs. Obstructive pulmonary disease (OPD) and chronic obstructive pulmonary disease (COPD) are clinical terms describing diseases characterized by an obstruction  
25 or limitation of airflow during expiration. For COPD the obstruction or limitation is persistent. The terms represent a clinical rather than a pathological diagnosis and relate to diseases such as e.g. inflammation of the airways, asthma, bronchitis, and small airways diseases. However, the nomenclature in the field of obstructive pulmonary diseases is complex and sometimes confusing in spite of many attempts to  
30 define conditions such as asthma and bronchitis.

It is widely recognized that COPD is not a disease entity, but rather a complex of conditions characterized by airflow limitation or obstruction. The limitation or obstruction may be variable over short periods of time and reversible, even though an  
35 underlying irreversible trait may persist. Unless treated, the disease is likely to progress and lead to a seriously reduced airflow limitation. This reduction is usually,

but not always, persistent and typically shows a more rapid progressive deterioration with age than normal. Clinical studies of acute exacerbations of obstructive pulmonary diseases are difficult because of i) the heterogeneous nature of COPD, ii) diffuse symptoms that can vary spontaneously, and iii) difficulties in defining a clinical

- 5 response both in the short term and in the long run. Also, the role of e.g. bacterial infections and the subsequent use of antibiotics in connection with pulmonary diseases is controversial, and much evidence shows that although bacterial infections have a significant role in acute exacerbation, the role of said infections in the progression of obstructive pulmonary diseases is less certain.

10

Accordingly, any of the above-mentioned conditions - whether transient or chronic - may result in an airflow limitation or obstruction and may therefore be potentially associated with obstructive pulmonary diseases. The conditions may, however, also be present anatomically without generating an impairment of pulmonary function that

15 is sufficient to qualify for the definition OPD or COPD.

An obstruction of the airways is measured by FEV<sub>1</sub> as forced expiratory volume in the first second of expiration. Lung function measured as the FEV<sub>1</sub> increases into young adulthood and then it starts to decrease. In normal non-smokers, the rate of decline in

- 20 FEV<sub>1</sub> is about 20 ml per year, i.e. about 1 liter over a 50-year period. A much more rapid decline is observed in smokers. On average, the decline is twice that of normal non-smokers. However, in about 15% of all smokers, lung function declines at a rate much more rapid than the decline observed in the average smoker. Consequently, airways diseases are strongly influenced by individual rates of decline in FEV<sub>1</sub>.

25

Asthma has traditionally been regarded as a respiratory disease of acute airway obstruction, and research as well as therapeutic attention has focused principally on the mechanisms leading to acute bronchospasm. One of the conventional therapies has consisted of bronchodilators to regulate airway smooth muscle contraction.

- 30 However, current state of the art asthma therapy does have side effects, mostly due to undesirable effects from the inhalation steroids used.

A wide range of pharmaceuticals have been developed by the pharmaceutical industry and evaluated in clinical trials. Although being capable of inhibiting mast cell-mediated

- 35 acute allergic bronchoconstriction, none of these pharmaceuticals are suitable for use in a prophylactical treatment or maintenance treatment of asthma. Medicaments such

- as  $\beta_2$  agonists have been introduced in order to treat airways diseases and in particular asthma.  $\beta_2$  agonists inhibit the release of histamine into the circulation of asthmatics undergoing an allergen provocation. This pharmacological property may contribute to the well-recognized ability of  $\beta_2$  agonists to inhibit allergen-induced
- 5 bronchoconstriction. However, while  $\beta_2$  agonists are exceptional mast cell stabilizing agents, sole therapy with these agents may actually enhance hyperresponsiveness of airways to exogenous stimuli such as inhaled histamine, most likely due to a minimal effect on airway inflammation.
- 10 Widespread use of  $\beta_2$  agonists have lead to a criticism based on a hypothesis involving the so-called "asthma paradox". According to the hypothesis,  $\beta_2$  agonists have undesirable effects on the normal role of mast cell degranulation as an endogenous anti-inflammatory mechanism to prevent antigens from entering the lower airways and limit the extent of the subsequent repair process.
- 15 Adlercreutz (Finnish Medical Society, Ann. Med. 29, 95-120 (1997)) has reviewed the phytoestrogen classes of lignans and isoflavones and has described their influences on a range of cellular activities and metabolic events. Soy intake is reported to prevent oxidation of LDL, but no antioxidant mechanism has yet been established. Although
- 20 isoflavonoids may prevent the development of atherosclerosis, it is a problem to separate the phytoestrogen effect from the effect of other components in foods. It is emphasized that phytoestrogens, particularly in association with soy intake, seem to have a great potential for preventing cardiovascular diseases, but as the area is really in the early stages of development, an established beneficial effect of soy and
- 25 isoflavonoids in this respect will have to await further studies. It is stated that despite an abundant literature at this early stage of dietary phytoestrogen research, much work is needed before any recommendation as to phytoestrogen consumption can be made. However, experimental and epidemiological evidence does support the view that these compounds do not have any negative effects and that they may form a
- 30 group of substances with a great potential in preventive medicine. It is emphasized that at present, no definite recommendations can be made as to the dietary amounts needed for disease prevention.
- Anderson (N. Eng. J. Med. 333, 276-282 (1995)) analysed a total of 38 clinical trials
- 35 and concluded that the consumption of soy protein significantly decreases serum levels of total cholesterol, LDL-cholesterol and triglycerides. It was found that ingestion

of diets containing soy protein, as compared with control diets, was accompanied by a significant reduction in serum concentrations of total cholesterol, LDL-cholesterol and triglycerides. However, soy protein intake did not significantly affect serum HDL-cholesterol concentrations. The effect of soy protein intake was dependent upon initial

5 cholesterol concentration. Subjects with normal cholesterol levels had non-significant reductions of 3.3 percent, and also subjects with mild hypercholesterolemia had non-significant reductions of 4.4 percent. Only subjects with moderate and severe hypercholesterolemia had significant decreases in cholesterol levels of 7.4 percent and 19.6 percent, respectively. The pattern of changes in serum LDL-cholesterol

10 concentrations was similar to the pattern for total cholesterol concentrations. Also changes in serum triglyceride concentrations were significantly related to the initial serum triglyceride concentrations. Various types of soy proteins were studied, such as isolated soy protein, textured soy protein, or a combination thereof, and it was found that the type of soy protein did not have any significant effect on the net change in

15 serum cholesterol levels. The study did not consider a simultaneous intake of the various types of soy proteins along with lecithin comprising high fixed levels of phosphatidyl choline and dietary fibers.

Bakhit (J. Nutr. 124, 213-222 (1993)) studied mildly hypercholesterolemic men receiving a baseline diet and reported that adding of 25 g of soybean protein to a low-fat, low-cholesterol diet lowers total cholesterol concentrations in men with elevated blood lipids. In subjects having lower blood cholesterol concentrations (<5.7 mmol/l), this level of soybean protein intake did not influence blood lipids, and it was suggested that plasma lipids may even be elevated in some subjects following soybean ingestion.

25 Also, other studies have found that in general, individuals with pre-existing hypercholesterolemia respond to soybean protein, whereas individuals with normal cholesterol values do not. Bakhit et al. did not observe an additive effect of concurrent ingestion of soybean protein and soybean fiber.

30 Faggiotto (Atherosclerosis Reviews 21, 187-194 (1990)) states that atherosclerosis is an extremely complex disease involving different pathological processes such as inflammation and degeneration. The onset of atherosclerosis and its progression are very subtle, slow and silent processes, often overlapping with a normal aging process. It is stressed that despite a tremendous quantity of accumulated information, it is not

35 possible to fully explain why atherosclerosis is so common in Western civilization, how fatty streaks develop in young people, how fatty streaks are converted into fibrous

plaques, and what the role is of inflammation in e.g. atherosclerosis. It is stated that even when atherosclerosis becomes symptomatic, the treatment of choice often resorts to surgical procedures, as medical intervention has little or no short-term usefulness, unless patients are subjected to a relatively long-term and aggressive

5 therapy.

Gooderham (J. Nutr. 126(8), 2000-2006 (1996)) has suggested that although soy protein supplementation to a typical Western diet may indeed increase plasma concentrations of isoflavones, this may not necessarily be sufficient to counter heart

10 disease risk factors such as high serum levels of cholesterol and triglycerides, and platelet aggregation. Any increase in serum levels of isoflavones following intake of a soy rich diet was found to be quite variable among analysed subjects. This was thought to be due to e.g. the timing of the soy protein consumption or the composition of the gut flora. The metabolism of isoflavones in the gut is variable among individuals

15 and remains to be elucidated. It is noted that the levels of isoflavones present in human plasma are most likely not sufficient to mediate a significant inhibition of platelet aggregation. It is stressed that the isoflavones in human plasma predominantly exist in the inactive glucuronide conjugated form, and only a small amount such as approx. 10 percent exists in the active free and sulphate conjugated forms. A lack of

20 an effect of isoflavones on total cholesterol levels in one study was reported to be in agreement with others which also found that soy had little effect in normocholesterolemic individuals, whereas hypercholesterolemic subjects generally exhibited a decreased total and LDL-cholesterol level relative to normocholesterolemic subjects. It was stressed that only a few studies have reported an HDL-cholesterol

25 raising effect due to the consumption of soy protein and that most studies have shown little or no effect on HDL-cholesterol levels. The reported results indicate a similar lack of effect of soy protein on HDL-cholesterol levels in normocholesterolemic subjects. It is emphasized that only recently have isoflavones been examined separately to determine if these compounds are responsible for the lipid lowering effects associated

30 with intake of soy proteins. The administration of purified isoflavones to animals has shown variable results on blood lipids. One study conducted on hypercholesterolemic humans failed to show an effect of purified isoflavones on blood lipid levels.

Hendrich (J. Nutr. 124(9 Suppl.), 1789S-1792S (1994)) has reported that isoflavones

35 may be of great potential benefit to human health maintenance and that isoflavones may be health-protective in amounts potentially available from a human diet containing

daily soy foods. The food content of isoflavones is in the range of from 0.1 to 1 mg/g in soy foods. Several factors such as variety of soybean, processing and the addition of other ingredients to the food influence isoflavone contents of foods. It is stated that human intestinal bacteria can destroy ingested isoflavones to a great extent and that

5 this may be why only 15 to 20 percent of isoflavones are reported to be recoverable in intact form from the urine and feces. It is emphasized that much work remains to determine the relation between concentration of isoflavones in human urine and plasma and the biological effects of the isoflavones. It is noted that although more health-related animal data need to be obtained, the time is approaching when long-

10 term human feeding trials of purified isoflavones and foods containing isoflavones to examine health-related outcomes may be warranted.

Knight (Maturitas 22, 167-175 (1995)) provides a synopsis of the literature relating principally to the clinical effects of phytoestrogens on the diseases associated with aging. A review of literature pertaining to cardiovascular diseases states that the protective effects of phytoestrogens are manifested through lipid changes, a decrease in LDL-cholesterol and an increase in HDL-cholesterol, and vascular effects, concerning both vasomotor tone and vessel wall compliance. The consumption of soy protein is reported to alter lipid levels and dietary soy protein appears to be anti-atherogenic when compared with various animal proteins. It is concluded that isoflavones represent a large and exciting group of compounds with potential benefits to many diseases, also diseases in diabetes. It is emphasized that current evidence justifies the conclusion that phytoestrogens may be among the dietary factors affording protective effects against heart disease. However, further clinical studies are required

20 to more clearly elucidate their effects.

Knight (Obstet. Gynecol. 87, 897-904 (1996)) has reviewed the sources, metabolism, potencies, and clinical effects of phytoestrogens on humans. The review suggests that phytoestrogens are among the dietary factors affording protection against heart disease in vegetarians. Based on epidemiological and cell line studies, it is emphasized that intervention studies are now an appropriate consideration to assess the clinical effects of phytoestrogens because of the potentially important health benefits associated with the consumption of foods containing these compounds. It is concluded that clinical applications for phytoestrogens are still in their infancy.

Packard (Arterioscler. Thromb. Vasc. Biol. 17, 3542-3556 (1997)) has reviewed the heterogeneity in the apoB containing lipoprotein classes and provides an interpretation of kinetic studies of apoB metabolism in the light of underlying structural and functional variations. The review is based on the fact that lipoprotein classes are composed of a limited number of components with distinct properties. However, the basis for this heterogeneity and the consequences for disease are not thoroughly understood. The LDL-fraction is made up of a small number of subtypes of particles with relatively discrete size and density. Subjects with a preponderance of small-sized LDL have a three-fold increased risk of having a myocardial infarction independent of the total concentration of LDL present.

- Potter (Am. J. Clin. Nutr. 58, 501-506 (1993)) studied the effects of soy protein consumption with and without soy fiber on plasma lipids in mildly hypercholesterolemic men. It was reported that total and LDL-cholesterol concentrations can be lowered significantly in mildly hypercholesterolemic men, as indicated by a replacement of 50 percent of dietary protein with soy protein. Similar reductions in blood lipids were noted for isolated soy protein, whether it was consumed in conjunction with soy cotyledon fiber or cellulose fiber. Plasma triglyceride concentrations were unaffected by the various dietary treatments described in the article. The study did not reveal any additive cholesterol lowering effect of concurrent intake of cotyledon soy fiber with isolated soy protein, and it was stated that whether or not there is an added benefit in lowering blood cholesterol concentrations from increased concurrent intake of soy protein and fiber in humans is not known.
- Reinli (Nutr. Cancer 26, 123-148 (1996)) has reviewed the literature for quantitative data on the levels of known phytoestrogens (daidzein, genistein, coumestrol, formononetin and biochanin A) in food plants. It is reported that the isoflavones daidzein and genistein may exist in four related chemical structures, i.e. an aglycone structure (daidzein and genistein), a 7-O-glucoside structure (daidzin and genistin), a 6'-O-acetylglucoside structure (6'-O-acetyldaidzin and 6'-O-acetylgenistin), and a 6'-O-malonylglucoside structure (6'-O-malonyldaidzin and 6'-O-malonylgenistin). The conjugates (7-O-glucosides, 6'-O-acetylglucosides, and 6'-O-malonylglucosides) are transformed to aglycones, which are sometimes called free isoflavones, through hydrolysis in the intestinal tract by β-glucosidase enzymes of gut bacteria. Acid hydrolysis in the stomach may also contribute to the formation of free isoflavones. It is unclear how readily conjugates undergo intestinal hydrolysis and subsequent

absorption. It is stressed that isoflavones are metabolized differently by different animals and humans.

Sniderman (Am J. Cardiol. 79, 64-67 (1997)) presents a risk factor hypothesis with an  
5 emphasis on the integral role of LDL in atherogenesis. It is stressed that a measurement of LDL-cholesterol is an incomplete estimate of the risk attributable to LDL and that other classic risk factors such as e.g. hypertension, diabetes, and smoking exert their proatherogenic potential largely or exclusively by multiplying the malign influences of LDL on the arterial wall. It is acknowledged that small, dense LDL  
10 particles are one of the most common dyslipoproteinemas associated with coronary artery disease. It is reported that elevated levels of lipoprotein (a) are associated with increased coronary risk, but the basis for this is still not clear.

WO 97/31546 discloses data from total replacement programmes (for 6 weeks) in  
15 weight reduction studies conducted at Karolinska Hospital in Sweden. It is shown that products comprising isolated soy protein and soybean cotyledon fibers lower serum triglyceride levels by a maximum of 44 percent and cholesterol levels by a maximum of 27 percent for a patient population with a mean initial cholesterol content of 5.6 mmol/l. A mean value of 6.25 mmol/l was determined for all patients having serum cholesterol  
20 levels above 6 mmol/l, and for this group of patients a reduction in serum cholesterol levels of 33 percent was observed. Since the reported data were part of a weight reduction programme, a dietary effect and/or an effect related to a weight loss would have contributed to the observed reductions in cholesterol and/or triglycerides. No reference is made to a treatment of diabetes by using a composition comprising a  
25 combination of soy protein, a high content of a phytoestrogen compound, and a phospholipid source comprising high fixed levels of phosphatidyl choline. No reference is made to a treatment of a pulmonary disease by using a composition comprising a combination of soy protein, a high content of a phytoestrogen compound, and a phospholipid source comprising high fixed levels of phosphatidyl choline. No reference  
30 is made to a composition comprising a combination of soy protein, a high content of a phytoestrogen compound, and soy lecithin comprising high fixed levels of phosphatidyl choline or a composition comprising a combination of soy protein, a high content of a phytoestrogen compound, lecithin comprising high fixed levels of phosphatidyl choline and dietary fibers.

WO 97/37547 discloses an isoflavone-enriched soy protein product having a protein content greater than 60 percent of total dry matter, a total dietary fiber content of less than 4 percent of total dry matter, a sucrose content greater than 10 percent of total dry matter, a total content of sulphur-containing amino acids greater than 2.2 percent of the total amino acid content, a stachyose content of less than 1.5 percent of total dry matter, and a total isoflavone content greater than 2.5 mg/gram, equivalent to 0.25 percent.

WO0030663 (PCT/IB99/01992), WO0030664 (PCT/IB99/01997) and WO0030665 (PCT/IB99/01998) all relate to compositions comprising (a) soy protein, (b) a phytoestrogen compound, and (c) dietary fibres. The soy protein (a) is present in an amount of at least 45 weight percent of the total protein content of the composition, said total protein content providing at least 15 percent of the total energy content of the composition. The phytoestrogen compound (b) is preferably a naturally occurring isoflavone and is present in an amount of more than 0.10 weight percent of the soy protein, and the dietary fibres (c) are preferably soybean fibres and are present in an amount of more than 4 weight percent of the total weight of the nutritional composition on a dry basis. The composition of WO0030663 is claimed to be useful for treating type 2 diabetes and cardiovascular diseases in a diabetic subject. The composition of WO0030664 is claimed to be useful for treating pulmonary diseases. The composition WO0030665 is claimed to be useful in lowering serum cholesterol and LDL-cholesterol and serum triglyceride levels and for increasing the HDL/LDL-cholesterol ratio in serum of a subject suffering from arteriosclerosis and related cardiovascular diseases. Compositions further comprising an additional fat source, i.e. soy lecithin, are described.

EP 827 698 A2 and EP 827 698 A3 disclose a process for producing an aglucone isoflavone enriched extract from a vegetable material containing isoflavone conjugates and protein.

An abstract presented at the American Heart Association's 38<sup>th</sup> Annual Conference on Cardiovascular Disease Epidemiology and Prevention held in March 1998 disclosed a reduction in the levels of total and LDL-cholesterol in a subject following intake of a diet supplemented with 25 grams of soy protein containing 4 mg, 25 mg, 42 mg, and 58 mg of isoflavones, respectively. A "dose-response" effect was reported so that increasing amounts of isoflavones were associated with an increasing reduction of

cholesterol. A maximum reduction of serum levels of total cholesterol and LDL-cholesterol of 4 percent and 7 percent, respectively, was reported for the product containing 58 mg of isoflavone.

- 5 One major source of dietary phospholipids is lecithin, and the major part of the phospholipids of lecithin are phosphoglycerides. Lecithin was first isolated from egg yoke in 1850 by Maurice Bobley. Since that time, it has been shown to be present in many foods. Soybeans and other legumes, grains, wheat germ, brewers yeast, and fish, as well as egg yolks are all good sources of lecithin.

- 10 In 1958 L. M. Morrison was the first to report the finding that lecithin could be used to lower cholesterol levels. L. M. Morrison speculated that instead of "blocking" absorption of cholesterol in the digestive tract as other cholesterol reducing agents did, lecithin enhanced the metabolism of cholesterol in the digestive system and aided in its transport through the circulatory system. Researchers have since demonstrated that atherosclerosis (blockage of the arteries) can be induced in the laboratory by either increasing the cholesterol introduced into the body or by decreasing lecithin intake.

- 15 By now it is generally accepted that lecithin from a vegetable source (soybeans) is more effective than lecithin from an animal source (eggs) in accelerating re-absorption of cholesterol back into the blood stream that has adhered to the walls of blood vessels and caused blockage. This difference might be attributed to the fact that lecithin from animal sources contains high amounts of saturated fatty acids, while lecithin from vegetable sources are about 80% unsaturated fatty acids.

- 20 25 Besides being an important factor in generally controlling cholesterol levels and aiding coronary health, lecithin, and especially the phosphoglyceride phosphatidyl choline, has been speculated to be involved in numerous metabolic processes associated with e.g. arteriosclerosis, type 2 diabetes, obesity, etc. Accordingly, versatile effects of phosphatidyl choline consumption, i.e. modulation of lipid exchange between cell membranes and the plasma compartment, modulation of gallbladder bile content, inhibition of intestinal absorption of cholesterol, protection against LDL oxidation, decrease in LDL cholesterol, decrease in serum cholesterol, increase in HDL-cholesterol and plasma apolipoprotein A-I, decrease in LDL cholesterol/HDL cholesterol ratio. However, up till now several authors have questioned whether any independent effects on serum lipoprotein could be attributed to phosphatidyl choline.

Furthermore, as will be evident from the references cited below, the conclusions previously drawn concerning any effects of phosphatidyl choline and/or lecithin are at least ambiguous.

- 5 Galli et al. (*Lipids* **20**(9), 561-6 (1985)) has reported that in a study including seven healthy male volunteers, were PPC (Nattermann & Cie, GmbH, Cologne, Federal Republic of Germany), 10 g/day, was given for a 6-week period after a 4-week wash out, PPC did not appear, during treatment, to modify the levels of plasma total cholesterol and triglycerides. High density lipoprotein (HDL) cholesterol levels were,
  - 10 however, increased after six weeks of PPC. The most dramatic changes occurred in platelet membrane composition: the total lipid/total protein and the cholesterol/protein ratios were reduced significantly, whereas increases of the phospholipid/total lipid ratio and of the linoleic acid membrane content were observed. Platelet function tests, both in whole blood and in platelet rich plasma, were not modified. Similarly, the
  - 15 thromboxane B<sub>2</sub> formation after standard stimuli and the sensitivity to exogenous prostaglandin I<sub>2</sub> also were unchanged. During the final wash out period following treatment, a reduction of plasma total and low density lipoprotein (LDL) cholesterol levels also was recorded. The authors concluded that PPC appears to be capable of modulating lipid exchanges between cell membranes and the plasma compartment.
- 20 Kesaniemi et al. (*Am J Clin Nutr.* **43**(1), 98-107 (1986)) has reported that in a study including ten patients studied during control periods and lecithin feeding, lecithin feeding had no influence on levels of plasma cholesterol and triglycerides, or lipoprotein-cholesterol, transport of VLDL-triglycerides, or total steroid balance.
- 25 However, lecithin feeding did significantly increase the molar percent of bile acids and decrease the molar percent lecithin in gallbladder bile leading the authors to suggest that it has a systemic effect. In addition, the authors showed a small but significant inhibitory effect on intestinal absorption of cholesterol.

- Kirsten and co-workers (*Int J Clin Pharmacol Ther.* 32(2), 53-6, (1994) and *Int J Clin Pharmacol Ther Toxicol.* 27(3), 129-34 (1989)) has reported that non-insulin-dependent diabetics with secondary hyperlipidemia receiving 3-sn-polyenylphosphatidyl choline (PPC) daily, orally over a 2-month period experiences a significant LDL cholesterol decrease by 17%. Total cholesterol (TC) in serum decreased by 16%. Mean serum triglyceride (TG) levels fell by 9%.. HDL cholesterol in serum increased 12% after PPC application.
- 5
- Navder et al. (*Atherosclerosis* 152(1), 89-95 (2000)) has reported that polyenylphosphatidyl choline (PPC), a mixture of polyunsaturated phospholipids extracted from soybeans, has antioxidant effects in in vivo models of oxidative stress. Thus, dilinoleoyl-phosphatidyl choline (the main component of PPC) protects against LDL oxidation, which might be a possible mechanism behind its reported anti-atherosclerosis effects.
- 10
- Noseda et al. (*Schweiz Med Wochenschr* 115(30), 1064-70 (1985)) has reported that in a double blind study including 27 patients with type 2 hyperlipidemia (8 IIa and 19 IIb) total cholesterol and LDL cholesterol were lowered significantly by PPC. There was a downward trend in apoprotein B, triglycerides and VLDL cholesterol, and an upward trend in apoprotein AI, with virtually unchanged HDL cholesterol. None of these variations was significant compared with placebo. The fall in LDL cholesterol with unchanged HDL cholesterol caused a statistically significant decrease in the LDL cholesterol/HDL cholesterol ratio, thus supporting the hypothesis of an antiatherogenic property of PPC, as demonstrated experimentally in various animals.
- 15
- Oosthuizen et al. (*Eur J Clin Nutr* 52(6), 419-24 (1998)) has reported that in a double blind study including twenty hyperlipidaemic men randomly assigned to one of three treatments: frozen yoghurt or frozen yoghurt with 20 g soya bean lecithin or frozen yoghurt with 17 g sunflower oil, lecithin treatment did not have significant effects on serum total cholesterol, triglyceride, high density lipoprotein cholesterol, low density lipoprotein cholesterol, apolipoprotein A, apolipoprotein B or lipoprotein (a) levels. Plasma fibrinogen and MPC levels were also not affected by lecithin therapy. Accordingly, the authors concluded that lecithin treatment had no independent effects on serum lipoprotein, plasma fibrinogen or MPC levels in hyperlipidaemic men.
- 20
- 30
- 35

- Ovesen et al. (*J Parenter Enteral Nutr* **9**(6), 716-9 (1985)) has reported that in a randomized, double-blind, cross-over trial soybean phospholipid, 18 g daily for 6 weeks, given orally to patients on long-term treatment with standard lipid lowering diets, mean (+/- SE) cholesterol concentration was decreased by 0.54 (+/- 0.19) mmol/liter after 6 weeks in phospholipid-treated subjects as compared to placebo-treated patients. The decrease in serum cholesterol was significant (p less than 0.02) only in patients assigned to receive phospholipid before placebo. A highly significant increase (p less than 0.001) followed the withdrawal of phospholipid. No effect on triglyceride and high-density lipoprotein cholesterol concentrations was demonstrated.
- Wilson et al. (*Atherosclerosis* **140**(1), 147-53 (1998)) has reported that the results of a study designed to investigate the hypocholesterolemic and anti-atherogenic properties of soy lecithin beyond its fatty acid content, suggests that the cholesterol-lowering efficacy of the AHA Step I diet can be enhanced with the addition of soy lecithin without reducing plasma HDL-C levels, and that the hypocholesterolemic, and in particular, the anti-atherogenic properties of soy lecithin cannot be attributed solely to its linoleate content.
- Zeman et al. (*Sb Lek* **96**(1), 43-8 (1995)) has reported that administration of PPC to 30 patients with hyperlipoproteinemia type IIB and hypoalphacholesterolemia led to a significant rise of HDL-cholesterol, HDL3-cholesterol and plasma apolipoprotein A-I concentrations in comparison with the group treated with placebo. At the same time, plasma apolipoprotein B concentration slightly increased. Blood glucose, immunoreactive insulin and non-esterified fatty acid concentrations during the oral glucose tolerance test didn't change significantly after PPC administration. Accordingly, the authors concluded that PPC could be the appropriate supplement to the treatment of patients with decreased concentrations of HDL-cholesterol and plasma apolipoprotein A-I.
- In none of the above-mentioned references reference is made to the treatment of type 2 diabetes, cardiovascular diseases or pulmonary diseases by using a composition comprising a combination of soy protein, a phospholipid source and optional dietary fibers having a high content of a phytoestrogen compound and high fixed levels of phosphatidyl choline. In addition no reference is made to a composition comprising a combination of soy protein having a high content of a phytoestrogen compound and soy lecithin comprising high fixed levels of phosphatidyl choline or a composition

comprising a combination of soy protein having a high content of a phytoestrogen compound, a phospholipid source comprising high fixed levels of phosphatidyl choline and dietary fibers in any of the above mentioned references.

## SUMMARY OF THE INVENTION

- 5 The present invention provides a nutritional composition comprising a protein source, having a high, fixed amount of a phytoestrogen compound such as e.g. naturally occurring isoflavones, and a phospholipid source. More particularly the present invention provides a nutritional composition of soybean extractable ingredients comprising soy lecithin, preferably having a high fixed level of phosphatidyl choline,
- 10 and having a high, fixed amount of a phytoestrogen compound such as e.g. naturally occurring isoflavones.

The present invention provides a combination comprising a) soy protein, preferably isolated soy protein, b) a high content of a plant hormone in the form of a phytoestrogen compound, preferably naturally occurring isoflavones, (c) a phospholipid source, more preferably lecithin, and even more preferably soy lecithin and preferably having a high fixed level of phosphatidyl choline and optionally (d) dietary fibers, preferably soybean fibers, more preferably soybean fibers manufactured from the cotyledon of soybeans hereinafter referred to as soy cotyledon fibers and the present invention furthermore represents a potential new breakthrough in the treatment of cardiovascular diseases, diabetes and pulmonary diseases.

The present invention is useful in treating including prophylactically treating cardiovascular diseases such as hypercholesterolemia, hypertriglyceridemia, hyperlipidemia and other cardiovascular diseases such as e.g. arteriosclerosis. It is one objective of the present invention to significantly lower levels of total serum cholesterol and LDL-cholesterol and triglycerides in a mildly hypercholesterolemic subject. It is another objective of the present invention to significantly lower serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides in a subject suffering from hypercholesterolemia and/or hyperlipidemia. It is another objective of the present invention to render the arterial wall more resistant to the accumulation of lipoproteins. It is a further objective of the present invention to provide a composition effective in preventing, treating, prophylactically treating and/or alleviating an arteriosclerotic condition by reducing the influx of cholesterol and/or triglycerides into

the endocelium of the arterial wall and/or by causing the dilation of blood vessels. Yet another objective of the present invention is to reduce lipid plaque formation.

- The present invention is also useful in the prevention and/or treatment of type 2 diabetes and/or a cardiovascular disease in diabetic subjects. Accordingly, it is an objective of the present invention to effectively lower serum levels of both glucose and cholesterol and/or triglycerides. No treatment is currently available for concomitantly lowering serum levels of glucose as well as lipid serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides. It is to be understood that diabetic subjects according to the present invention have a fasting plasma glucose  $\geq 7.0 \text{ mmol/l}$ .

- A composition according to the present invention represents a new approach to treatment of type 2 diabetes and is believed to be capable of i) lowering total serum levels of cholesterol and/or LDL-cholesterol and/or triglycerides and/or increasing serum levels of HDL-cholesterol, ii) increasing glucose tolerance and/or insulin sensitivity and/or, iii) lowering serum levels of glucose, iv) preventing, treating and/or alleviating impaired glucose tolerance and/or insulin secretory failure in diabetic subjects and/or v) preventing, treating and/or alleviating an arteriosclerotic condition by reducing the influx of cholesterol and/or triglycerides into the endocelium of the arterial wall of a diabetic subject suffering from a cardiovascular disease and/or by causing the dilation of blood vessels. No other known compositions are effective in lowering serum levels of both lipids and glucose and/or reducing the influx of lipids such as e.g. cholesterol and/or triglycerides into the arterial wall.
- The present invention is also useful in the prevention and/or effective treatment of pulmonary diseases such as e.g. airway inflammation, asthma, bronchitis and small airways diseases, in particular asthma including chronic asthma such as e.g. asthma characterized by a chronic inflammatory condition. The present invention is believed to be capable of increasing FEV<sub>1</sub> of a subject, measured by forced expiratory volume in the first second of expiration, as well as being capable of treating, alleviating and/or eliminating in particular i) inflammation of the airways, ii) mucus hypersecretion, and iii) bronchoconstriction.

- A composition according to the present invention may be comprised in a micronutrient as defined herein below.

- Phytoestrogen compounds are naturally occurring plant hormones showing a structural similarity to 17 $\beta$ -estradiol. Phytoestrogens consist of a number of classes including isoflavones, coumestans, lignans and resorcylic acid lactones. The class of isoflavones consists of among others genistein, daidzein, equol, glycetein, biochanin A, formononetin, and O-desmethylangolaezin. The isoflavones genistein and daidzein are found almost uniquely in soybeans. When present in the plant the isoflavones are mainly in a glucoside form, i.e. attached to a sugar molecule. Isoflavones in this glucoside form can be deconjugated to yield isoflavones in a so-called aglycone form, which is the biologically more active form of isoflavones and which is absorbed faster and to a greater extent in the human gut than isoflavones in the glucoside form. *In vitro* studies have examined the relative estrogenic effect exerted by various phytoestrogens including isoflavones. The resulting potencies as compared to estradiol (having a relative potency of 100), have been reported by Knight (Maturitas 22, 167-175 (1995)) for among others genistein (0.084) and daidzein (0.013).
- However, the results also showed that the estrogen receptor complexes formed by estradiol and isoflavones such as genistein and daidzein are functionally equivalent. The comparative dissociation constant of genistein for the estrogen receptor, as determined in competitive binding assays, was found to be from 100 to 10.000 times higher than that of estradiol.
- The term "naturally occurring" substance as used in the present specification refers to a substance originally isolated from a natural source, such as an animal or a plant, for example a soy plant, or modified forms of such a substance. The naturally occurring substance for use in a composition according to the present invention may be included in a composition according to the present invention as part of the natural source or in any type of extract, isolate or the like thereof, or it may have been isolated from a plant source or synthesized biologically, microbiologically, or chemically or by any other means.
- Soy proteins are involved in a reduction of cholesterol and triglyceride levels, they are easily digestible, and they represent an efficient sole protein source for maintaining the nitrogen balance. Soy isoflavones in high intakes further enhances this effect. Phospholipids, such as soy lecithins, especially soy phosphatidyl choline have been shown to effect total serum cholesterol levels and/or to increase serum HDL-cholesterol levels. Dietary fibers, such as soybean fibers, especially soy cotyledon fibers have been shown to lower total serum cholesterol levels, to improve glucose

tolerance, to increase insulin sensitivity, to normalize the gastrointestinal function, and to exert no influence on the absorption of essential minerals.

Accordingly, in one aspect the present invention provides a composition comprising

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- (a) a soy protein source, selected from isolated soy protein, soy protein concentrate, or soy flour, said soy protein source providing an amount of soy protein, which is at least 45 weight percent of the total protein content of the composition, said total protein content providing at least 15 percent of the total energy content of the composition,
- 10  
(c) a phospholipid source providing at least 15 percent of the total energy content of the composition, and
- 15  
(d) phosphatidyl choline in an amount of more than 20 weight percent of the phospholipid source of the composition.

In another aspect the present invention provides a composition comprising

20

- (a) a soy protein source, selected from isolated soy protein, soy protein concentrate, or soy flour, said soy protein source providing an amount of soy protein, which is at least 45 weight percent of the total protein content of the composition, said total protein content providing at least 15 percent of the total energy content of the composition,

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- (b) at least one phytoestrogen compound in an amount of more than 0.10 weight percent of the soy protein content of the composition, and
- 30  
(c) a phospholipid source providing at least 15 percent of the total energy content of the composition, and

- (d) phosphatidyl choline in an amount of more than 20 weight percent of the phospholipid source of the composition.

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- In a more preferred aspect the present invention provides a composition comprising

- (a) isolated soy protein in an amount of at least 50 weight percent of the total protein content of the composition, said total protein content providing at least 15 percent of the total energy content of the composition,
- 5 (b) at least one phytoestrogen compound in an amount of more than 0.10 weight percent of the soy protein content of the composition, and
- (c) a phospholipid source providing at least 15 percent of the total energy content of the composition, and
- 10 (d) phosphatidyl choline in an amount of more than 20 weight percent of the phospholipid source of the composition.

In a presently most preferred aspect the present invention provides a composition

15 comprising

- (a) isolated soy protein in an amount of at least 50 weight percent of the total protein content of the composition, said total protein content providing at least 15 percent of the total energy content of the composition,
- 20 (b) at least one phytoestrogen compound in an amount of more than 0.10 weight percent of the soy protein content of the composition, and
- (c) a phospholipid source providing at least 15 percent of the total energy content of the composition, and
- 25 (d) phosphatidyl choline in an amount of more than 20 weight percent of the phospholipid source of the composition.
- 30 (e) dietary fibres in an amount of more than 4 weight percent of the total weight of the composition on a dry basis.

Phytoestrogen compounds according to the present invention are defined as naturally occurring plant substances, which are either structurally or functionally similar to 17 $\beta$ -estradiol or generate estrogenic effects. Phytoestrogens consist of a number of classes including isoflavones, coumestans, lignans and resorcylic acid lactones.

- Examples of isoflavones according to the present invention are genistein, daidzein, equol, glycine, biochanin A, formononetin, and O-desmethylangolensin. The phytoestrogen compounds of a composition according to the present invention are preferably isoflavones, more preferably genistein, daidzein, glycine and/or equol, yet
- 5 more preferably genistein and/or daidzein and even more preferably genistein. Genistein and daidzein are found almost uniquely in soybeans. A preferred composition according to the present invention may accordingly comprise a single isoflavone, such as genistein, daidzein, glycine or equol, or it may comprise at least one isoflavone selected from the group comprising at least genistein, daidzein, glycine and equol. Furthermore, a preferred composition according to the present invention may accordingly comprise isoflavones being naturally part of the soy protein source employed.
- 10 Phospholipid sources according to the present invention are defined as fat substances comprising at least about 5% phosphatidyl choline. However, phospholipid sources according to the present invention may contain as much as 100% phosphatidyl choline. Furthermore phospholipid sources according to the present invention will preferably comprise polyunsaturated fatty acids and monounsaturated fatty acids and optionally also saturated fatty acids. The phospholipid sources will preferably comprise
- 15 polyunsaturated fatty acids and monounsaturated fatty acids and optionally also saturated fatty acids. The amount of polyunsaturated fatty acids and monounsaturated fatty acids, including the essential fatty acids, may range from 35 to 50, preferably 38 to 44, weight percent of the total amount of the phospholipid source. The essential fatty acids are also called omega-6 and omega-3 fatty acids and include
- 20 linoleic acid and/or linolenic acid ( $\alpha$ -linolenic acid). The amount of saturated fatty acids may be from 20 to 30 weight percent, preferably 22 to 26 weight percent, of the total amount of the phospholipid source. Lecithins and soy lecithins having a high content of  $\alpha$ -linolenic acid are particularly preferred phospholipid sources according to the present invention.
- 25
- 30 A composition according to the present invention may be capable of preventing, treating, prophylactically treating and/or alleviating an arteriosclerotic condition by reducing the accumulation of cholesterol in the arterial wall and/or causing the dilation of blood vessels. This inhibitory effect may be mediated by the binding of naturally occurring isoflavones and/or soy peptides to an estrogen receptor or estrogen-like receptor present in the endothelium of an artery and/or through the action of the 1-
- 35

alkyl-2-acetyl analog of phosphatidyl choline. The soy peptides are preferably provided by partial hydrolysis of soy protein.

- Plasma cholesterol and triglyceride levels are usually increased in individuals treated
- 5 for a cardiovascular disease and plasma triglyceride and lipoprotein levels are usually increased in individuals treated for type 2 diabetes and/or the metabolic syndrome. These increased levels, unless reduced by treatment, are likely to promote atherosclerosis and/or coronary heart disease (CHD). Beta-2-adrenergic receptors are present on many different types of cells including i) cells of the arterial wall ii) cells of
- 10 the airways and iii) fat cells. Beta-2-adrenergic receptors are involved in the regulation of triglyceride synthesis in fat cells and according to one presently preferred hypothesis, binding of soy peptides and/or a phytoestrogen compound such as e.g. a naturally occurring isoflavone to a beta-2-adrenergic receptor present on a fat cell or in an arterial wall is effective in reducing e.g. the synthesis of triglycerides in fat cells
- 15 and/or the release of triglycerides into the blood stream and/or reducing the influx of cholesterol and/or triglycerides into the arterial wall. The soy peptides are e.g. obtainable by partial hydrolysis of soy protein.

- Several mechanisms for the association between elevated homocysteine and vascular
- 20 diseases have been proposed, including effect on endothelial function, vascular smooth muscle cells, LDL-C action, coagulation pathways, and oxidative status. In addition to the effect of homocysteine on CVD, some data indicate elevated homocysteine levels are related to poor cognitive function and cancer. Three nutrients-folate, vitamin B6 and vitamin B12-have been shown to influence homocysteine
- 25 levels. However, an additional compound, betaine, is required for the conversion of homocysteine to methionine. Betaine, which acts as a methyl donor, is synthesized endogenously from choline through the action of choline dehydrogenase and betaine aldehyde dehydrogenase. In children with cystathione beta-synthase deficiency, which results in the accumulation of homocysteine due to the inability to convert
- 30 homocysteine to cystathionine, betaine administration has been shown to lower homocysteine levels significantly. In other cases, homocysteine lowering by folate requires the addition of choline or betaine. Thus according to a preferred hypothesis soy phospholipids, e.g. phosphatidyl choline may act as a direct controlling factor in lowering homocysteine levels and thereby reduce and/or eliminate one of the risk
- 35 factors associated with cardiovascular diseases.

As an integral part of cell membranes, phospholipids are directly involved in cell signaling. As a constituent of cell membranes, phospholipids consist of a variety of molecular species, including ester-, ether-, and vinyl-linked forms. In response to cell stimuli, phospholipids are broken down by specific phospholipases, resulting in a

- 5 number of hydrolysis products that have the potential to act as second messengers and thus markedly influence cellular processes, including cholesterol metabolism.

According to a preferred hypothesis, a composition according to the present invention will reduce and/or eliminate one or more of the risk factors for cardiovascular diseases.

- 10 Accordingly, a composition according to the present invention may be effective in preventing, treating, prophylactically treating and/or alleviating conditions such as e.g. hypercholesterolemia, hypertriglyceridemia, hypertension and hyperglycemia. A composition according to the present invention may also be capable of reducing, preventing and/or eliminating fatty streak formation and/or fibrous plaque development
- 15 and/or effective in mediating a regression of one or both of said arteriosclerotic conditions.

A composition according to the present invention may be effective in preventing and/or treating type 2 diabetes and/or the metabolic syndrome and/or reducing and/or

- 20 eliminating one or more of the risk factors for cardiovascular diseases associated with diabetes and/or the metabolic syndrome. Accordingly, a composition according to the present invention may be effective in preventing, treating, prophylactically treating and/or alleviating conditions such as e.g. increased serum levels of glucose, hypercholesterolemia, hypertriglyceridemia, hypertension, and hyperinsulinemia in
- 25 diabetic individuals. A composition according to the present invention may also be capable of reducing, preventing and/or eliminating fatty streak formation and/or fibrous plaque development and/or effective in mediating a regression of one or both of said arteriosclerotic conditions in diabetic individuals.

- 30 According to a preferred hypothesis, a composition according to the present invention will be effective in lowering serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides and/or in increasing the serum HDL/LDL-cholesterol ratio and/or increasing serum levels of high-density lipoproteins (HDL) and/or in generating a decrease in serum levels of low-density lipoproteins (LDL). It is desirable to achieve an
- 35 elevated serum HDL/LDL-cholesterol ratio since this may result in an increased reverse cholesterol transport and a subsequent excretion.

Also, it is believed that a composition according to the present invention will affect ApoB lipoprotein metabolism including the metabolism of a recently discovered class of ApoB comprising lipoprotein particles called small, dense LDL particles. The LDL

- 5 class of lipoproteins is in fact composed of several components with distinct properties. The basis for this heterogeneity and the consequences for disease are at present not thoroughly understood. An increased level of small, dense LDL particles is one of the most common dyslipoproteinemias associated with coronary artery disease, and serum levels of ApoB are often disproportionately elevated compared with LDL-  
10 cholesterol in dyslipoproteinemic patients.

Heterogeneity within lipoprotein classes may be the result of a differing lipid content, a different apoprotein composition, an altered protein conformation or an as yet unidentified structural variation. Subjects with a preponderance of small, dense LDL

- 15 have an increased risk of suffering a myocardial infarction independent of the total concentration of serum LDL. Accordingly, a composition according to the present invention may be effective in lowering elevated levels of small, dense LDL.

- Hypertriglyceridemia in non-diabetic and diabetic subjects alike is associated with an  
20 increase in the clotting activities of thrombogenic factors such as e.g. factor VII and/or factor X and/or factor XII and an increase in the level of the inhibitor of tissue plasminogen activator, PAI-1. The increased inhibitor concentration results in a decreased level of plasminogen synthesis and thus a decreased level of plasminogen stimulated clot lysis. These changes in clotting activities no doubt contribute to the  
25 procoagulant state, which is also observed in diabetes. Accordingly, the present invention provides a composition, which may be effective in normalizing levels of homocysteine and/or the clotting activities of at least one thrombogenic factor such as e.g. factor VII and/or factor X and/or factor XII by e.g. decreasing the increased activity thereof, which is also observed in a subject diagnosed as having type 2 diabetes or  
30 diagnosed as having an impaired glucose tolerance or a decreased insulin sensitivity. Also, a composition according to the present invention may be effective in promoting a decrease in the level of the inhibitor of tissue plasminogen activator, PAI-1, which in turn leads to an increased plasminogen stimulated clot lysis. A composition according to the present invention may also be effective in reducing an increased platelet  
35 aggregability and/or mediating directly or indirectly a reduction in the increased level

of lipoprotein (a) associated with a procoagulant state in an arteriosclerotic condition and/or a diabetic condition.

Accordingly, in one embodiment the present invention provides a composition effective  
5 in reducing and/or eliminating risk factors for coronary heart disease (CHD) in obese subjects and in obese subjects suffering from a diabetic condition and/or the metabolic syndrome. Consequently, a composition according to the present invention may be capable of preventing, treating, prophylactically treating, alleviating and/or eliminating hyperinsulinemia and/or hypertriglyceridemia and/or hypercholesterolemia and/or  
10 hyperglycemia and/or hypertension and/or effective in mediating an increase in the low serum levels of HDL-cholesterol and/or effective in mediating an increased serum HDL/LDL-cholesterol ratio.

A composition according to the present invention may also be effective in treating  
15 dyslipidemia such as e.g. hypertriglyceridemia and/or hypercholesterolemia in connection with increased serum levels of VLDL, decreased and altered serum levels of HDL and increased serum levels of small dense LDL, and hypertension, all of which are risk factors for atherosclerosis. Accordingly, in one embodiment, a composition according to the present invention may be capable of effectively lowering and/or  
20 eliminating increased serum levels of VLDL, and/or effectively increasing decreased serum levels of HDL, and/or effectively lowering serum LDL levels including serum levels of small dense LDL. A composition according to the present invention may be capable of preventing, treating, prophylactically treating and/or alleviating hypertension.

25 A composition according to the present invention may also be effective in suppressing any effect that would otherwise generate an increased turnover of arterial smooth muscle cells, i.e. an enhanced arterial smooth muscle cell proliferation, and/or lead to an increased cholesterol ester accumulation in the arterial wall.

30 In hypercholesterolemia characterized by increased levels of intracellular cholesterol resulting from e.g. increased delivery of LDL-cholesterol via the LDL receptor, a composition according to the present invention may be effective in reducing the increased activity of the LDL receptor. It is also possible that insulin and other growth  
35 factors have the potential to promote the accumulation of cholesterol intracellularly. This may in fact well occur in a diabetic subject and more generally under conditions

when cells are stimulated, but cannot proliferate normally. Accordingly, a composition according to the present invention may also be capable of treating, alleviating and/or eliminating any decrease, including any insulin mediated decrease, in the HDL receptor-mediated cholesterol efflux. Accordingly, a composition according to the

5 present invention may be capable of reducing and/or eliminating any enhanced retention of intracellular cholesterol caused by a decreasing HDL receptor-mediated cholesterol efflux.

A composition according to the present invention may be effective in reducing insulin resistance by stimulating cells or receptors located thereon that are normally stimulated by insulin, but less sensitive to the hormone in a subject diagnosed with type 2 diabetes and/or the metabolic syndrome. A composition according to the present invention may also be effective in stimulating cells comprising a beta-2-adrenergic receptor or a receptor belonging to the class of beta-2-adrenergic

10 receptors. The final phase of type 2 diabetes development is characterized by insulin secretory failure (ISF), and in one presently preferred hypothesis, this failure is at least preventable by a composition according to the present invention effective in stimulating insulin secretion.

15 Hypertriglyceridemia in diabetes has been associated with a variety of changes in circulating lipoproteins, and a composition according to the present invention may be capable of preventing, treating, alleviating and/or eliminating cardiovascular risk factors such as e.g. chylomicronemia, an increased level of VLDL, an increased level of remnants (VLDL and chylomicrons), and LDL and HDL containing increased levels

20 of triglycerides.

Lipoprotein fractions obtained from type 2 diabetic subjects tend to lose their typical sharp LDL peak and instead have a broad diffuse LDL band termed polydisperse LDL. Dissection of polydisperse LDL reveals that diabetics have an increased serum level of

25 intermediate-density-lipoprotein (IDL), an abnormal LDL peak, and an increase in the amount of small dense LDL. While small dense LDL particles have been associated with CHD in the general population, a similar association in diabetes remains to be established. Accordingly, a composition according to the present invention may be effective in promoting a decreased serum level of intermediate density lipoprotein (IDL), a normal, sharp LDL peak, and a decreased amount of small dense LDL.

Accordingly, diabetic dyslipidemia of type 2 diabetes is generally associated with abnormalities of apolipoprotein and lipoprotein particle distributions and results in increased plasma VLDL and remnant levels, an increase in the apoE concentration in VLDL and remnants, an increase in the amount of small dense LDL, and an altered 5 HDL particle distribution.

According to one presently preferred hypothesis, a composition according to the present invention will alleviate abnormalities associated with apolipoprotein and lipoprotein particle distribution and promote a decreased plasma VLDL and remnant 10 level, a decrease in the apoE concentration in VLDL and remnants, a decrease in the amount of small dense LDL, and a HDL particle distribution similar to that of a comparable non-diabetic, healthy individual.

Hyperinsulinemia is also considered a risk factor for coronary heart disease (CHD) in 15 diabetic subjects due to the association of high insulin levels with increased incidence and mortality rates of CHD. A composition according to the present invention may be effective in lowering serum insulin levels in subjects diagnosed with type 2 diabetes. Diabetic patients having increased endogenous insulin levels, i.e. subjects diagnosed 20 with type 2 diabetes, or having increased peripheral circulating insulin levels as a result of intermittent injections of large amounts of exogenous insulin are particularly prone to hyperinsulinemia.

Hyperinsulinemia in both normal persons, persons with the metabolic syndrome and those with type 2 diabetes appears to be related to obesity. Insulin levels are very 25 often increased in both the fasted state and after intake of a diet rich in carbohydrates in obese individuals, irrespective of whether they suffer from a diabetic condition or not. Furthermore, hyperinsulinemia appears to be directly correlated to the degree of obesity. Accordingly, hyperinsulinemia is one of the many risk factors for CHD associated with obesity, and insulin may modulate many other obesity-related risk 30 factors. Accordingly, a composition according to the present invention may be effective in lowering insulin levels in obese subjects with diabetes or the metabolic syndrome.

In obese subjects diagnosed as diabetic, LDL particle size is independently correlated 35 with factors such as e.g. serum triglyceride and serum insulin levels. Consequently, it is possible that the extent of adiposity and concomitant insulin resistance in hyperinsulinemic individuals is associated with the occurrence small dense LDL,

independently of hypertriglyceridemia, which is another diabetic condition also putatively associated with small dense LDL formation. Accordingly, both insulin resistance and hyperinsulinemia appear to play a central role in the pathogenesis of atherosclerosis in diabetes. A composition according to the present invention may be

- 5 effective in treating and/or alleviating insulin resistance and/or hyperinsulinemia.

It is very possible that type 2 diabetes is also associated with insulin resistance and hyperinsulinemia independently of an increase in abdominal lipids. Hyperinsulinemia in turn is associated with dyslipidemia, i.e. increased VLDL, decreased and altered HDL

- 10 and increased small dense LDL, and with hypertension, all of which are risk factors for atherosclerosis. This array of abnormalities and disorders, or a part of thereof, is generally termed the insulin resistance syndrome, or syndrome X, or metabolic syndrome.

- 15 In one embodiment, a composition according to the present invention may be capable of effectively decreasing and/or eliminating increased serum levels of VLDL and/or LDL, and/or increasing decreased serum levels of HDL, and of decreasing and/or eliminating serum LDL levels including serum levels of small, dense LDL. A composition according to the present invention may also be capable of reducing an elevated level of small, dense LDL particles and/or reducing an elevated ratio of LDL-apoB to LDL-cholesterol and/or preventing, treating or alleviating hypertension.

- 20 Even though there is no internationally agreed definition for the metabolic syndrome, the term as used herein shall be understood to relate to the occurrence in a subject of at least two of the following: i) impaired glucose tolerance, ii) elevated blood pressure, iii) hypertriglyceridemia and low HDL-cholesterol, iv) insulin resistance, and v) obesity. The occurrence of a condition characterized by one or more of impaired glucose tolerance, elevated blood pressure, hypertriglyceridemia and low HDL-cholesterol, insulin resistance, and obesity will depend on variables such as sex, age, body weight,
- 25 physical condition and the like, and general WHO guidelines will generally be adhered to when evaluating the occurrence of any one of the above-mentioned conditions.

- 30 Hyperinsulinemia in itself may well be capable of affecting the arterial wall either directly or indirectly by promoting or facilitating the promotion of changes similar to those leading to severe atherogenesis. Insulin may well promote both arterial smooth muscle cell proliferation and cholesterol ester accumulation in the arterial wall. A

composition according to the present invention may in one embodiment be effective in preventing, treating, alleviating and/or eliminating fatty streak formation, fibrous plaque development, complicated lesion formation, thrombosis, platelet aggregation and/or myocardial infarction.

5

Since insulin can be expected to be capable, either in combination with other compounds such as additional growth factors, or on its own, of increasing the levels of intracellular cholesterol, by e.g. increasing a delivery of LDL-cholesterol via the LDL receptor, and concomitantly therewith increase an endogenous biosynthesis of

10 cholesterol that makes yet more cholesterol available for new membrane synthesis in the cell proliferation process, it is an object of the present invention to counteract any increased activity including any insulin stimulated increased activity of the LDL receptor.

15 Modifications of lipoproteins constitute another risk factor for cardiovascular disease, including cardiovascular disease in diabetes. The modification characterized by protein glycosylation is associated with e.g. arteriosclerosis and diabetes, and glycosylated lipoproteins such as e.g. LDL, IDL, VLDL and HDL can be expected to be functionally abnormal. Accordingly, the accumulation of glycosylated LDL in the plasma, including

20 the plasma of a diabetic subject, can be perceived to enhance cholesterol ester accumulation. Also, glycosylation of HDL can be expected to impair the ability of HDL binding to the HDL receptor. This impaired binding is likely to reduce the level of intracellular cholesterol efflux. Accordingly, glycosylated HDL may well be another factor potentially contributing to the accumulation of cholesterol in the arterial cell wall.

25 A composition according to the present invention may be effective in preventing, treating, alleviating, reducing and/or eliminating lipoprotein glycosylation in the serum of subjects, including diabetic subjects. In addition, a composition according to the present invention may also be effective in preventing lipoprotein modification caused e.g. by oxidation, chemical modification such as chemical cross-linking, or

30 modifications caused by an alteration in the lipid composition of the lipoprotein, such as any increase or decrease in the content of triglycerides, cholesterol esters, free cholesterol, and apolipoproteins.

Glycosylated lipoproteins have been suggested to be the subject of further processing  
35 leading to the formation of hyperglycosylated compounds. Glycosylation and hyperglycosylation of proteins including lipoproteins in both plasma and the arterial

wall can also be expected to be a risk factor for cardiovascular disease including arteriosclerosis, also in diabetic subjects. Accordingly, a composition according to the present invention may be capable of preventing, treating, alleviating, reducing and/or eliminating the accumulation of hyperglycosylated proteins in both serum and cells of

5 the arterial wall. By doing so, the composition is acting to decrease the amount of LDL becoming "trapped" in the arterial wall due to the high degree of glycosylation of arterial wall proteins. A composition according to the present invention may also be effective in preventing and/or alleviating any change to the endothelial cell wall that increase LDL "trapping", and it may be effective in restoring the formation of cells with

10 normal permeability and adhesion parameters.

Lipoprotein glycosylation, hyperglycosylation, oxidation and/or auto-oxidative glycosylation, are risk factors for cardiovascular disease such as arteriosclerosis, including arteriosclerosis in diabetes. Accordingly, a composition according to the

15 present invention may be effective in preventing, treating, alleviating, eliminating and/or reducing any incidence of lipoprotein glycosylation, hyperglycosylation, oxidation and/or auto-oxidative glycosylation. According to one presently preferred hypothesis, the phytoestrogen compound of a composition according to the present invention is capable of counteracting such incidences. The phytoestrogen compound

20 may also be capable of preventing, reducing and/or eliminating the formation of e.g. free radicals that are likely to be involved in such processes, and a composition according to the present invention may be effective in being, promoting, and/or facilitating the formation of an effective antioxidant defense system for counteracting glycosylation, hyperglycosylation, oxidation and/or auto-oxidative glycosylation of

25 serum proteins and proteins including lipoproteins of the arterial cell wall.

Since oxidative stress is a characteristic of diabetes and possibly a contributory factor to among others lipoprotein oxidation and/or glycosylation, and since no efficient antioxidant protection exists due to e.g. significantly decreased levels in diabetic

30 subjects of antioxidants such as e.g. ascorbic acid, a composition according to the present invention may be effectively acting as an antioxidant in preventing lipoprotein oxidation and/or glycosylation.

Generally, a composition according to the present invention may be effectively acting

35 as an antioxidant in preventing lipoprotein oxidation and/or glycosylation. By the term auto-oxidative glycosylation, or glycoxidation, is understood a reaction catalyzed e.g.

by reducing sugars that leads to an oxidative modification and/or cross-linking of proteins. The rate of such a process can be expected to be increased in the presence of high glucose concentrations since the oxidizing potential is significantly increased under such circumstances. An increased production of free radicals and lipid peroxidation may also contribute to the formation of auto-oxidative glycosylated lipoproteins and this contribution may also be effectively prevented and/or eliminated by a composition according to the present invention.

- According to another presently preferred hypothesis, the binding of phytoestrogen compounds such as e.g. isoflavones, optionally in combination with soy peptides e.g. obtainable by partial hydrolysis of soy protein, to a receptor in the arterial wall, such as e.g. the estrogen receptor, or an estrogen-like receptor, and optionally influenced by the level of specific phospholipids, such as phosphatidyl choline, is involved in or effective in controlling accumulation of lipoproteins and uptake of cholesterol and/or triglycerides in the arterial wall, possibly by regulating the permeability of said wall and/or the mechanism of lipoprotein and/or cholesterol and/or triglyceride transport across cellular membranes. Consequently, the binding of isoflavones such as e.g. genistein and/or daidzein to a receptor in the arterial wall may reduce the flux of lipoproteins into the arterial wall and/or prevent cholesterol and/or triglycerides from entering the arterial wall, or reduce and/or substantially eliminate the amount of cholesterol and/or triglycerides that enters the arterial wall. Receptor binding of isoflavones in the arterial wall is particularly effective in controlling, preventing and/or eliminating fatty streak formation and/or fibrous plaque development and/or effective in mediating a regression of one or both of said arteriosclerotic conditions.
- According to a particularly preferred hypothesis, binding of an isoflavones such as e.g. genistein and/or daidzein to a receptor in the arterial wall, preferably an estrogen receptor or an estrogen-like receptor, results in an increased nitric oxide synthesis in the endothelial cells of the arterial wall. Nitric oxide is known to exert anti-arteriosclerotic effects including inhibition of platelet adhesion and aggregation, and inhibition of smooth muscle cell proliferation. Soy peptides obtainable by hydrolysis of soy protein may participate in the binding of isoflavones to an estrogen receptor or an estrogen-like receptor or the soy peptides may themselves bind to said receptor and exert an action leading to an increased nitric oxide synthesis. Furthermore, specific phospholipids, e.g. phosphatidyl choline, may in response to cell stimuli, be broken down by specific phospholipases, thereby resulting in a number of hydrolysis products

having the potential to act as second messengers and thus markedly influence the effect of phytoestrogens.

- In another presently preferred hypothesis, the establishment of an oxidative potential
- 5 that promotes lipoprotein oxidation and/or lipoprotein auto-oxidative glycosylation occurs concomitantly with, and is very likely caused by, a decrease in cellular antioxidative defense systems. This hypothesis is supported by the fact that e.g. ascorbic acid concentrations are decreased in many diabetic individuals. Accordingly, a composition according to the present invention may be effective in acting as an
- 10 antioxidant. This action reduces and/or eliminates LDL, VLDL, IDL and/or HDL susceptibility to oxidation. Concomitantly with a direct anti-oxidative effect, a composition according to the present invention may also lower the increased serum glucose levels and by doing so, a composition according to the present invention may be effective in reducing the oxidizing potential causing and/or contributing to oxidative
- 15 stress.

- Furthermore, a composition according to the present invention may also be effective in reducing an enhanced susceptibility to endothelial injury and/or for alleviating and/or restoring and/or improving an inefficient endothelial cell repair mechanism leading to
- 20 endothelial dysfunction. One effect of such an action exerted by a composition according to the present invention is to direct macrophage development away from foam cell formation and to increase the potential of generating arterial smooth muscle cells.
- 25 The unique dyslipidemia associated with type 2 diabetes is a major risk factor for cardiovascular disease, and prevention, alleviation, reduction and/or elimination of dyslipidemia in diabetic subjects is a prime objective of administration of a composition according to the present invention to a diabetic individual. Another important objective of such an administration is the development in a diabetic subject of a gradually
- 30 reduced insulin resistance and/or a gradually improved glucose tolerance. Since increasing insulin resistance and impaired glucose tolerance are key elements in the progression of type 2 diabetes, the same factors must also be a natural focus of any preventive treatment.
- 35 In another presently preferred hypothesis, a composition according to the present invention will promote and/or mediate a reduction in arterial wall thickness and lead to

a reduction in the amount of LDL entering the wall. It is believed that an increased thickness of the arterial wall is positively associated with an increased uptake of LDL particles that are likely to either aggregate or oxidize within the cells of the arterial wall.

- 5 Also, a composition according to the present invention may be capable of reducing, eliminating and/or preventing the formation of increased serum levels of lipoprotein (a) in a subject, including a diabetic subject. Lipoprotein (a) levels may primarily be genetically determined, and no current cardiovascular medications are thought effective in lowering serum levels of lipoprotein (a).
- 10 Obstructive pulmonary disease (OPD) including chronic obstructive pulmonary disease (COPD) as used herein is defined as a condition comprising subjects with airways limitations or obstructions or subjects with a mucus hypersecretory condition including chronic mucus hypersecretion, i.e. subjects with asthma including chronic asthma and subjects with bronchitis including chronic bronchitis. However, a clear distinction between e.g. bronchial asthma and chronic bronchitis can be difficult and sometimes impossible to make, and a sharp distinction between COPD and OPD is therefore not always possible.
- 15 Mucus hypersecretion and a limited or obstructed airflow are two major characteristics of COPD. According to one presently preferred theory, mucus hypersecretion is an initial mechanism that leads to recurrent respiratory infections, that in turn generates a destruction of the airways and promotes a development of pulmonary parenchyma and airflow obstruction. At least two separate conditions, i) mucus hypersecretion and ii)
- 20 dyspnea, are identifiable due to an obstructive or limited lung function. Chronic mucus hypersecretion and obstructive airflow are not necessarily related, since an individual may have a hypersecretory disorder only, or an obstructive disorder only, or both a hypersecretory and an obstructive disorder. Chronic mucus hypersecretion is associated with an impaired mucociliary clearance and may therefore predispose to
- 25 lung cancer by causing a prolonged contact between potential carcinogens with the bronchial epithelium. Accordingly, a composition according to the present invention may be effective in treating and/or alleviating mucus hypersecretion and dyspnea in a subject.
- 30 35 Asthma as used herein is defined as a respiratory disease in which spasm and constriction of the bronchial passages and swelling of their mucous lining cause

obstruction of breathing, often, but not exclusively, due to allergy. One mechanism for expiratory airflow limitation in asthma is a smooth muscle contraction leading to a narrowing of the airway lumen. Asthma is frequently divided clinically into extrinsic and intrinsic asthma, separating asthma triggered by environmental allergens from that in which atopy does not appear to play a major role. Consequently, a composition according to the present invention may be effective in preventing, treating and/or alleviating smooth muscle contraction.

In asthma the airways are occluded by tenacious plugs of exudate and mucus, and there occurs a fragility of airway surface epithelium, thickening of the reticular layer beneath the epithelial basal lamina, bronchial vessel congestion and edema. An increased inflammatory infiltrate comprising "activated" lymphocytes and eosinophils, and an enlargement of bronchial smooth muscle, particularly in medium-sized bronchi, is also observed. Asthma comprises at least extrinsic (atopic or allergic) and intrinsic (non-atopic) divisions, each of which present clinically in a variety of ways. A composition according to the present invention may be effective in preventing and/or alleviating the formation of tenacious plugs of exudate and mucus, effective in preventing, treating and/or alleviating a fragility of airway surface epithelium subsequently generated by mucus secretion, effective in preventing, reducing and/or eliminating any thickening of the reticular layer beneath the epithelial basal lamina, and effective in preventing, treating and/or alleviating bronchial vessel congestion and/or edema.

Asthma may in some cases be regarded as a chronic inflammatory disease. Since the term chronic asthmatic bronchitis has no clearly defined pathologic equivalent, patients having a chronic productive cough normally associated with chronic bronchitis, as well as bronchospasms, at the same time as having an airflow obstruction, will be regarded as suffering from both chronic bronchitis as well as small airways disease (chronic obstructive bronchitis) and asthma, since the pathology presumably would be that of those conditions.

A composition according to the present invention may be effective in preventing, alleviating and/or curing inflammation of the airways, whether transient or chronic. Airway inflammation is thought to be an important contributor to asthma, and airway inflammation may well be present even in the absence of severe symptoms of asthma. In one particularly preferred aspect the present invention provides a treatment and/or

alleviation of an inflammation of the airways by means of an anti-oxidative effect exerted by a composition according to the present invention. The anti-oxidative effect may in particular be exerted by naturally occurring isoflavones forming part of a composition according to the present invention.

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- A composition according to the present invention may be effective in increasing FEV<sub>1</sub>, as measured by forced expiratory volume in the first second of expiration, said effect being exerted by the binding of a component of the composition, particularly naturally occurring isoflavones, to beta-2 receptors or receptors belonging to the class of beta-2 receptors. Beta-2 receptors are present on many different types of cells including cells in airways and vessels. A composition according to the present invention may also be effective in generating a dilatation of the airways in a subject, preferably a subject suffering from a pulmonary disease.
- 10      15      20      25      30
- The occurrence of bronchial inflammation in asthma is, according to one presently preferred hypothesis, thought to arise at least in part from an airway response to an antigen in an allergic subject. The response includes immediate pulmonary mast-cell activation and initiation of an inflammatory response that develops over hours and is important in the later and more persistent development of bronchial obstruction. A composition according to the present invention may be effective in treating, alleviating and/or eliminating several of the causes of airway obstruction that - alone or in combination - contributes to bronchial hyperresponsiveness, i.e. the fundamental defect in asthma. Importantly, airway inflammation is believed to be a crucial component for i) the chronicity of asthma, ii) the intensity of airways hyperresponsiveness, and iii) the absence of a complete therapeutic control, when bronchodilator therapy is used alone. Consequently, a composition according to the present invention may be effective in controlling, reducing and/or eliminating edema, mucus secretion, and inflammation of the airways resulting at least in part from a response to an allergen.
- 35
- Although the precise pathogenesis of asthma has yet to be discovered, allergic reactions and respiratory infections are particularly important. Both are frequent factors in asthma and exacerbations of asthma, and both not only trigger acute asthmatic symptoms but may also enhance the degree of airway hyperresponsiveness long after the initial stimulus has been removed. Of particular interest has been the airway's response to an inhaled antigen. Almost all subjects with allergic asthma experience

immediate bronchospasm following inhalation of an antigen, i.e. acute airway obstruction, within 15 min of antigen exposure. In these subjects, antigen inhalation initiates not only immediate bronchoconstriction, but also the reappearance of airway obstruction 4 to 6 hours later, a condition known as late asthmatic reaction or LAR.

- 5 The late asthmatic response has a number of features that are characteristic of chronic asthma such as e.g. less responsiveness to bronchodilator therapy than the isolated acute event, an increased airway responsiveness, and the development of bronchial inflammation. Two features of the LAR to antigen inhalation suggest a linkage to the pathogenesis of asthma: The presence of bronchial inflammation and
- 10 the enhancement of bronchial responsiveness. Consequently, a composition according to the present invention may be capable of preventing both immediate bronchoconstriction as well as a late asthmatic reaction.

- Asthmatic reactions following inhalation of an antigen include an immediate release from pulmonary mast cells of preformed mediators and a generation of a variety of factors needed to initiate an acute allergic airway reaction. Because the airways of patients with asthma are hyperresponsive, the immediate bronchial reaction to mast cell bronchospastic mediators is accentuated beyond the pharmacological properties of these substances. With cellular activation by antigen and membrane-bound IgE interaction, the mast cell initiates a generation of leukotrienes and prostaglandins. The leukotrienes, C<sub>4</sub>, D<sub>4</sub>, E<sub>4</sub>, along with histamine, are undoubtedly involved in the acute bronchospastic response because of their airway smooth muscle contractile properties. The generation and release by mast cells of chemotactic factors is important for the recruitment of inflammatory cells to the airway and for the subsequent development of the late asthmatic response. Accordingly, a composition according to the present invention may be capable of effectively reducing or eliminating mast cell mediated secretion of mediators such as e.g. heparin, histamine and sulphidopeptide leukotrienes C<sub>4</sub>, D<sub>4</sub>, and E<sub>4</sub>.
- 30 Associated with the development of the LAR is a recruitment of inflammatory cells to the airway, including neutrophils, macrophages, lymphocytes, eosinophils, monocytes, and basophils. With their entry into the airways, and presumable cellular activation, airway obstruction reappears. It is thought that components of airway obstruction in LAR include bronchospasm, edema, and inflammation. An additional consequence of the LAR is an increase in airway hyperresponsiveness; thus, the asthmatic process is further perpetuated and positively reinforced. Consequently, a
  - 35

composition according to the present invention may be capable of effectively controlling in a late asthmatic response the symptoms of bronchospasm, edema, and inflammation, and in addition also effectively controlling such as reducing and/or eliminating any increase in airway hyperresponsiveness.

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Furthermore, mast cells may according to another presently preferred hypothesis produce various cytokines, interleukin 3 (IL-3), interleukin 5 (IL-5), and granulocyte/macrophage colony-stimulating factor (GM-CSF), which can perpetuate the allergic reaction by further priming inflammatory cells. Consequently, a

10 composition according to the present invention may be capable of effectively controlling i.e. reducing and/or eliminating the production of various cytokines, interleukins such as e.g. interleukin 3 (IL-3) and interleukin 5 (IL-5), and granulocyte/macrophage colony-stimulating factor (GM-CSF), and reduce any further priming of inflammatory cells during an early and/or late asthmatic response.

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A class of cells termed neutrophils can be found in lavage fluid from an asthmatic subject, but the precise role of neutrophils in the generation of a late allergic reaction has not yet been established. The neutrophil cell has a potential for generating inflammation by releasing e.g. lysosomal enzymes, oxygen metabolites, leukotriene B<sub>4</sub>

20 and by synthesizing histamine-releasing factor (HRF). HRF can amplify the allergic reaction by causing mediator release from a class of cells termed basophils that also appear during a late allergic reaction. Consequently, a composition according to the present invention may be capable of effectively controlling i.e. reducing and/or eliminating a neutrophil production of e.g. lysosomal enzymes, oxygen metabolites, leukotriene B<sub>4</sub> and histamine-releasing factor (HRF).

Evidence also exists for an implication of the group of cells termed eosinophils in an asthmatic response. Circulation of eosinophils leads to an increased severity of airway obstruction. Eosinophil granular associated proteins, including major basic protein

30 (MBP), eosinophil cationic protein, eosinophil-derived neurotoxin, and eosinophil peroxidase are known to have profound effects on airway and cell function. MBP in particular has a number of unique properties accentuating the asthmatic response. MBP can directly injure airway epithelium, promote bronchial responsiveness, and mediate smooth muscle contraction. MBP further activates the release of mediators from mast cells and basophils. Eosinophils may also be involved in initiating tissue damage associated with various allergic diseases, such as e.g. the epithelial

desquamation observed in asthmatics. This tissue damage has been suggested to be mediated in part via the release of cytotoxic mediators such as major basic protein (MBP), eosinophil cationic protein (ECP), and eosinophil peroxidase (EPO).

- 5    Eosinophil activation results in the release of a number of important mediators, including leukotriene C<sub>4</sub>, which can contract airway smooth muscle, and platelet-activating factor (PAF). The release process of PAF has not been fully defined, but if secreted, this lipid mediator could contract airway smooth muscle as well as increase bronchial responsiveness. Furthermore, PAF is a potent eosinophil chemoattractant
- 10   and a functional primer. Accordingly, eosinophils possess properties directly and indirectly causing airway obstruction and promoting bronchial hyperresponsiveness. Consequently, a composition according to the present invention may be capable of effectively controlling i.e. reducing and/or eliminating any increase in the formation of eosinophils during an asthmatic response. A composition according to the present
- 15   invention may further be effective in controlling the production of eosinophil granular associated proteins including major basic protein (MBP), eosinophil cationic protein, eosinophil-derived neurotoxin, and eosinophil peroxidase. In an even further embodiment, a composition according to the present invention may be effective in controlling i.e. reducing and/or eliminating the release of mediators from mast cells,
- 20   neutrophils, basophils and eosinophils, in particular the release of mediators such as e.g. leukotriene C<sub>4</sub> and platelet-activating factor (PAF), IL-3, GM-CSF and IL-5.

To generate airway inflammation after eosinophil recruitment, a number of events need to occur such as e.g. eosinophil migration to the lung and eosinophil activation.

- 25   The last event is likely to involve eosinophil adhesion to endothelium and, eventually, airway epithelium. Accordingly, a composition according to the present invention may be effective in preventing eosinophil participation in the bronchial responsiveness process by inhibiting eosinophil adhesion to endothelium and epithelium.
- 30   Mast cells may also release compounds such as heparin and related proteoglycans, but the release of such mediators have so far not received much attention from allergy researchers. These highly anionic molecules are normally only associated with the binding histamine within mast cell granules. These molecules may act as natural antiinflammatory molecules and, thus, have a far greater role in the pathogenesis of
- 35   allergic diseases. Accordingly, a composition according to the present invention may be effective in promoting the release of potentially antiinflammatory molecules such as

e.g. heparin and related proteoglycans. Also, it has been reported that another cationic protein, platelet factor 4 (PF4), is a chemotactic agent for human eosinophils and is a molecule well recognized for its ability to bind heparin. It is therefore plausible that endogenous heparin could be released to limit both the extent of eosinophil

- 5 recruitment into sites of allergic inflammation as well as the extent of tissue damage induced by cationic proteins. Lymphocytes are also likely to be involved in the pathogenesis of allergic asthma. Recent studies have suggested that heparin acts as an immunomodulator inhibiting lymphocyte activation and trafficking and, like glucocorticosteroids, can also inhibit delayed hypersensitivity responses.

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Further evidence of asthma being a chronic inflammatory disease is provided by the observation that an exposure to an allergen that results in tissue damage is likely to lead to a repair of the damage. Evidence of this repair process can most likely be seen in the asthmatic lung, where a thickened basement membrane is believed to be

- 15 related to subepithelial fibrosis, the presence of myofibroblasts, and collagen deposition. Also, asthma is further characterized by a thickened smooth muscle layer. The above-mentioned changes appear very early in the disease and are not constricted to patients with chronic asthma. Furthermore, even following chronic treatment with inhaled glucocorticosteroids for periods of up 10 years, the thickness of  
20 the basement membrane is not reduced, although such therapy reduces the number of inflammatory cells present in the biopsies and the extent of the epithelial damage. Such clinical observations suggest that once these anatomic changes have appeared they may not be readily reversible, even with the most aggressive therapy currently available. Thus, it is plausible that once established, such anatomic changes may  
25 underlie the irreversible component of the disease, and by altering the geometry of the airway wall, these changes may contribute to the persistent airways hyperresponsiveness that does not respond to treatment. Accordingly, a composition according to the present invention may, in one particularly preferred embodiment, be capable of effectively preventing and/or alleviating the formation of a thickened  
30 basement membrane or a smooth muscle layer, subepithelial fibrosis, the presence of myofibroblasts, and a deposition of collagen.

- Bronchitis as used herein is defined as an acute or chronic inflammation of any part of the bronchi and bronchial tubes. The bronchi are large delicate tubes in the lungs that  
35 are attached to the trachea and carry air to smaller tubes in the lungs. In bronchitis, including chronic bronchitis, there is mucous hypersecretion, an enlargement of

- tracheobronchial submucosal glands, and a disproportionate increase of mucous acini. Acute bronchitis is often characterized by fever, chest pain, severe coughing, and secretion of mucous material coughed up from the respiratory tract. Acute bronchitis affects the branches of the bronchi and may develop into bronchial or lobular
- 5 pneumonia. Chronic bronchitis may result from repeated attacks of acute bronchitis. Consequently, a composition according to the present invention may be effective in controlling mucous hypersecretion, preventing, treating and/or alleviating an enlargement of tracheobronchial submucosal glands, and reduce and/or eliminate a disproportionate increase of mucous acini. The pathologic equivalent to chronic
- 10 bronchitis is a non-specific series of changes in the bronchial wall generally characterized by an increase in the size and number of mucous glands and an increased number of goblet cells in the epithelium. When progressing into a chronic condition, bronchitis is a serious and incurable disorder. Consequently, a composition according to the present invention may be effective in controlling a series of changes
- 15 in the bronchial wall generally characterized by an increase in the size and number of mucous glands and an increase in the number of goblet cells. A composition according to the present invention may also be capable of reducing and/or eliminating any mucus production including an increased mucus production.
- 20 Bronchial infections usually remain confined to the mucosa, and some resolve spontaneously without the need for treatment. Chronic bronchitis affects both the large and small airways. In the large airways, hypertrophy and hyperplasia of glandular structures and goblet cell metaplasia are prominent features of the condition. In the small airways, peribronchiolar fibrosis and airway narrowing may be prominent
- 25 features. In chronic bronchitis hypertrophy of glandular structures and goblet cell metaplasia in the proximal airways likely contribute to an increased mucus production, the expectoration of which is one defining characteristic of chronic bronchitis. Consequently, a composition according to the present invention may be effective in preventing an airflow limitation in a subject prone to contracting bronchitis and/or to
- 30 alleviate any airflow limitation or obstruction already present in said subject. Particularly, a composition according to the present invention may be effective in controlling hypertrophy and hyperplasia of glandular structures and goblet cell metaplasia, as well as peribronchiolar fibrosis and a narrowing of the small airways.
- 35 Bronchitis may be caused by a number of factors including viral and/or bacterial infection, environmental pollutants including cigarette smoke, and allergy. These

- factors may occur together or separately. A viral infection may e.g. predispose an individual to a subsequent bacterial infection. Bronchial infections occur in patients with abnormal airways who have reduced host defenses. The three major bacterial pathogens isolated during bronchial infections are non-typable Haemophilus
- 5 influenzae, moraxella catarrhalis, and Streptococcus pneumoniae. A composition according to the present invention may especially be effective in preventing viral and/or bacterial infection in a subject by e.g. increasing the host defenses of said subject.
- 10 The term small airways as used herein is defined as small bronchi and bronchioles that contain no cartilage, glands or alveoli in their walls and measure 2 mm or less in internal diameter. The term small airways disease is used for a group of non-specific histological changes of peripheral airways found in individuals with a limited or obstructed airflow, including individuals having features such as mucus plugging,
- 15 chronic inflammation, and muscular enlargement of small airway walls. Small airways disease is present in some patients with the clinical picture of chronic bronchitis. Consequently, a composition according to the present invention may be effective in preventing, treating, prophylactically treating and/or alleviating a limited or obstructed flow of air through the small airways.
- 20 In small or peripheral airways disease, there is inflammation of bronchioli and mucous metaplasia and hyperplasia, increased intraluminal mucus and increased wall muscle. Consequently, a composition according to the present invention may be effective in controlling inflammation of the bronchioli and mucous metaplasia and hyperplasia, and
- 25 effective in reducing and/or eliminating any increased intraluminal mucus formation and/or any increased wall muscle development.
- BRIEF DESCRIPTION OF THE DRAWINGS**
- Figure 1 shows the individual intake of pellets fed to the various treatment groups of Example 1.
- 30 Figure 2 shows the increase in body weight of the various treatment groups of Example 1.
- Figure 3 shows the plasma cholesterol concentrations of the various treatment groups
- 35 of Example 1.

Figure 4 shows the development in plasma cholesterol levels during the experimental period of the various treatment groups of Example 2.

Figure 5 shows the plasma cholesterol levels at the end of the experimental period of  
5 the various treatment groups of Example 2.

Figure 6 shows the average aortic cholesterol versus average plasma cholesterol of the various treatment groups of Example 2.

10 Figure 7 shows the development in plasma cholesterol during the experimental period of the various treatment groups of Example 3.

Figure 8 shows the cholesterol intake during the experimental period of the various treatment groups of Example 3.

15 Figure 9 shows the total aortic cholesterol of the various treatment groups of Example 3.

Figure 10 shows the average aortic cholesterol versus average plasma cholesterol of  
20 the various treatment groups of Example 3.

Figure 11 shows the development in plasma cholesterol levels during the experimental period of the various treatment groups of Example 4.

25 Figure 12 shows the plasma cholesterol levels at the end of the experimental period of the various treatment groups of Example 4.

Figure 13 shows the average aortic cholesterol versus average plasma cholesterol of the various treatment groups of Example 4.

30 Figure 14 shows the change in LDL-cholesterol during the 8 week treatment period of the various treatment groups of Example 5.

Figure 15 shows the development in LDL cholesterol levels during the experimental period of the various treatment groups of Example 5.

Figure 16 shows the change in total cholesterol during the 8 week treatment period of the various treatment groups of Example 5.

Figure 17 shows the development in total cholesterol levels during the experimental  
5 period of the various treatment groups of Example 5.

Figure 18 shows the change in apolipoprotein B during the 8 week treatment period of the various treatment groups of Example 5.

10 Figure 19 shows the development in apolipoprotein B levels during the experimental period of the various treatment groups of Example 5.

Figure 20 shows the change in triglycerides during the 8 week treatment period of the various treatment groups of Example 5.

15 Figure 21 shows the lipid lowering effects during the 8 week treatment period of the various treatment groups of Example 5.

Figure 22 shows the lipid lowering effects of the treatment group receiving the Abacor  
20 product relative to the treatment group receiving the Supro Soy product of Example 5.

Figure 23 shows the average total cholesterol level of the subjects in phase I, II and III of Example 6.

25 Figure 24 shows the average LDL cholesterol level of the subjects in phase I, II and III of Example 6.

Figure 25 shows the average total cholesterol level of the male subjects in phase I, II and III of Example 6.

30 Figure 26 shows the average LDL cholesterol level of the male subjects in phase I, III and III of Example 6.

Figure 27 shows the average total cholesterol level of the female subjects in phase I, II and III of Example 6.

Figure 28 shows the average LDL cholesterol level of the female subjects in phase I, II and III of Example 6.

## DETAILED DESCRIPTION OF THE INVENTION

- 5 A composition according to the present invention comprises a novel combination of soy protein, preferably isolated soy protein, a phytoestrogen compound, preferably naturally occurring isoflavones, a phospholipid source, preferably having high fixed levels of phosphatidyl choline, more preferably soy lecithin and optionally dietary fibers, preferably soybean fibers, more preferably soy cotyledon fibers.
- 10 The soy protein can be provided by isolated soy protein, soy protein concentrate, soy flour or the like or any combination thereof. Isolated soy protein is preferred. Processed Isolated soy protein is particularly preferred.
- 15 Isolated soy protein is the major proteinaceous fraction of soybeans. It is prepared from high quality, dehulled, defatted soybeans by removing a preponderance of the non-protein components resulting in an isolated soy protein fraction which in the present context shall contain at least 90 percent protein ( $N \times 6.25$ ) on a moisture free basis. The preparation takes place through a series of steps in which the soybean protein portion is separated from the rest of the soybean. The removal of carbohydrate results in a product, which is essentially bland in flavor and therefore particularly useful in a nutritional composition for humans.
- 20
- 25 Soy protein concentrates are made by removing most of the oil and water-soluble non-protein constituents from defatted and dehulled soybeans. In the present context a soy protein concentrate shall preferably contain at least 65 percent protein on a moisture-free basis.
- 30 The soy protein can also be provided by soy flour, which can be full-fat or defatted soy flour. Full-fat soy flour comes from whole, dehulled soybeans that have been ground into a fine powder and, as the name implies, still contains the fat naturally found in soybeans. Defatted soy flour comes from whole, dehulled, defatted soybeans that have been ground into a fine powder. Soy flour is approximately 50 percent soy protein on a dry weight basis in the present context.

The soy protein used in a composition according to the present invention should preferably supply all the essential amino acids in the amounts required for humans.

Preferably, the soy protein should also meet or exceed the essential amino acid requirement pattern for children and adults as established by the Food and Agricultural

- 5 Organization, World Health Organization and United Nations University (FAO/WHO, UNU). Furthermore, the preferred soy protein should be comparable in digestibility to milk, meat, fish, and egg protein. Finally, the preferred soy protein shall be effective in maintaining nitrogen balance when consumed at the recommended protein intake level.

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Researcher have shown that specific amino acids may to some extent effect serum lipid levels and potentially alleviate cardiovascular diseases. Animal studies have indicated that the amino acid lysine increases serum cholesterol levels, while arginine counteracts this effect (Kurowska et al., J. Nutr. 124, 364-370 (1994) and Sanchez et

- 15 al., Med. Hypotheses 35, 324-329 (1991). This observation appears to be in correspondence with the well established influence of NO on vasodilation, since arginine may potentially be converted to citrullin and NO by NO-synthetase. Thus according to a presently preferred hypothesis soy protein having a high arginine to lysine ratio effects serum lipid levels and alleviates symptoms of cardiovascular

- 20 diseases to a greater extent than soy protein having a lower or normal arginine to lysine ratio. Consequently, isolated, potentially processed, soy protein having a high arginine to lysine ratio is a particularly preferred soy protein source in a composition according to the present invention. Preferably the soy protein of the soy protein source in a composition according to the present invention should have an arginine to lysine

- 25 ratio of at least about 1.0, such as at least about 1.1, for example at least about 1.2, such as at least about 1.3, for example at least about 1.4, such as at least about 1.5, for example at least about 1.6, such as at least about 1.7, for example at least about 1.8, such as at least about 1.9, for example more than about 2, such as at least about 2.1, for example at least about 2.2, such as at least about 2.5, for example at least

- 30 about 2.75, such as at least about 3, for example more than about 3.3, such as at least about 3.6, for example at least about 4, such as at least about 4.5, for example at least about 5, such as at least about 6, for example at least about 7, such as at least about 8, for example at least about 9, such as at least about 10, for example at least about 11, such as at least about 12, for example at least about 13, such as at least about 14.

Preferred isolated soy protein products meeting some or all of the foregoing requirements are supplied by Protein Technologies International, Inc. under the brand name SUPRO®. SUPRO® isolated soy proteins are supplied in many different qualities and SUPRO® XT 12C is one particularly preferred quality. The currently most 5 preferred quality is termed SUPRO® FXP-HO159.

The soy protein is preferably the main or sole protein source in a nutritional composition according to the present invention. However, parts of the protein source may be provided by other proteins such as e.g. skimmed milk, preferably as a powder, 10 and other vegetable or animal proteins including dairy proteins. Preferably, at least 30 weight percent, such as 35 weight percent, for example at least 45 weight percent, such as 50 weight percent, for example at least 60 weight percent, such as at least 70 weight percent, for example at least 75 weight percent, such as at least 80 weight percent, for example at least 85 weight percent, such as at least 90 weight percent, for 15 example at least 95 weight percent, such as at least 98 weight percent of the total protein content of the composition is soy protein, and more preferably substantially all of the protein is soy protein.

In a preferred embodiment of the invention the soy protein is provided by isolated soy protein. In this embodiment, preferably at least 50 weight percent, for example at least 60 weight percent, such as at least 70 weight percent, for example at least 75 weight percent, such as at least 80 weight percent, for example at least 85 weight percent, such as at least 90 weight percent, for example at least 95 weight percent, such as at least 98 weight percent of the total protein content of the composition is isolated soy 20 protein, and more preferably substantially all of the protein is provided by isolated soy protein. 25

The total protein content of a composition according to the present invention provides at least 15 percent of the total energy content of the composition, for example 18 30 percent, such as at least 20 percent, for example at least 22 percent, such as at least 25 percent, for example at least 28 percent, such as at least 30 percent, for example at least 32 percent, such as at least 35 percent, for example at least 38 percent, such as at least 40 percent, for example at least 42 percent, such as at least 45 percent, for example at least 48 percent, such as at least 50 percent of the total energy content of 35 the composition, and preferably less than 90 percent of the total energy content of the composition.

Phytoestrogen compounds according to the present invention are defined as naturally occurring plant substances, said substances being either structurally or functionally similar to 17 $\beta$ -estradiol or generating estrogenic effects. Phytoestrogens consist of a

5 number of classes including isoflavones, coumestans, lignans and resorcylic acid lactones. Examples of isoflavones according to the present invention are genistein, daidzein, equol, glycinein, biochanin A, formononetin, and O-desmethylangolaezin. The phytoestrogen compounds of a composition according to the present invention are preferably isoflavones, more preferably genistein, daidzein, glycinein and/or equol, yet

10 more preferably genistein and/or daidzein, and even more preferably genistein. A preferred composition according to the present invention may accordingly comprise a single isoflavone, such as genistein, daidzein, glycinein or equol, or it may comprise at least one isoflavone selected from the group comprising at least genistein, daidzein, glycinein and equol. When present in the plant the isoflavones are mainly in a

15 glucoside form, i.e. attached to a sugar molecule. This glucoside form can be deconjugated to yield a so-called aglycone form, which is the biologically active species. A composition according to the present invention may comprise isoflavones in glucoside and/or aglycone forms regardless of whether the deconjugation to the aglycone form has taken place biologically, *in vitro* or by any other means whereby the

20 isoflavones are included in a composition according to the present invention or if the aglycone forms are the native form of the isoflavones.

A number of studies have shown that a decrease in bone mineral content (BMC) may be a result of weight loss, menopause or exercise. The reduction in BMC may be due

25 to a reduced mineral intake, but can also partially be attributed to the hormonal changes associated with e.g. strict dieting and weight loss or menopause, as the decrease of estrogen in both males and females is associated with osteoporotic changes. The decrease in BMC may be counteracted, to a certain degree, by the intake of calcium supplements, but in the literature several lines of evidence suggest

30 that also soy isoflavones and related compounds act as estrogen agonists and have beneficial related skeletal effects. A beneficial effect of ingestion isoflavones have been demonstrated by a 2-year study, performed in Denmark at Institute for Optimum Nutrition, in which it could be shown that isoflavone-rich soymilk (100 mg/d) prevents bone loss in the lumbar spine of postmenopausal woman (Eva Lydeking-Olsen et al.).

35 This study is of specific interest because there are no previously published studies on the long term effect of soy intake on bone mass, only short term human studies have

shown a bone-sparing effect of soy protein with isoflavones in the range of 80-90 mg/d. Thus, without being bound by theory, a composition according to the present invention preferably contains an amount of isoflavones capable of providing a beneficial effect on the BMC.

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The phytoestrogen compound is preferably present in an amount of at least about 0.10 weight percent of the soy protein content. More preferably the phytoestrogen compound is present in an amount of at least 0.10 weight percent of the soy protein content, such as at least about 0.11 weight percent, for example at least about 0.12

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weight percent, such as at least about 0.14 weight percent, for example at least about 0.16 weight percent, such as at least about 0.18 weight percent, for example at least about 0.20 weight percent, such as at least about 0.22 weight percent, for example at least about 0.24 weight percent, such as at least about 0.25 weight percent, for example more than about 0.25 weight percent, such as at least about 0.26 weight

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percent, for example at least about 0.28 weight percent, such as at least about 0.30 weight percent, for example at least about 0.32 weight percent, such as at least about 0.33 weight percent, for example more than about 0.33 weight percent, such as at least about 0.35 weight percent, for example at least about 0.40 weight percent, such as at least about 0.45 weight percent, for example at least about 0.50 weight percent,

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such as at least about 0.55 weight percent, for example at least about 0.60 weight percent, such as at least about 0.65 weight percent, for example at least about 0.70 weight percent, such as at least about 0.75 weight percent, for example at least about 0.80 weight percent, such as at least about 0.85 weight percent, for example at least about 0.90 weight percent, such as at least about 1.0 weight percent of the soy protein content, and preferably less than 2.50 weight percent of the soy protein content.

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In the past, the downstream processing techniques used in the preparation of soy proteins have included steps that removed and/or destroyed isoflavones. Methods are available today, which provide soy protein products with high, fixed levels of naturally occurring isoflavones. The isoflavones according to the present invention in glucoside and/or aglycone forms can be included in a composition according to the present invention as part of such soy protein products and/or by themselves and/or as part of any other composition comprising isoflavones.

35

The phospholipid source according to the present invention will preferably comprise polyunsaturated fatty acids and monounsaturated fatty acids and optionally also

saturated fatty acids. Soy lecithins and  $\alpha$ -linolenic acid are particularly preferred. The phospholipid source will preferably comprise at least about 5% phosphatidyl choline, such as at least 10% phosphatidyl choline. The phospholipid source will more preferably comprise at least about 20% phosphatidyl choline, such as at least about 5

30% phosphatidyl choline, for example at least about 35% phosphatidyl choline, such as at least about 40% phosphatidyl choline, for example at least about 45% phosphatidyl choline, such as at least about 50% phosphatidyl choline, for example more than about 55% phosphatidyl choline phosphatidyl choline by weight, such as at least 60% phosphatidyl choline, for example at least about 65% phosphatidyl choline, 10 such as at least about 70% phosphatidyl choline, for example at least about 71% phosphatidyl choline, such as at least about 72% phosphatidyl choline, for example at least about 73% phosphatidyl choline, such as at least about 74% phosphatidyl choline, for example more than about 75% phosphatidyl choline, such as at least about 76% phosphatidyl choline, for example at least about 77% phosphatidyl choline, 15 such as at least about 78% phosphatidyl choline, for example at least about 79% phosphatidyl choline, for example more than about 80% phosphatidyl choline, such as at least about 85% phosphatidyl choline, for example at least about 90% phosphatidyl choline, such as at least about 98% phosphatidyl choline, for example 100% phosphatidyl choline by weight.

20 The phospholipid source will preferably comprise polyunsaturated fatty acids and monounsaturated fatty acids and optionally also saturated fatty acids. The amount of polyunsaturated fatty acids and monounsaturated fatty acids, including the essential fatty acids, may range from 35 to 50, preferably 38 to 44, weight percent of the total 25 amount of the fat source. The essential fatty acids are also called omega-6 and omega-3 fatty acids and include linolic acid and/or linolenic acid ( $\alpha$ -linolenic acid). The amount of saturated fatty acids may be from 20 to 30 weight percent, preferably 22 to 26 weight percent, of the total amount of the phospholipid source. In a composition according to the present invention, the phospholipid source usually provides from 5 to 30 70 percent, preferably 10 to 60 percent, such as from 15 to 50 percent, for example from 20 to 40 percent, such as from 25 to 35 percent of the total energy content of the composition.

The phospholipid source preferably provides at least about 5 percent of the total 35 energy content of the composition, such as at least about 10 percent, for example at least about 15 percent, such as at least about 20 percent, for example at least about

- 21 percent, such as at least about 22 percent, for example at least about 23 percent, such as at least about 24 percent, for example more than about 25 percent, such as at least about 26 percent, for example at least about 27 percent, such as at least about 28 percent, for example at least about 29 percent, such as at least about 30 percent,
- 5 for example more than about 31 percent, such as at least about 32 percent, for example at least about 33 percent, such as at least about 34 percent, for example at least about 35 percent, such as at least about 36 percent, for example at least about 37 percent, such as at least about 38 percent, for example at least about 39 percent, such as at least about 40 percent, for example at least about 45 percent, such as at
- 10 least about 50 percent, for example at least about 55 percent, such as at least about 60 percent, for example at least about 65 percent of the total energy content of the composition, and preferably less than 70 percent of the total energy content of the composition.
- 15 Preferred phospholipid sources are lecithins and even more preferably soy lecithin. Currently preferred lecithin products are manufactured by SKW Nature Products, BioActives, Freising, Germany are marketed under the brand name of Epikuron 100®, Epikuron 130®.
- 20 The dietary fibers used in a presently preferred embodiment of the present invention should preferably comprise a mixture of insoluble fibers and water-soluble fibers also referred to as soluble fibers. Soluble fibers have a lowering effect on blood cholesterol levels. Examples of dietary fibers comprising soluble fibers are fibers from apples, bananas, oranges, carrots, oats, and soybeans. The dietary fibers preferably comprise
- 25 soluble fibers in an amount of about 5 weight percent, such as about 10 weight percent, for example about 15 weight percent, such as about 20 weight percent, for example about 25 weight percent, such as about 30 weight percent, for example about 35 weight percent, such as about 40 weight percent, for example about 45 weight percent, such as about 50 weight percent, for example about 55 weight percent, such
- 30 as about 60 weight percent, for example about 65 weight percent, such as about 70 weight percent, for example about 75 weight percent, such as about 80 weight percent, for example about 85 weight percent, such as about 90 weight percent, for example about 95 weight percent. The dietary fibers used in the present invention are preferably soybean fibers, more preferably soy cotyledon fibers. Such fibers are
- 35 derived from dehulled and defatted soybean cotyledon and are comprised of a mixture of soluble and insoluble fibers. Soy cotyledon fibers are distinctly different from

soybean fibers derived from soy hulls as well as other fiber sources. Soy cotyledon fibers are bland tasting, contain no cholesterol, are low in fat and sodium, and they have good water-binding properties and low caloric content.

- 5   Soy cotyledon fibers supplied in a fat-modified and low-cholesterol diet are known to further reduce serum cholesterol levels in a subject suffering from mild to severe hypercholesterolemia. The effect is a lowering of the serum levels of total cholesterol including a lowering of the serum levels of LDL-cholesterol. However, HDL-cholesterol and total triglycerides are not significantly affected by soy cotyledon fibers. Soybean
- 10   fibers, in particular soy cotyledon fibers, are believed to provide a synergistic effect in combination with soy protein and/or with a phytoestrogen compound, such as naturally occurring isoflavones, or to exert a potentiating effect on the soy protein and/or the phytoestrogen compound, said synergistic or potentiating effect being effective in lowering serum levels of lipid and cholesterol in subjects having normal as well as elevated serum levels of total cholesterol and total triglycerides.
- 15

- Without wishing to be bound by any specific theory it is presently believed that both soluble dietary fibers (working as nutrients) and insoluble dietary fibers (working as bulking agents), in particular from soybean fibers, more particularly soy cotyledon fibers, provide favorable growth conditions for the microflora in the human gut, which makes the microflora more effective in deconjugating isoflavones in the glucoside form to the aglycone form. Isoflavones in the aglycone form are absorbed faster and to a greater extent in the human gut than isoflavones in the glucoside form, and isoflavones in the aglycone form are the biologically more active species in the present context. In view hereof it can be understood that administration of a combination of soy proteins, a high, fixed level of isoflavones and a combination of soluble and insoluble fibers may be effective in providing an increased uptake of isoflavones.

- Furthermore, again without wishing to be bound by any specific theory, it is presently believed that both soluble dietary fibers (working as nutrients) and insoluble dietary fibers (working as bulking agents), in particular from soybean fibers, more particularly soy cotyledon fibers, provide favorable growth conditions for the microflora in the human gut, which makes the microflora more effective in converting phosphatidyl serine and phosphatidyl ethanolamine into phosphatidyl choline. This capability to decarboxylate phosphatidyl serine into phosphatidyl ethanolamine by the action of pyridoxal phosphate enzymes and further methylate phosphatidyl ethanolamine into
- 30
  - 35

phosphatidyl choline has presently only been proven for bacteria. Phosphatidyl choline are absorbed faster and to a greater extent in the human gut than phosphatidyl serine and phosphatidyl ethanolamine, and phosphatidyl choline is the biologically more active species in the present context. In view hereof it can be understood that

- 5 administration of a combination of soy proteins, a phospholipid source having a high fixed level of phosphoglycerides and a combination of soluble and insoluble fibers may be effective in providing increased levels of phosphatidyl choline from a given phospholipid source and hence provide an increased uptake of phosphatidyl choline from a given phospholipid source.

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The amount of dietary fibers of the total weight of a composition according to the present invention on a dry basis is preferably more than 4 weight percent, for example at least 5 weight percent, such as at least 6 weight percent, for example at least 7 weight percent, such as at least 8 weight percent, for example at least 9 weight

- 15 percent, such as at least 10 weight percent, for example at least 11 weight percent, such as at least 12 weight percent, for example at least 13 weight percent, such as at least 14 weight percent, for example at least 15 weight percent, such as at least 16 weight percent, for example at least 17 weight percent, such as at least 18 weight percent, for example at least 19 weight percent, such as at least 20 weight percent,
- 20 and preferably less than 50 weight percent.

Preferred amounts of dietary fibers as a weight percent of the content of soy protein, shall be in the range of from about 10 to 100 weight percent, and preferred amounts are in the range of from 15 to 90 weight percent, such as from 20 to 80 weight percent,

- 25 for example 25 weight percent, such as 30 weight percent, for example 33 weight percent, such as 35 weight percent, for example 40 weight percent, such as 50 weight percent, for example 60 weight percent, such as 70 weight percent, for example 75 weight percent.

- 30 Accordingly, the weight ratio of soy protein to dietary fibers is from about 1.0 to about 10.0, preferably more than about 1.0, for example about 1.25, such as at least about 1.5, for example at least about 1.75, such as at least about 2.0, for example at least about 2.25, such as at least about 2.5, for example at least about 2.75, such as at least about 3.0, for example at least about 3.25, such as at least about 3.5, for example at least about 3.75, such as at least about 4.0, for example at least about 4.25, such as at least about 4.5, for example at least about 4.75, such as at least

about 5.0, for example at least about 5.5, such as at least about 6.0, for example at least about 7.5.

- The preferred daily dosage of soybean fibers is from at least 1 g to about 100 g
- 5 soybean fibers, for example from at least 2 to about 75 g soybean fibers, such as from at least 3 g to about 50 g, for example from at least 4 g to about 40 g, such as from at least 5 to about 30 g, such as from at least 10 g to about 20 g soybean fibers.
- Preferred soy cotyledon fiber products manufactured by Protein Technologies
- 10 International, Inc. are marketed under the brand name of FIBRIM®. Among the various soybean fibers produced under the FIBRIM® brand, FIBRIM® 1020 is particularly preferred because of a particularly pleasant mouth feel and dispersability for dry blended beverage applications. FIBRIM® 2000 is presently preferred for use in ready-made liquids.
- 15 Some compositions of isolated soy protein and soy cotyledon fiber are preferred in order to maximize the content of soy protein and isoflavones contained therein namely SUPRO® FXP-HO159, SUPRO® FXP-HO161, FIBRIM® 1450, FIBRIM® 2000 and FIBRIM® 1020 for dry blended beverage applications and SUPRO® FXP-HO159,
- 20 SUPRO® FXP-HO161, FIBRIM® 1450, FIBRIM® 2000 and FIBRIM® 1020 for use in ready made liquids.
- In another preferred embodiment, the present invention provides a composition wherein no dietary fibers are present. This composition comprises soy protein,
- 25 preferably isolated soy protein in an amount of at least 50 weight percent of the total protein content of the composition, said total protein content providing at least 15 percent of the total energy content of the composition, a phospholipid source providing at least 15 percent of the total energy content of the composition and having a high fixed level of phosphatidyl choline and at least one phytoestrogen compound in an
- 30 amount of more than 0.10 weight percent of the soy protein content of the composition. The present invention also provides the use of such a composition as a medicament and/or in the manufacture of a medicament effective in preventing, treating, prophylactically treating, alleviating and/or eliminating a cardiovascular disease in a subject. The present invention also provides the use of such a
- 35 composition as a medicament and/or in the manufacture of a medicament effective in preventing, treating, prophylactically treating, alleviating and/or eliminating

- arteriosclerosis or a related cardiovascular disease in a subject. The present invention also provides the use of such a composition as a medicament and/or in the manufacture of a medicament for treating diabetic subjects, said treatment being effective in lowering serum levels of glucose and/or insulin and/or lipids. The present
- 5 invention also provides the use of such a composition as a medicament and/or in the manufacture of a medicament effective in treating and/or alleviating type 2 diabetes, the metabolic syndrome as defined herein and/or cardiovascular diseases associated therewith in a subject. The present invention also provides the use of such a composition as a medicament and/or in the manufacture of a medicament effective in
- 10 treating subjects diagnosed as having a pulmonary disease, said treatment being effective at least in increasing FEV<sub>1</sub> of a subject, as measured by forced expiratory volume in the first second of expiration. The present invention also provides the use of such a composition as a medicament and/or in the manufacture of a medicament effective in treating and/or alleviating pulmonary diseases in a subject. The present
- 15 invention also provides the use of such a composition and/or such a composition for use in treating arteriosclerosis or a related cardiovascular disease in a subject. The present invention also provides the use of such a composition and/or such a composition for use in the treatment of diabetic subjects, said treatment being particularly effective in lowering serum levels of glucose and lipids in a subject. The
- 20 present invention also provides the use of such a composition and/or such a composition for use in the treatment and/or alleviation of a pulmonary disease in a subject, said treatment and/or alleviation resulting in an increased FEV<sub>1</sub> of a subject, as measured by forced expiratory volume in the first second of expiration.
- 25 A composition according to the present invention may optionally comprise a carbohydrate source, flavoring agents, vitamins, minerals, electrolytes, trace elements and other conventional additives. The nutritional composition according to the present invention may in one embodiment also comprise one or more flavoring agents such as cocoa, vanilla, lime, strawberry or soup flavors, such as mushroom, tomato or bouillon
- 30 and/or sweeteners such as aspartame as well as other additives such as xanthan gum.
- When a carbohydrate source is present in a composition according to the present invention, it is preferably present in an amount of less than 30 weight percent such as
- 35 less than 25 weight percent of the composition. Preferably, the amount of carbohydrate amounts to at least 5 weight percent, more preferred at least 10 weight

- percent, and most preferred at least 15 weight percent, of the composition. The preferred carbohydrates for use in a composition according to the present invention are dextrose, fructose and/or maltodextrin, or glucose. Skimmed milk and lecithinated fat reduced cacao are other possible carbohydrate sources. When a composition
- 5 according to the present invention is for use in the prevention and/or treatment of type 2 diabetes, the metabolic syndrome and associated cardiovascular diseases, lecithinated fat reduced cacao is particularly preferred. Other preferred carbohydrates for use in a composition according to the present invention for use in the prevention and/or treatment of type 2 diabetes, the metabolic syndrome and associated
  - 10 cardiovascular diseases are polydextrose or saccharose, but these should be limited using other sweeteners like e.g. aspartame.

- Vitamins and minerals may optionally be added to a composition according to the present invention in accordance with the limits laid down by health authorities. A
- 15 composition according to the present invention may comprise all recommended vitamins and minerals. The vitamins will typically include A, B1, B2, B12, folic acid, niacin, pantothenic acid, biotin, C, D, E and K. The minerals will typically include iron, zinc, iodine, copper, manganese, chromium and selenium. Electrolytes, such as sodium, potassium and chlorides, trace elements and other conventional additives
  - 20 may also be added in recommended amounts.

A presently preferred composition can be obtained by mixing:

	Content per 100 gram (%)
Isolated soy protein (SUPRO® FXP-O161)	75.70
Soybean fibers (FIBRIM® 1020)	18.93
Soy lecithin (Epikuron 100 SP)	5.37

- 25 The above-mentioned composition in an amount of preferably about 37 grams corresponds to one serving of a daily diet. The composition has an energy content of about 354 kcal (1,481 kJ) per 100 grams.

Another presently preferred composition can be obtained by mixing

	Content per 100 gram (%)
Isolated soy protein (SUPRO® FXP-O161)	64.27
Soybean fibers (FIBRIM® 1020)	21.42
Soy lecithin (Epikuron 130G)	14.31

- The above-mentioned composition in an amount of preferably about 37 grams corresponds to one serving of a daily diet. The composition has an energy content of
- 5     about 388 kcal (1,625 kJ) per 100 grams.

A composition according to the present invention may be used for special dietary use, preferably for lowering serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides in subjects such as hyperlipidemic patients or normocholesterolemic

10   patients suffering from a cardiovascular disease, and/or for lowering serum levels of glucose and/or insulin and/or total cholesterol and/or LDL-cholesterol and/or triglycerides and/or for increasing glucose tolerance and/or insulin sensitivity and/or for preventing, treating and/or alleviating impaired glucose tolerance and/or insulin secretory failure in diabetic subjects and/or for preventing, treating and/or alleviating

15   an arteriosclerotic condition by reducing the influx of lipoproteins and/or cholesterol and/or triglycerides into the endocelium of the arterial wall of a diabetic subject suffering from a cardiovascular disease. For example, from one to three daily meals of ordinary food can be supplemented or replaced by a composition according to the present invention. Hereby, significant reductions in serum levels of cholesterol and/or

20   LDL-cholesterol and/or triglycerides can be obtained, as well as an improvement of serum HDL/LDL-cholesterol ratio and/or an increase in serum HDL-cholesterol levels. The composition may provide from about 50 to about 250 kcal per serving.

The daily dose of a composition according to the present invention may comprise an

25   energy content of from 400 to 800, in particular from 450 to 800 kcal/day, which is considered to be a very low calorie diet (VLCD), or it may comprise an energy content of from 800 to 1200 kcal/day, which is considered to be a low-calorie diet (LCD). In another medical embodiment of the present invention, the energy content may correspond to the daily energy requirement of a normal person.

- The present invention also provides a composition according to the invention in the form of a micronutrient. In this connection a micronutrient is a nutritional supplement and/or a pharmacological composition and/or a medicament comprising i) a synthetic phytoestrogen-like compound capable of binding to an estrogen receptor or an  
5 estrogen-like receptor, and/or ii) a naturally occurring, plant-extractable compound in an amount, on a weight per weight basis, in excess of the amount of said compound, when it is present in a natural host such as a plant cell from which the compound can be extracted or isolated, iii) soy peptides obtainable from a partial hydrolysis of soy protein and iv) soy lecithin.
- 10 The naturally occurring, plant-extractable compound is preferably but not limited to compounds capable of binding to an estrogen receptor, an estrogen-like receptor, a beta-2-adrenergic receptor or a receptor belonging to the class of beta-2-adrenergic receptors. When the naturally occurring compounds are isolated from plants such as  
15 soybeans, they may be selected from the group at least containing phytoestrogens such as soybean phytoestrogens such as soybean isoflavones, soy protein or fragments thereof, e.g. peptides or amino acid sequences, soybean fibers, lecithin, linolenic acid, an antioxidant, a saponin, a lignan, a protease inhibitor, a trypsin inhibitor, and a tyrosine kinase inhibitor. Additional constituents of the micronutrient  
20 may preferably be selected among a DNA topoisomerase inhibitor, a ribosome kinase inhibitor, a growth control factor such as e.g. epidermal growth factor, transforming growth factor alpha, platelet derived growth factor, and preferably any growth control factor controllable by a tyrosine kinase activity. The micronutrient may also comprise ormeloxifene and/or levormeloxifene as described by among others Holm et al. (1997)  
25 in Arteriosclerosis, Thrombosis, and Vascular Biology 17 (10), 2264 – 2272, and in Clinical Investigation, 100 (4), 821 – 828. When the naturally occurring compound is an isoflavone, the isoflavone may have been deconjugated to the aglycone form either biologically or in vitro prior to the incorporation in the micronutrient.  
30 In one particularly preferred embodiment the present invention provides a composition or a micronutrient according to the present invention in combination with a functional food ingredient comprising a sterol, preferably an ingredient selected from the group comprising a stanol ester, a tocotrienol, a mevinolin, and a phytosterol compound such as e.g. campesterol, sitosterol or stigmasterol, or a combination thereof.

According to one preferred embodiment, a composition or a micronutrient according to the present invention is for use as a functional food ingredient. A composition or a micronutrient according to the present invention may also be administered as a probe or by intravenous administration, or in tablet or capsule form. The present invention

- 5 also provides a pharmaceutical preparation comprising the a composition or a micronutrient according to the present invention, use of the a composition or a micronutrient according to the present invention in therapy and/or a diagnostic method performed on the human or animal body, use of a composition or a micronutrient according to the present invention in the manufacture of a medicament, use of a
- 10 composition or a micronutrient according to the present invention in the manufacture of a medicament for treating a subject suffering from cardiovascular diseases, use of a composition or a micronutrient according to the present invention in the manufacture of a medicament for treating a subject suffering from type 2 diabetes, the metabolic syndrome and/or cardiovascular diseases associated therewith in a diabetic subject
- 15 and use of a composition or a micronutrient according to the present invention in the manufacture of a medicament for treating a subject suffering from pulmonary diseases.

- 20 The micronutrient is particularly useful in preventing, treating, prophylactically treating and/or alleviating hypercholesterolemia, hypertriglyceridemia, other hyperlipidemias, arteriosclerosis, atherosclerosis and/or related cardiovascular diseases and in preventing and/or treating type 2 diabetes, the metabolic syndrome and/or cardiovascular diseases associated therewith in a diabetic subject and in preventing, treating, prophylactically treating and/or alleviating a pulmonary disease such as e.g. a
- 25 disease selected from the group comprising inflammation of the airways, bronchoconstriction, bronchitis, asthma, and small airways diseases.

- 30 In one embodiment the present invention provides a composition according to the present invention for use as a medicament or as a dietary preparation. A composition according to the present invention for use as a medicament or as a dietary preparation may preferably be used in preventing, treating, prophylactically treating and/or alleviating cardiovascular diseases such as e.g. a disease selected from the group comprising hypercholesterolemia, hypertriglyceridemia, other hyperlipidemias, arteriosclerosis, atherosclerosis, arteriolosclerosis, coronary heart disease, angina pectoris, thrombosis, myocardial infarction, and hypertension, in a subject, preferably for use in preventing, treating, prophylactically treating and/or alleviating
- 35

- arteriosclerosis and/or atherosclerosis in a subject . A composition according to the present invention for use as a medicament or as a dietary preparation may also preferably be used in preventing, treating, alleviating and/or eliminating type 2 diabetes. A composition according to the present invention for use as a medicament or as a dietary preparation may also preferably be used in preventing, treating, alleviating and/or eliminating a cardiovascular disease, such as e.g. hypercholesterolemia, hypertriglyceridemia, hypertension, hyperglycemia, hyperinsulinemia, arteriosclerosis, atherosclerosis, arteriolosclerosis, coronary heart disease, angina pectoris, thrombosis, and myocardial infarction, in a diabetic subject. A composition according to the present invention for use as a medicament or as a dietary preparation may also preferably be used for preventing and/or treating pulmonary diseases, such as preferably a disease selected from the group comprising inflammation of the airways, bronchoconstriction, bronchitis, asthma, and small airways diseases, in a subject.
- The present invention also provides the use of a composition according to the present invention as a medicament and/or in the manufacture of a medicament for preventing, treating, prophylactically treating and/or alleviating cardiovascular diseases such as e.g. a disease selected from the group comprising hypercholesterolemia, hypertriglyceridemia, other hyperlipidemias, arteriosclerosis, atherosclerosis, arteriolosclerosis, coronary heart disease, angina pectoris, thrombosis, myocardial infarction, and hypertension, particularly a disease selected from the group comprising arteriosclerosis and atherosclerosis, in a subject. The present invention also provides the use of a composition according to the present invention as a medicament and/or in the manufacture of a medicament for preventing, treating and/or alleviating type 2 diabetes and/or the metabolic syndrome in a subject and/or a cardiovascular disease in a diabetic subject. The present invention also provides the use of a composition according to the present invention as a medicament and/or in the manufacture of a medicament for preventing, treating, prophylactically treating and/or alleviating pulmonary diseases such as e.g. a disease selected from the group comprising inflammation of the airways, bronchoconstriction, bronchitis, asthma, and small airways diseases, in a subject.

The composition according to the present invention is effective in lowering levels of cholesterol in normocholesterolemic patients by at least 2%, for example at least 5%, such as at least 8%, for example at least 10%, such as at least 12%, for example at least 14%, such as at least 16%, for example at least 18%, such as at least 20%, for

example at least 25%, such as at least 30%. The composition according to the present invention is effective in lowering levels of triglycerides in normocholesterolemic patients by at least 10%, such as at least 12%, for example at least 14%, such as at least 16%, for example at least 18%, such as at least 20%, for example at least 25%,  
5 such as at least 30%.

The composition according to the present invention is effective in lowering levels of cholesterol in mildly hypercholesterolemic patients by at least 3%, for example at least 5%, such as at least 8%, for example at least 10%, such as at least 12%, for example  
10 at least 15%, such as at least 20%, for example at least 25%, such as at least 30%, for example at least 35%, such as at least 40%, for example at least 45%. The composition according to the present invention is effective in lowering levels of triglycerides in mildly hypercholesterolemic patients by at least 15%, such as at least 20%, for example at least 25%, such as at least 30%, for example at least 35%, such  
15 as at least 40%, for example at least 45%.

The composition according to the present invention is effective in lowering levels of cholesterol in severely hypercholesterolemic patients by at least 3%, for example at least 5%, such as at least 8%, for example at least 10%, such as at least 12%, for example  
20 at least 15%, such as at least 20%, for example at least 25%, such as at least 30%, for example at least 35%, such as at least 40%, for example at least 45%, such as at least 50%, for example at least 55%, such as at least 60%. The composition according to the present invention is effective in lowering levels of triglycerides in severely hypercholesterolemic patients by at least 20%, for example at least 25%, such as at least 30%, for example at least 35%, such as at least 40%, for example at least 45%, such as at least 50%, for example at least 55%, such as at least 60%.

A composition according to the present invention for use as a medicament and/or the  
30 use of a composition according to the present invention as a medicament and/or in the manufacture of a medicament for preventing, treating, prophylactically treating and/or alleviating cardiovascular diseases in a subject may be effective in reducing the influx of cholesterol and/or triglycerides into the arterial wall and/or causing dilation of blood vessels and/or reducing the amount of oxidized LDL-cholesterol present in the arterial  
35 wall and/or lowering serum levels of total cholesterol and/or LDL-cholesterol and/or homocysteine and/or triglycerides and/or increasing the serum HDL/LDL-cholesterol

- ratio and/or increasing serum levels of HDL-cholesterol in a subject and/or preventing, reducing or eliminating fatty streak formation and/or preventing, reducing or eliminating fibrous plaque formation and/or preventing, reducing or eliminating complicated lesion formation and/or reducing or eliminating the risk of a subject contracting angina pectoris and/or reducing or eliminating the risk of a subject contracting a myocardial infarction.

A composition according to the present invention for use as a medicament and/or the use of a composition according to the present invention as a medicament and/or in the manufacture of a medicament for preventing and/or treating diabetes and/or the metabolic syndrome and/or a cardiovascular disease associated therewith in a subject may be effective in i) lowering serum glucose levels and/or ii) reducing the influx of cholesterol and/or triglycerides into the arterial wall and/or causing dilation of blood vessels and/or reducing the amount of oxidized LDL-cholesterol present in the arterial wall and/or iii) lowering serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides and/or homocysteine and/or increasing the serum HDL/LDL-cholesterol ratio and/or serum HDL-cholesterol levels and/or iv) increasing glucose tolerance and/or insulin sensitivity and/or v) alleviating impaired glucose tolerance and/or insulin secretory failure and/or improving insulin secretion and/or vi) preventing, treating, alleviating, and/or eliminating cardiovascular diseases, such as e.g. hypercholesterolemia, hypertriglyceridemia, other hyperlipidemias, arteriosclerosis, atherosclerosis, arteriolosclerosis, coronary heart disease, angina pectoris, thrombosis, myocardial infarction, hypertension, hyperglycemia, and hyperinsulinemia, in a diabetic subject and/or preventing, reducing or eliminating fatty streak formation and/or preventing, reducing or eliminating fibrous plaque formation and/or preventing, reducing or eliminating complicated lesion formation and/or reducing or eliminating the risk of a diabetic subject contracting angina pectoris and/or reducing or eliminating the risk of a diabetic subject contracting a myocardial infarction and/or in treating a procoagulant state and/or an increased activity of clotting factors, insulin resistance, glycosidation and/or oxidation and/or chemical modification of lipoproteins, as well as impaired glucose tolerance.

A composition according to the present invention for use as a medicament and/or the use of a composition according to the present invention as a medicament and/or in the manufacture of a medicament for preventing, treating, prophylactically treating and/or alleviating pulmonary diseases may be effective in i) preventing, treating,

- prophylactically treating and/or alleviating asthma and/or ii) reducing and/or eliminating mucus hypersecretion and/or dyspnea in a subject suffering from asthma and/or iii) increasing FEV<sub>1</sub> of a subject as measured by forced expiratory volume in the first second of expiration and/or iv) preventing, treating, prophylactically treating, alleviating and/or reducing inflammation of the airways and/or v) preventing, treating, prophylactically treating and/or alleviating bronchoconstriction.

In another embodiment the present invention provides the use of a composition according to the present invention in the treatment of cardiovascular diseases in the 10 human or animal body in an amount effective in lowering serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides and/or homocysteine and/or increasing the serum HDL/LDL-cholesterol ratio and/or serum HDL-cholesterol levels and/or reducing the influx of cholesterol and/or triglycerides into the arterial wall and/or reducing the amount of oxidized LDL-cholesterol present in the arterial wall and/or 15 preventing, reducing or eliminating fatty streak formation and/or preventing, reducing or eliminating fibrous plaque formation and/or preventing, reducing or eliminating complicated lesion formation and/or reducing or eliminating the risk of a subject contracting angina pectoris and/or reducing or eliminating the risk of a subject contracting a myocardial infarction, and/or alleviating the clinical condition of patients 20 contracting a myocardial infection. The cardiovascular disease is preferably a cardiovascular disease selected from the group comprising hypercholesterolemia, hypertriglyceridemia, other hyperlipidemias, arteriosclerosis, atherosclerosis, arteriolosclerosis, coronary heart disease, angina pectoris, thrombosis, myocardial infarction, and hypertension and more preferred selected from arteriosclerosis and 25 atherosclerosis.

In another embodiment the present invention provides the use of a composition according to the present invention in the treatment of type 2 diabetes and/or the metabolic syndrome in an amount effective in lowering serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides and/or glucose and/or increasing serum 30 levels of HDL-cholesterol and/or homocysteine and/or reducing the influx of cholesterol and/or triglycerides into the arterial wall and/or reducing the amount of oxidized LDL-cholesterol present in the arterial wall and/or improving glucose tolerance and/or increasing insulin sensitivity and/or alleviating impaired glucose 35 tolerance and/or improving insulin secretion and/or reducing or eliminating fatty streak formation and/or preventing, reducing or eliminating fibrous plaque formation and/or

- preventing, reducing or eliminating complicated lesion formation and/or preventing, reducing or eliminating the risk of a subject contracting angina pectoris and/or preventing, reducing or eliminating the risk of a subject contracting a myocardial infarction and/or preventing, treating, prophylactically treating, alleviating and/or
- 5 eliminating hypertension and/or hyperglycemia and/or hyperinsulinemia and/or hypercholesterolemia and/or hypertriglyceridemia and/or arteriosclerosis and/or atherosclerosis and/or arteriolosclerosis in a diabetic subject.

- In another embodiment the present invention provides the use of a composition
- 10 according to the present invention in the treatment of a pulmonary disease in a human or animal body, preferably a disease selected from the group comprising inflammation of the airways, bronchoconstriction, bronchitis, asthma, and small airways diseases, in an amount effective in preventing, treating, prophylactically treating and/or alleviating inflammation of the airways and/or bronchoconstriction and/or bronchitis and/or small
- 15 airways diseases and/or asthma and/or reducing and/or eliminating mucus hypersecretion and/or dyspnea in a subject suffering from asthma and/or increasing FEV<sub>1</sub> of a subject as measured by forced expiratory volume in the first second of expiration.
- 20 The present invention also provides a method of preventing, treating, prophylactically treating and/or alleviating by therapy a cardiovascular disease in the human or animal body such as an arteriosclerotic condition of a human or animal body, said method comprising administration of a composition according to the present invention in an amount effective in lowering serum levels of total cholesterol and/or LDL-cholesterol
- 25 and/or triglycerides and/or homocysteine and/or increasing the serum HDL/LDL-cholesterol ratio and/or serum HDL-cholesterol levels and/or reducing the influx of cholesterol and/or triglycerides into the arterial wall and/or reducing the amount of oxidized LDL-cholesterol present in the arterial wall and/or preventing, reducing or eliminating fatty streak formation and/or preventing, reducing or eliminating fibrous
- 30 plaque formation and/or preventing, reducing or eliminating complicated lesion formation and/or reducing or eliminating the risk of a subject contracting angina pectoris and/or reducing or eliminating the risk of a subject contracting a myocardial infarction, and/or alleviating the clinical condition of patients contracting a myocardial infection. The cardiovascular disease is preferably a cardiovascular disease selected
- 35 from the group comprising hypercholesterolemia, hypertriglyceridemia, other hyperlipidemias, arteriosclerosis, atherosclerosis, arteriolosclerosis, coronary heart

disease, angina pectoris, thrombosis, myocardial infarction, and hypertension and more preferred selected from arteriosclerosis and atherosclerosis.

- The present invention also provides a method of preventing and/or treating by therapy
- 5 type 2 diabetes and/or the metabolic syndrome in a human or animal body, said method comprising administration to said human or animal body of a composition according to the present invention in an amount effective in lowering serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides and/or glucose and/or increasing serum levels of HDL-cholesterol and/or homocysteine and/or reducing the
- 10 influx of cholesterol and/or triglycerides into the arterial wall and/or reducing the amount of oxidized LDL-cholesterol present in the arterial wall and/or improving glucose tolerance and/or increasing insulin sensitivity and/or alleviating impaired glucose tolerance and/or improving insulin secretion and/or reducing or eliminating fatty streak formation and/or preventing, reducing or eliminating fibrous plaque
- 15 formation and/or preventing, reducing or eliminating complicated lesion formation and/or preventing, reducing or eliminating the risk of a subject contracting angina pectoris and/or preventing, reducing or eliminating the risk of a subject contracting a myocardial infarction and/or preventing, treating, prophylactically treating, alleviating and/or eliminating hypertension and/or hyperglycemia and/or hyperinsulinemia and/or
- 20 hypercholesterolemia and/or hypertriglyceridemia and/or arteriosclerosis and/or atherosclerosis and/or arteriolosclerosis in a diabetic subject.

- The present invention also provides a method of preventing, treating, prophylactically treating and/or alleviating by therapy a pulmonary disease in a human or animal body, preferably a disease selected from the group comprising inflammation of the airways, bronchoconstriction, bronchitis, asthma, and small airways diseases, said method comprising administration to said human or animal body of a composition according to the present invention in an amount effective in preventing, treating, prophylactically treating and/or alleviating inflammation of the airways and/or bronchoconstriction
- 25 and/or bronchitis and/or asthma and/or small airways diseases and/or reducing and/or eliminating mucus hypersecretion and/or dyspnea in a subject suffering from asthma and/or increasing FEV<sub>1</sub> of a subject as measured by forced expiratory volume in the first second of expiration.
- 30
- 35 The period of treatment is preferably in the range of from 1 to 12 months or more, such as from 2 weeks to 9 months, for example from 3 weeks to 6 months, such as from 4

weeks to 4 months, such as from 6 weeks to 3 months. However, the period of treatment shall not be limited to these periods and may e.g. be longer than 12 months, such as e.g. a lifelong treatment in order to prevent cardiovascular diseases or in order to prevent and/or alleviate type 2 diabetes and/or a cardiovascular disease in

- 5 connection therewith or in order to prevent pulmonary diseases.

In one embodiment the present invention provides a pharmaceutical preparation comprising a composition according to the present invention. The pharmaceutical preparation can be prepared in any way known to the skilled person.

10

In another embodiment the present invention provides the use of a composition according to the present invention as a nutritional preparation and/or in the manufacture of a nutritional preparation for lowering serum levels of glucose and/or total cholesterol and/or LDL-cholesterol and/or triglycerides and/or homocysteine and/or

- 15 increasing the serum HDL/LDL-cholesterol ratio and/or serum levels of HDL-cholesterol in a subject, including a diabetic subject, and/or for alleviating a pulmonary condition such as e.g. asthma. The nutritional preparation may take any form, which is suitable for human or animal consumption. In one preferred embodiment, the composition is a powdery mixture, which is suspendable, dispersible or emulsifiable in  
20 a liquid for human or animal consumption. The liquid is preferably a water-containing liquid such as e.g. water, coffee, tea or juice, including fruit juice. For such a purpose, the composition may be packed in a package intended for covering part of or the total nutritional requirement for a defined period of time, such as a period of e.g. three days or a week. The present invention also provides the nutritional preparation in the form  
25 of a dietary supplement.

The nutritional preparation in one embodiment of the present invention is preferably a functional food or drink, i.e. a readily obtainable edible or drinkable substance that is supplemented with a composition according to the present invention to provide a

- 30 medical or pharmaceutical effect. Accordingly, the present invention provides a composition according to the present invention for use as a functional food ingredient. Functional foods and drinks are preferably selected from the group comprising dairy products, such as yogurt and yogurt ice cream, juice, such as orange juice or tomato juice, ready made liquids for drinking, a spreadable product such as e.g. a margarine  
35 or a vegetable or plant extracted oil, a cereal product, such as a traditional breakfast cereal product, nutritional bars, biscuits, bread, soups, such as tomato soup, a meat

product, such as a hamburger, a meat substitute product, and a vegetable product. In a further embodiment, a nutritional preparation according to the present invention may be in the form of a ready made liquid or in a powder form or in the form of a troche, a solid composition such as a nutritional bar, a fruit bar, a cookie, a cake, a bread or a 5 muffin.

In another embodiment, a composition according to the present invention is a liquid nutritional preparation in a water-containing liquid, in which the solid ingredients are suspended, dispersed or emulgated in an amount of from 10 to 25 weight percent. 10 When the liquid nutritional preparation is intended for drinking, it will usually comprise a flavoring agent as discussed above. However, the liquid nutritional preparation may also be used for probe administration.

In another embodiment, the present invention relates to the use of a composition 15 according to the present invention as a partial or total diet for an overweight subject, an overweight subject suffering from an arteriosclerotic condition or an overweight subject suffering from a diabetic condition. Obesity is believed to be one of the major causes of diabetes including type 2 diabetes. Overweight subjects, including overweight diabetic subjects, often have increased serum cholesterol levels and 20 increased triglyceride levels and are therefore more likely to develop cardiovascular diseases. However, the present invention is not limited to treating subjects with an increased risk of contracting a cardiovascular disease, i.e. subjects likely to have increased serum levels of cholesterol and/or triglycerides, or to treating obese diabetic subjects with an increased risk of contracting a cardiovascular disease, i.e. obese 25 diabetic subjects likely to have increased serum levels of cholesterol and/or triglycerides. A composition according to the present invention also has substantial serum cholesterol, serum LDL-cholesterol and serum triglyceride lowering effects in subjects having a more normal lipid profile and in diabetic subjects that do not also suffer from overweight. The medical use of a composition according to the present 30 invention is not limited to overweight or obese subjects, including diabetic subjects, but may be used for normal weight subjects having increased serum levels of cholesterol and/or LDL-cholesterol and/or triglycerides or for subjects with a cardiovascular condition such as e.g. arteriosclerosis or a related condition who have normal serum 35 levels of cholesterol and/or LDL-cholesterol and/or triglycerides. Such increased serum levels of cholesterol and/or LDL-cholesterol and/or triglycerides may be caused by intake of a diet rich in fats or it may be genetically related.

For the purpose of the present invention, subjects having an initial total serum cholesterol level of 5.7 mmol/l or below are considered to have a normal or hypocholesterolemic level, whereas subjects having a total serum cholesterol level

- 5 above 5.7 mmol/l are considered to be hypercholesterolemic. Accordingly, by treating normocholesterolemic subjects, it is possible to prevent the development of cardiovascular diseases arising from serum cholesterol levels below a concentration of 5.7 mmol/l in subjects, including diabetic subjects, particularly sensitive to developing e.g. arteriosclerosis, or prevent further development of cardiovascular diseases in
- 10 patients, including diabetic patients, with previous cardiovascular events.

By treating hypercholesterolemic subjects, it is possible to prevent the development of cardiovascular diseases arising from serum cholesterol levels above a concentration of 5.7 mmol/l in subjects sensitive to developing e.g. arteriosclerosis under such

- 15 conditions.

- More particularly, subjects having a total serum cholesterol level of from 5.7 mmol/l to 7.9 mmol/l are considered to be mildly hypercholesterolemic. Accordingly, by treating these hypercholesterolemic subjects, it is possible to prevent the development of
- 20 cardiovascular diseases arising from serum cholesterol levels of from 5.7 to 7.9 mmol/l. Subjects having a total serum cholesterol level of more than 7.9 mmol/l are considered to be severely hypercholesterolemic. Accordingly, by treating these hypercholesterolemic subjects, it is possible to prevent the development of cardiovascular diseases arising from serum cholesterol levels of more than 7.9 mmol/l.

- 25 It has also been shown that a composition according to this invention has a potentiating effect to the effect of medications such as e.g. statins and/or niacin. By combining a composition according to the present invention with e.g. statins, such as HMG-CoA-reductase-inhibitors, niacin, bile acid resins, fibrates, nicotinic acid derivatives, oat products, such as oat meal, rye products, such as rye meal and various fish oil concentrates with a high content of  $\omega$ -3-fatty acids, it is possible to achieve a further 5 to 15% reduction in serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides. The present invention also provides a composition according to the present invention in combination with a statin, preferably an HMG-CoA-reductase-inhibitor,
- 30
- 35 niacin, bile acid resins, fibrates, oat products, rye products, nicotinic acid derivatives and various fish oil concentrates with a high content of  $\omega$ -3-fatty acids.

**EXAMPLES**

In a number of the following examples different formulations of soy protein and diet products based on soy proteins are used. In the examples these are typically referred

- 5 to by their trade name. The compositions and intended use of the diet products are outlined in the following:

**Abacor® Blend**

- 10 A light gray-yellowish powder mixture, which easily can be dispersed and hydrated in water. The mixture contains only natural compounds. The soy protein has a high natural concentration of isoflavons.

**Ingredients:**

- 15 Isolated soy protein FXP H0 161, cotyledon fiber Fibrim 1020, soy lecithin Epikuron 100SP.

**Nutritional values per 100 g (minimum)**

20	Total protein	66.1 g
	Soy protein	66.1 g
	Isoflavon content	min. 225 mg
	Carbohydrates	1.8 g
	Fat	8.1 g
	Saturated fat	1.3 g
25	Monounsaturated fat	2.1 g
	Polyunsaturated fat	2.9 g
	Dietary fiber	12.8 g
	Water	4.7 g
	Energy	350 kcal / 1463 kJ
30	Minerals:	
	Sodium	1.2 g
	Potassium	0.4 g
	Calcium	0.3 g
	Copper	1.1 mg
35	Iron	13.2 mg
	Phosphorus	0.8 g
	Zinc	3.3mg

## Vitamins:

	Riboflavin (B <sub>2</sub> )	0.1 mg
	Biotin	0.02 mg
5	Niacin	0.3 mg
	Panthothenic acid	0.2 mg
	Folacin	0.2 mg

**Improved Abacor® Core blend (Abacor® New formulation)**

- 10 Light gray-yellowish powder mixture, which can easily be dispersed and hydrated in water. The mixture contains only natural compounds. The soy protein has a high natural concentration of isoflavons.

## Ingredients:

- 15 Isolated soy protein FXP H0 161, cotyledon fiber Fibrim 1020, soy lecithin Epikuon 130P.

## Nutritional values per 100 g (minimum)

	Total protein	56.1 g
20	Soy protein	56.1 g
	Isoflavon content	min. 195 mg
	Carbohydrates	2.7 g
	Fat	15.8 g
	Saturated fat	2.2 g
25	Monounsaturated fat	2.2 g
	Polyunsaturated fat	5.3 g
	Dietary fiber	14.5 g
	Water	4.4 g
	Energy	371 kcal / 1552 kJ
30	Minerals:	
	Sodium	1.0 g
	Potassium	0.5 g
	Calcium	0.3 g
	Copper	0.9 mg
35	Iron	11.5 mg
	Phosphorus	0.7 g

	Zinc	2.9 mg
<b>Vitamins:</b>		
	Riboflavin (B <sub>2</sub> )	0.1 mg
	Biotin	0.02 mg
5	Niacin	0.2 mg
	Panthothenic acid	0.2 mg
	Folacin	0.1 mg
10	<b>Abalon® blend</b>	
	<b>General information</b>	
	Light gray-yellowish powder mixture, which can easily be dispersed and hydrated in water. The mixture contains only natural compounds. The soy protein has a high natural concentration of isoflavons.	
15		
	<b>Ingredients:</b>	
	Isolated soy protein FXP H0 161, cotyledon fiber, soy lecithin	
	<b>Nutritional values per 100 g (minimum)</b>	
20	Total protein	62.2 g
	Soy protein	62.2 g
	Isoflavon content	min. 215 mg
	Carbohydrates	2.1 g
	Fat	7.7 g
25	Saturated fat	1.2 g
	Monounsaturated fat	2.0 g
	Polyunsaturated fat	2.7 g
	Dietary fiber	16.1 g
	Water	4.8 g
30	Energy	336 kcal / 1404 kJ
	<b>Minerals:</b>	
	Sodium	1.1 g
	Potassium	0.4 g
	Calcium	0.3 g
35	Copper	1.0 mg
	Iron	12.7 mg

	Phosphorus	0.8 g
	Zinc	3.2mg
<b>Vitamins:</b>		
5	Riboflavin (B <sub>2</sub> )	0.1 mg
	Biotin	0.02 mg
	Niacin	0.3 mg
	Panthothenic acid	0.2 mg
	Folacin	0.2 mg

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### **Nutrilett/Scan Diet® principal ingredients**

Nutrilett®/Scan Diet® is a soy-based meal replacement product that offers a safe and effective way to achieve and maintain weight reduction.

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The principal ingredients of Nutrilett®/Scan Diet® are:

- **Isolated soy protein** – high soy protein content helps the user to feel full. When used with a diet that is low in saturated fat and cholesterol, it may reduce cholesterol levels and, consequently, may reduce the risk of coronary heart disease. It is also the only vegetable protein that contains all essential amino acids in sufficient amounts to be comparable to animal proteins.
- **Soy cotyledon fibre** – enhances feelings of satiety making it easier for the user to stay on the weight-loss programme. It also contributes to the cholesterol lowering effects of the isolated soy protein. This source of fibre does not interfere with mineral absorption so the mineral provision of a diet programme using Nutrilett®/Scan Diet® can be effectively controlled.
- **Soy phospholipids** – provide the essential fatty acids required for complete nutrition. Soy phospholipids may also contribute to the cholesterol-lowering effect of Nutrilett®/Scan Diet®.
- **Carbohydrates** – the carbohydrate content of Nutrilett®/Scan Diet® is carefully calculated so that loss of muscle mass can be minimised.
- **Vitamins and minerals** – a balanced range of 26 vitamins and minerals ensures that Nutrilett®/Scan Diet® can be used safely as a total meal replacement.

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The ABC programme was developed to help users to achieve optimal, controlled weight loss using Nutrilett®/Scan Diet® and has been highly successful in several countries. The ABC programme may vary slightly from country to country, depending on regulatory requirements but it essentially comprises the same three phases:

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**A: Attack** – this is a short phase of effective weight loss in which all meals are replaced by Nutrilett®/Scan Diet®. Recommended additional portions of fruit and vegetables typically provide a daily diet of 1200 kcal. If Nutrilett®/Scan Diet® is to be used as total meal replacement for more than three weeks, medical supervision is recommended.

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**B: Balance** – this phase is aimed at producing continued, steady weight loss. The phase typically involves exchanging two meals per day plus one snack with Scan Diet® and eating recommended low-calorie foods, to provide a diet of 1500 kcal/day.

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**C: Control** – once a target weight has been achieved, the 'Control' phase helps the user to maintain it. This phase typically involves replacing one meal per day plus one snack with Nutrilett®/Scan Diet® and eating regular low-calorie foods to give a total daily diet of 1800 kcal/day.

20

No recommendations for the duration of these phases are made. Users of the ABC programme are encouraged to set their own weight-loss targets and use either the intensive 'Attack' scheme or the gradual 'Balance' scheme, or a sequence of both, to achieve these targets. The intensive weight-loss phases can be followed by the

25

'Control' scheme to help the user to maintain their target body weight.

The ABC programme also contains a number of recommended recipes to help users to prepare highly-appetising, well-balanced, low-fat meals. It also provides nutritional information to help users to keep within their daily calorie targets.

30

Nutrilett® Very Low Calorie Diet,  
530 kcal Chocolate

General information

35 Light brown, water-soluble powder mixture, containing natural and nature-identical flavour. The powder mixture contains vitamins and minerals.

**Ingredients:**

Isolated soy protein IP, fructose, soy fibre IP, fat reduced cocoa powder, emulsifier (lecithin E322), skimmed milk powder, sodium citrate, potassium chloride, magnesium citrate, calcium citrate, tri calcium phosphate, stabilisers (guar gum, xanthan gum), flavouring, sodium chloride, vitamin & mineral blend, sweeteners (aspartame\*, acesulfame K).

\* contains a source of phenylalanine

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	Per SERVING of dry powder (31.9 g)	Per 100 g of dry powder	Per DAILY serving (5 portions 159.5 g) of dry powder
Energy	436 kJ 103 kcal	1367 kJ 323 kcal	2180 kJ 516 kcal
Protein	11.8 g	37.1 g	59.2 g
Fat			
of which saturated fat	1.7 g	5.3 g	8.4 g
of which linoleic acid	0.4 g	1.2 g	1.9 g
of which linolenic acid	0.7 g	2.3 g	3.7 g
0.1 g	0.3 g		0.5 g
Carbohydrate			
of which sugars	10.2 g 9.6 g	31.9 g 30.0 g	50.8 g 47.9 g
Fiber, g	3.5 g	11.0 g	17.5 g

**Requirements:****CONTENT OF NUTRIENTS – PROTEIN, FATTY ACIDS, CARBOHYDRATES**

	Requirement per daily dose	Content in product per daily dose
Energy	450 – 800 kcal (1880 – 3350 kJ)	515 kcal 2180 kJ
Protein	Min. 50 g	59.2 g
Linoleic acid	Min. 3 g	3.7 g

Linolenic acid	Min. 0.5 g	0.5 g
Ratio between linoleic acid and linolenic acid	5 – 15	7.4
Fat of which saturated	Information needed for labelling Information needed for labelling	8.4 g 1.9 g
Carbohydrates of which sugars	Min. 50 g Information needed for labelling	50.8 g 47.9 g
Fibers	Max. 30 g	17.5 g

## AMINO ACIDS

	Requirement per mg/g crude protein
Histidine	Min. 19
Isoleucine	Min. 28
Leucine	Min. 66
Lysine	Min. 58
Methionine + cystine	Min. 25
Phenylalanine + tyrosine	Min. 63
Threonine	Min. 34
Tryptophan	Min. 11
Valine	Min. 35
Total, incl. histidine	Min. 339
Total, excl. histidine	Min. 320

## VITAMINES

	Requirement per daily dose	Content in product per daily dose
Vitamin A, µg	1000 – 1350	1000
Vitamin D, µg	5 – 7.5	5
Vitamin E, mg	10 – 15	10
Vitamin K, µg	80 – 140	80
Vitamin B1, mg	1.4 – 2.1	1.4
Riboflavin (B2), mg	1.6 – 2.4	1.6

Niacin, mg	18 – 27	18
Vitamin B6, mg	2.1 – 3.2	2.1
Folate, µg	300 – 450	300
Vitamin B12, µg	3.0 – 4.0	3.0
Panthothenic acid, mg	4 – 7	4
Biotin, µg	100 – 200	100
Ascorbic acid, mg	60 - 90	60

## MINERALS

	Requirement per daily dose	Content in product per daily dose
Calcium, mg*	900 – 1200	Declare 900 mg
Phosphorus, mg*	700 – 1860	Declare 700 mg
Magnesium, mg	350 – 525	Declare 350 mg
Iron, mg	15 – 23	15
Zinc, mg	12 – 18	12
Copper, mg	2 – 3	2
Iodine, µg	150 – 225	150
Manganese, mg	2.5 – 5	2.5
Chromium, µg	50 – 100	50
Selenium, µg	50 – 100	50
Molybdenum, µg	150 – 300	150
Sodium, g	1.1 – 3.3	Declare 1.1 g
Potassium, g	1.9 – 5.6	Declare 1.9 g
Chloride, g	1.7 – 5.1	Declare 1.7 g

5 \* Ratio calcium:phosphorus should not be less than 0.5

Nutrilett® Very Low Calorie Diet,  
530 kcal Strawberry

10 General information

Pink coloured, water-soluble powder mixture, containing natural and nature-identical flavour. The powder mixture contains vitamins and minerals.

**Ingredients:**

Isolated soy protein IP, fructose, soy fibre IP, emulsifier (lecithin E322), skimmed milk powder, sodium citrate, potassium chloride, flavourings, magnesium citrate, calcium citrate, colouring (beetroot powder), tri calcium phosphate, stabilisers (guar gum,

- 5 xanthan gum), sodium chloride, vitamin & mineral blend, sweeteners (aspartame\*, acesulfame K).

\* contains a source of phenylalanine

	Per SERVING of dry powder (33 g)	Per 100 g of dry powder	Per DAILY serving (5 portions 165 g) of dry powder
Energy	461 kJ 109 kcal	1388 kJ 331 kcal	2307 kJ 546 kcal
Protein	12.0 g	36.4 g	60.1 g
Fat			
of which saturated fat	2.1 g	6.4 g	10.5 g
of which linoleic acid	0.7 g	2.0 g	3.3 g
of which linolenic acid	0.8 g 0.1 g	2.3 g 0.3 g	3.8 g 0.6 g
Carbohydrate			
of which sugars	10.7 g 9.5 g	32.5 g 28.7 g	53.5 g 47.4 g
Fiber, g	3.6 g	11.6 g	18.2 g

10 Requirements:

**CONTENT OF NUTRIENTS – PROTEIN, FATTY ACIDS, CARBOHYDRATES**

	Requirement per daily dose	Content in product per daily dose
Energy	450 – 800 kcal (1880 – 3350 kJ)	546 kcal 2307 kJ
Protein	Min. 50 g	60.1 g
Linoleic acid	Min. 3 g	3.8 g
Linolenic acid	Min. 0.5 g	0.5 g
Ratio between linoleic acid	5 – 15	7.6

and linolenic acid		
Fat of which saturated	Information needed for labelling Information needed for labelling	10.5 g 3.3 g
Carbohydrates of which sugars	Min. 50 g Information needed for labelling	53.3 g 47.4 g
Fibers	Max. 30 g	19.2 g

## AMINO ACIDS

	Requirement per mg/g crude protein
Histidine	Min. 19
Isoleucine	Min. 28
Leucine	Min. 66
Lysine	Min. 58
Methionine + cystine	Min. 25
Phenylalanine + tyrosine	Min. 63
Threonine	Min. 34
Tryptophan	Min. 11
Valine	Min. 35
Total, incl. histidine	Min. 339
Total, excl. histidine	Min. 320

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## VITAMINES

	Requirement per daily dose	Content in product per daily dose
Vitamin A, µg	1000 – 1350	1000
Vitamin D, µg	5 – 7.5	5
Vitamin E, mg	10 – 15	10
Vitamin K, µg	80 – 140	80
Vitamin B1, mg	1.4 – 2.1	1.4
Riboflavin (B2), mg	1.6 – 2.4	1.6
Niacin, mg	18 – 27	18

Vitamin B6, mg	2.1 – 3.2	2.1
Folate, µg	300 – 450	300
Vitamin B12, µg	3.0 – 4.0	3.0
Panthothenic acid, mg	4 – 7	4
Biotin, µg	100 – 200	100
Ascorbic acid, mg	60 - 90	60

## MINERALS

	Requirement per daily dose	Content in product per daily dose
Calcium, mg*	900 – 1200	Declare 900 mg
Phosphorus, mg*	700 – 1860	Declare 700 mg
Magnesium, mg	350 – 525	Declare 350 mg
Iron, mg	15 – 23	15
Zinc, mg	12 – 18	12
Copper, mg	2 – 3	2
Iodine, µg	150 – 225	150
Manganese, mg	2.5 – 5	2.5
Chromium, µg	50 – 100	50
Selenium, µg	50 – 100	50
Molybdenum, µg	150 – 300	150
Sodium, g	1.1 – 3.3	Declare 1.1 g
Potassium, g	1.9 – 5.6	Declare 1.9 g
Chloride, g	1.7 – 5.1	Declare 1.7 g

5 \* Ratio calcium:phosphorus should not be less than 0.5

## ScanDiet™ Low Calorie Diet, 800 kcal

## Chocolate

## 10 General information

Light brown, water soluble powder mixture, containing natural and nature-identical flavour. The powder mixture contains vitamins and minerals.

Ingredients:

- Isolated soy protein IP, crystalline fructose, soy fiber IP, cocoa powder, sweet dairy whey, lecithin (E-322), potassium chloride, salt, magnesium sulphate, calcium phosphate, artificial chocolate and vanilla flavours, xanthan gum, calcium carbonate, acesulfame potassium, d-alpha tocopherol acetate, ascorbic acid, chromium GTF
- 5 polynicotinate, beta-carotene, niacinamide, zinc oxide, ferrous fumarate, molybdenum glycinate, d-calcium pantothenate, manganese sulphate, cholecalciferol, copper carbonate, pyridoxine hydrochloride, riboflavin, thiamin hydrochloride, selenomethionine, folic acid, biotin, potassium iodide, cyanocobalamin.

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## Nutritional values

	Per meal 48 g (1.69 oz)	Per daily dose 240 g	Per 100 g
Total protein, g	18	90	37.5
Soy protein, g	17.4	87	36.3
Fat, total g	3	15	6.3
- saturated fat, g	0.5	2.5	1.0
Carbohydrates, total g	21	105	44
- sugars, g	13	65	27
Fiber, g	6	30	12.5
Sodium, mg	540	2700	1125
Potassium, mg	700	3500	1460
Total energy, kcal/kJ	160 / 669	800 / 3345	333 / 1394

## Specifications and analytical methods

TEST	SPECIFICATION - Release	SPECIFICATION Shelf life	ANALYTICAL METHODS
<b>Characters</b>			
* Appearance	A light brown, water-soluble powder mixture. The mixture must be free from foreign particles. The appearance must not differ from the accepted prototype.	As for release	AM-2147
<b>Identification tests</b>			
* Flavour	According to prototype.	As for release	AM-2147
<b>Purity tests</b>			
** Heavy metals (as Pb)	Max. 1.0 ppm	As for release	AM-2147
** Pesticides -DDT -Lindane -Total DDT and Lindane	Max. 0.1 ppm	As for release	AM-2147
	Max. 0.1 ppm	As for release	AM-2147
	Max. 0.15 ppm	As for release	AM-2147
<b>Other tests</b>			
* Total viable aerobic count	Max. 103/g	As for release	AM-2147
** Bulk density	> 0.35 g/cm <sup>3</sup>	As for release	AM-2147
* Assay - Ascorbic acid - Zinc - Sodium - Potassium - Calcium - Magnesium	0.25 – 0.4 mg/g	0.3 – 0.45 mg/g	AM-2147
	0.06 – 0.1 mg/g	0.07 – 0.11 mg/g	AM-2147
	11.3 – 16.9 mg/g	11.5 – 17.5 mg/g	AM-2147
	14.6 – 21.9 mg/g	15.0 – 22.0 mg/g	AM-2147
	8.3 – 12.5 mg/g	8.5 – 13.0 mg/g	AM-2147
	1.7 – 2.5 mg/g	1.8 – 2.7 mg/g	AM-2147

*Frequency of testing:*

\* = at release

5    \*\* = Occasionally (at every ten batches)

## Content of vitamins and minerals per daily dose, 240 grams:

Active ingredient	Requirements for release and after storage	
	Declared	Nominal
Beta carotene (10% CWS), mg	9	45.6
Ascorbic acid, mg	60	77.9
Cholecalciferol, µg	10	5.2
Vitamin E Acetate (50%), mg	30	81.0
Thiamin Hydrochloride, mg	1.5	2.0
Riboflavin, mg	1.7	1.0
Niacinamide, mg	20	26.0
Pyridoxine Hydrochloride, mg	2	4.0
Folic acid, mg	0.4	0.5
Cyanocobalamin, µg	6	8.4
Biotin, µg	225	297
D-Calcium Pantothenate, mg	10	14.1
Zinc Oxide, mg	15	22.3
Manganese Glycinate, mg	2	4.8
Molybdenum Glycinate, mg	0.075	4.5
Selenomethionine, mg	0.070	2.7
Chromium GTF Ploynicotinate, mg	0.120	3.0
Potassium Iodide, µg	150	239

## Content of amino acids:

Amino acid	mg/g crude protein
Histidine	26
Isoleucine	49
Leucine	82
Lycine	63
Methionine + cystine	26
Phenylalanine + tyrosine	90
Threonine	38

Tryptophane	13
Valine	50
Total:	
including histidine	437
excluding histidine	411

ScanDiet™ Low Calorie Diet, 800 kcal  
Vanilla

5

General information

Cream coloured, water-soluble powder mixture, containing natural and nature-identical flavour. The powder mixture contains vitamins and minerals.

10 Ingredients:

Isolated soy protein IP, crystalline fructose, soy fiber IP, sweet dairy whey, lecithin (E-322), potassium chloride, xanthan gum, salt, sodium citrate, natural and artificial vanilla flavours, magnesium sulphate, calcium phosphate, calcium carbonate, acesulfame potassium, d-alpha tocopherol acetate, ascorbic acid, chromium GTF

15 polynicotinate, beta-carotene, ferrous fumarate, niacinamide, zinc oxide, molybdenum glycinate, d-calcium pantothenate, manganese sulphate, cholecalciferol, copper carbonate, pyridoxine hydrochloride, selenomethionine, folic acid, biotin, potassium iodide, cyanocobalamin.

20

Nutritional values

	Per meal 49 g (1.73 oz)	Per daily dose 245 g	Per 100 g
Total protein, g	18	90	36.7
Soy protein, g	17.4	87	35.5
Fat, total g	3	15	6.1
- saturated fat, g	0.5	2.5	1.0
Carbohydrates, total g	22	110	45
- sugars, g	13	65	26.5

Fiber, g	7	35	14.6
Sodium, mg	580	2900	1180
Potassium, mg	700	3500	1430
Total energy, kcal/Kj	160 / 669	800 / 3345	327 / 1365

## Specifications and analytical methods

TEST	SPECIFICATION - Release	SPECIFICATION Shelf life	ANALYTICAL METHODS
<u>Characters</u>			
* Appearance	A cream coloured, water soluble powder mixture. The mixture must be free from foreign particles. The appearance must not differ from the accepted prototype.	As for release	AM-2147
<u>Identification tests</u>			
* Flavour	According to prototype.	As for release	AM-2147
<u>Purity tests</u>			
** Heavy metals (as Pb)	Max. 1.0 ppm	As for release	AM-2147
** Pesticides -DDT -Lindane -Total DDT and Lindane	Max. 0.1 ppm Max. 0.1 ppm Max. 0.15 ppm	As for release. As for release	AM-2147 AM-2147 AM-2147
<u>Other tests</u>			
* Total viable aerobic count	Max. 103/g	As for release	AM-2147
** Bulk density	> 0.35 g/cm <sup>3</sup>	As for release	AM-2147
* Assay - Ascorbic acid - Zinc - Sodium - Potassium - Calcium - Magnesium	0.2 – 0.4 mg/g 0.06 – 0.10 mg/g 11.8 – 17.8 mg/g 14.3 – 21.4 mg/g 8.2 – 12.2 mg/g 1.6 – 2.4 mg/g	0.25 – 0.45 mg/g 0.065 – 0.11 mg/g 12.0 – 18.0 mg/g 14.5 – 22.0 mg/g 8.5 – 13.0 mg/g 1.8 – 2.6 mg/g	AM-2147 AM-2147 AM-2147 AM-2147 AM-2147 AM-2147

*Frequency of testing:*

\* = at release

\*\* = occasionally (at every ten batches)

5

## Content of vitamins and minerals per daily dose, 245 grams:

Active ingredient	Requirements for release and after storage	
	Declared	Nominal
Beta carotene (10% CWS), mg	9	45.8
Ascorbic acid, mg	60	78.2
Cholecalciferol, µg	10	5.2
Vitamin E Acetate (50%), mg	30	81.3
Thiamin Hydrochloride, mg	1.5	2.0
Riboflavin, mg	1.7	1.0
Niacinamide, mg	20	26.1
Pyridoxine Hydrochloride, mg	2	4.0
Folic acid, mg	0.4	0.5
Cyanocobalamin, µg	6	7.9
Biotin, µg	225	298
D-Calcium Pantothenate, mg	10	14.2
Zinc Oxide, mg	15	22.4
Manganese Glycinate, mg	2	4.8
Molybdenum Glycinate, mg	0.075	4.5
Selenomethionine, mg	0.070	2.7
Chromium GTF Ploynicotinate, mg	0.120	3.0
Potassium Iodide, µg	150	238

## Content of amino acids:

Amino acid	mg/g crude protein
Histidine	26
Isoleucine	49
Leucine	82
Lycine	63
Methionine + cystine	26
Phenylalanine + tyrosine	90
Threonine	38
Tryptophane	13
Valine	50

Total:	
including histidine	437
excluding histidine	411

## ScanDiet™ Low Calorie Diet, 800 kcal

Strawberry

## 5 General information

Cream coloured, water soluble powder mixture with small red particles, containing natural and nature-identical flavour. The powder mixture contains vitamins and minerals.

## 10 Ingredients:

- Isolated soy protein IP, crystalline fructose, soy fiber IP, sweet dairy whey, lecithin (E-322), potassium chloride, xanthan gum, artificial vanilla and strawberry flavours, salt, sodium citrate, magnesium sulphate, calcium phosphate, calcium carbonate, citric acid, acesulfame potassium, beet powder, d-alpha tocopherol acetate, ascorbic acid,
- chromium GTF polynicotinate, beta-carotene, ferrous fumarate, niacinamide, zinc oxide, molybdenum glycinate, d-calcium pantothenate, manganese sulphate, cholecalciferol, copper carbonate, pyridoxine hydrochloride, selenomethionine, folic acid, biotin, potassium iodide, cyanocobalamin.

## Nutritional values

	Per meal 49 g (1.73 oz)	Per daily dose 245 g	Per 100 g
Total protein, g	18	90	36.7
Soy protein, g	17.4	87	35.5
Fat, total g	3	15	6.1
- saturated fat, g	0.5	2.5	1.0
Carbohydrates, total g	21	105	43
- sugars, g	13	65	26.5
Fiber, g	7	35	14.6
Sodium, mg	580	2900	1180
Potassium, mg	700	3500	1430
Total energy, kcal/kJ	160 / 669	800 / 3345	327 / 1365

## Specifications and analytical methods

TEST	SPECIFICATION - Release	SPECIFICATION Shelf life	ANALYTICAL METHODS
<u>Characters</u>			
* Appearance	A cream coloured, water soluble powder mixture with small red particles. The mixture must be free from foreign particles. The appearance must not differ from the accepted prototype.	As for release	AM-2147
<u>Identification tests</u>			
* Flavour	According to prototype.	As for release	AM-2147
<u>Purity tests</u>			
** Heavy metals (as Pb)	Max. 1.0 ppm	As for release	AM-2147
** Pesticides -DDT -Lindane -Total DDT and Lindane	Max. 0.1 ppm Max. 0.1 ppm Max. 0.15 ppm	As for release As for release As for release	AM-2147 AM-2147 AM-2147
<u>Other tests</u>			
* Total viable aerobic count	Max. 103/g	As for release	AM-2147
** Bulk density	> 0.35 g/cm <sup>3</sup>	As for release	AM-2147
* Assay - Ascorbic acid - Zinc - Sodium - Potassium - Calcium - Magnesium	0.2 – 0.4 mg/g 0.06 – 0.10 mg/g 11.8 – 17.8 mg/g 14.3 – 21.4 mg/g 8.2 – 12.2 mg/g 1.6 – 2.4 mg/g	0.25 – 0.45 mg/g 0.065 – 0.11 mg/g 12.0 – 18.0 mg/g 14.5 – 22.0 mg/g 8.5 – 13.0 mg/g 1.8 – 2.6 mg/g	AM-2147 AM-2147 AM-2147 AM-2147 AM-2147 AM-2147

Frequency of testing:

\* = at release

\*\* = occasionally (at every ten batches)

## Content of vitamins and minerals per daily dose, 245 grams:

Active ingredient	Requirements for release and after storage	
	Declared	Nominal
Beta carotene (10% CWS), mg	9	45.8
Ascorbic acid, mg	60	78.2
Cholecalciferol, µg	10	5.2
Vitamin E Acetate (50%), mg	30	81.3
Thiamin Hydrochloride, mg	1.5	2.0
Riboflavin, mg	1.7	1.0
Niacinamide, mg	20	26.1
Pyridoxine Hydrochloride, mg	2	4.0
Folic acid, mg	0.4	0.5
Cyanocobalamin, µg	6	7.9
Biotin, µg	225	298
D-Calcium Pantothenate, mg	10	14.2
Zinc Oxide, mg	15	22.4
Manganese Glycinate, mg	2	4.8
Molybdenum Glycinate, mg	0.075	4.5
Selenomethionine, mg	0.070	2.7
Chromium GTF Ploynicotinate, mg	0.120	3.0
Potassium Iodide, µg	150	238

## Content of amino acids:

Amino acid	mg/g crude protein
Histidine	26
Isoleucine	49
Leucine	82
Lycine	63
Methionine + cystine	26
Phenylalanine + tyrosine	90

Threonine	38
Tryptophane	13
Valine	50
Total:	
including histidine	437
excluding histidine	411

## EXAMPLE 1

- The present study was instigated to investigate whether rabbit pellets enriched with a composition comprising a soy protein source, phytoestrogens and a phospholipid source comprising high fixed levels of phosphatidyl choline reduces plasma cholesterol concentrations in cholesterol fed male rabbits compared to placebo enriched and normal rabbit pellets.
- 5 Five groups of each 20 male rabbits between 2 and 3 kg were used. The rabbits were fed pellets made up from a composition according to the invention, i.e. AC (g/100 g: 58.8g soy protein, 16g soy dietary fibre and 5.37g soy phospholipids comprising app. 1g phosphatidyl choline), Placebo (same amounts of protein, fibre and phospholipids as AC) and ALTROMIN®, respectively, supplemented with 0,25% cholesterol.
- 10 15

Diet	AC	Placebo	ALTROMIN	Cholesterol	Estrogen
Group 1	80%		20%	0,25%	
Group 2	40%		60%	0,25%	
Group 3		40%	60%	0,25%	
Group 4		40%	60%	0,25%	+
Group5			100%	0,25%	

The rabbits were offered 80 gram of pellets per day and the residues were monitored each day. Figure 1 shows the individual values by treatment group.

- 20 Body weight and plasma cholesterol concentrations were determined biweekly. As may be noted from figure 2 the increase in body weight was similar in all treatment groups.

100

As may be noted from figure 3 the plasma cholesterol concentrations in rabbits fed 80% AC were reduced by app. 80% after 88 days of cholesterol feeding compared to the placebo groups, while that in rabbits fed 40% AC were reduced app. 50%. Accordingly a composition according to the present invention is capable of reducing the plasma cholesterol concentration upon consumption.

#### EXAMPLE 2

One hundred mature male rabbits of the white Danish country strain were divided into 10 4 groups as shown in table 1.

*Table 1*

Group	Diet	n
HA	80% Abacor + 20% Altromin 2023 + 0.225% Cholesterol	20
LA	40% Abacor + 60% Altromin 2023 + 0.225% Cholesterol	20
CA	40% Casein + 60% Altromin 2023 + 0.225% Cholesterol	40
AL	100% Altromin 2023 + 0.225% Cholesterol	20

The rabbits were offered 80 g of pellets per day and the residues were monitored daily.

25 Body weight and plasma cholesterol concentrations were determined biweekly.

The experimental period lasted 116 days before the rabbits were sacrificed and aortic tissues were removed for cholesterol analysis and testicular tissues for weight 30 measurement.

All groups consumed more than 97% of the offered diet thus making the groups comparable regarding dietary cholesterol load. There was a similar increase in body weight in the 4 groups during the experimental period (table 2).

**Table 2** Experimental data

	80% Abacor	40% Abacor	Casein	Altromin
Food intake (%)	98,6 ± 0,6	99,8 ± 0,2	97,9 ± 0,7	99,9 ± 0,1
Initial Weight (kg)	3,1 ± 0,0	3,1 ± 0,1	3,1 ± 0,0	3,1 ± 0,0
Weight gain (%)	21,1 ± 1,5	25,1 ± 2,0	22,1 ± 2,0	21,3 ± 1,7
Initial plasma cholesterol level (mmol/l)	1,3 ± 0,1	1,3 ± 0,1	1,3 ± 0,1	1,3 ± 0,1
Final plasma cholesterol level (mmol/l)	6,4 ± 1,0*	12,1 ± 2,2*	29,1 ± 3,3	21,4 ± 2,2 <sup>NS</sup>
Average plasma cholesterol level° (mmol/l)	3,9 ± 0,6*	9,2 ± 1,4*	16,5 ± 1,4	15,7 ± 1,5 <sup>NS</sup>
Total aortic cholesterol content (nmol/mg tissue)	5,2 ± 0,6*	8,8 ± 1,5*	24,0 ± 3,2	8,4 ± 0,9*
Arcus aortae	5,4 ± 0,7	11,6 ± 2,7	33,4 ± 4,7	11,8 ± 1,3
Upper thorax	5,6 ± 1,3	5,7 ± 1,3	13,7 ± 2,2	4,5 ± 0,5
Lower thorax	4,2 ± 1,1	8,6 ± 1,4	11,5 ± 1,5	6,5 ± 1,4

Values are listed as mean ± SEM (standard error of the mean). ° Calculated as area under curve divided by number of days of cholesterol feeding. \* p < 0.001 when compared to the control (casein) group. NS Non-significant difference when compared to control (casein) group i.e. p > 0.05. Statistical analysis method used: ANOVA with Dunnett's multiple comparison post test.

#### Plasma cholesterol level

After the 116 days of cholesterol feeding Abacor® 80 % reduced plasma cholesterol level to 22 % compared to the casein fed group (figure 4 & 5). Similarly, Abacor® 40 % reduced plasma cholesterol level to 42 %. The average plasma cholesterol level during the 116 days was reduced to a similar extent as the final plasma cholesterol level. There was no significant difference in average plasma cholesterol levels between the casein and the altromin groups.

10

#### Aortic cholesterol level

Aortic cholesterol content was significantly lower in the 80% Abacor®, 40% Abacor® and altromin diet groups compared to the casein diet group (table 2 & figure 6). This was the case for each of the 3 aortic segments as well as the entire aortic tissue. Surprisingly, the aortic cholesterol level in the altromin group was 35% of the level in the casein group even though the average plasma cholesterol level was roughly the same in the two groups (see table 2). This is also illustrated by figure 6, which shows the relationship between average plasma cholesterol level and aortic cholesterol content. The Abacor® groups also had lower aortic cholesterol contents, but based on

this experiment it is not possible to tell how the aortic cholesterol content in the Abacor® groups will increase in response to an increase in plasma cholesterol level. A favourable response would be like that of altromin.

- 5 Abacor® possesses strong and dose dependent plasma cholesterol lowering properties when compared to casein and altromin (ordinary rabbit chow) diets. The moderately cholesterol fed male rabbit proved to be a sensitive model for investigating the plasma cholesterol lowering properties of Abacor® and is likely to be valuable in the testing of similar products.
- 10 Abacor® treatment lowered aortic cholesterol accumulation compared to casein as expected from plasma cholesterol levels with the 80% Abacor® diet virtually preventing any impact of cholesterol feeding on the arterial wall<sup>1</sup>.
- 15 Altromin lowered aortic cholesterol accumulation threefold when compared to casein in spite of the same average plasma cholesterol level.

#### EXAMPLE 3

- 20 To investigate to what extent an Abacor® enriched diet compared to a casein reduces aortic cholesterol accumulation in cholesterol fed rabbits clamped at the same hypercholesterolemic level, or to be less specific: Does Abacor® have a direct anti-atherogenic effect on the arterial wall.
- 25 Experimental Procedure

Eighty male rabbits of the White Danish country strain were divided into four groups with similar base line values of body weight and plasma cholesterol levels.

---

<sup>1</sup> The same amount of cholesterol is found in rabbits fed a cholesterol free diet.

**Table 3**

Group	Diet	n
HAC	80% Abacor + 20% Altromin + 0.225% Cholesterol	20
LAC	40% Abacor + 60% Altromin + 0.225% Cholesterol	20
PLA	40% Casein + 60% Altromin + 0.225% Cholesterol	20
EST	40% Casein + 60% Altromin + 0.225% Cholesterol + Estrogen	20

Each of the 4 types of diet was provided by Altromin GmbH, Germany, containing 5 different cholesterol concentrations: 2%, 1%, 0,5%, 0,25%, and 0%.

- Each day the rabbits were offered 80 g of pellets with individualised amount of cholesterol. The food residue was for each rabbit monitored daily. The amount of cholesterol in the diets of the rabbits was adjusted on a weekly basis according to plasma cholesterol values. Body weight and plasma cholesterol concentrations were determined weekly. Rabbits in the Estrogen group were given Estrogen cypionate intramuscular twice a week, 170 µg. per rabbit. After 73 days the rabbits were sacrificed and aortic tissues were removed for cholesterol analysis. The Estrogen group was included as a positive control since it is known that Estrogen lowers plasma cholesterol and in addition reduces aortic cholesterol accumulation.

## Results

**Table 4** Experimental data

	80% Abacor	40% Abacor	40% Casein	40% Casein + Estrogen
Number of rabbits in the study	19	19	18	19
Food intake (%)	95.8 ± 1.1	99.5 ± 0.3	75.1 ± 3.1	83.6 ± 2.5
Initial weight (kg)	3.3 ± 0.1	3.3 ± 0.1	3.3 ± 0.1	3.4 ± 0.1
Weight gain (%)	16.7 ± 1.8	13.2 ± 1.7	-1.3 ± 3.0	12.1 ± 2.7
Initial plasma cholesterol level (mmol/l)	0.9 ± 0.1	0.9 ± 0.1	0.9 ± 0.1	0.9 ± 0.1
Final plasma cholesterol level (mmol/l)	29.0 ± 1.9	29.3 ± 1.3	29.8 ± 4.5	34.2 ± 2.7
Cholesterol intake (g/rabbit)	40.7 ± 4.0***	30.5 ± 2.0	20.5 ± 2.6	63.8 ± 5.1**
Area under curve (days · mmol/l)	1388 ± 34*	1426 ± 35 <sup>NS</sup>	1592 ± 68	1452 ± 81 <sup>NS</sup>
Average plasma cholesterol level <sup>a</sup> (AUC/days)	19.0 ± 0.5*	19.5 ± 0.5 <sup>NS</sup>	21.8 ± 0.9	19.9 ± 1.1 <sup>NS</sup>
Total aortic cholesterol content (nmol/mg tissue)	6.5 ± 0.7***	7.8 ± 0.9 <sup>NS</sup>	10.2 ± 1.0	7.5 ± 0.6 <sup>NS</sup>
Arcus aortae	7.4 ± 0.9	10.5 ± 1.5	14.1 ± 1.6	10.0 ± 1.1
Upper thorax	4.9 ± 0.4	4.8 ± 0.3	4.6 ± 0.3	4.1 ± 0.3
Lower thorax	6.4 ± 0.5	4.8 ± 0.4	4.9 ± 0.5	4.6 ± 0.2

*Values are listed as mean ± SEM (standard error of the mean). \* Calculated as area under curve divided by number of days of cholesterol feeding. \*\* p < 0.05, \*\*\* p < 0.001 when compared to the control (casein) group. <sup>a</sup> Non-significant difference when compared to control (casein) group i.e. p > 0.05. Statistical analysis method used: ANOVA with Dunnett's multiple comparison post test (Data that failed the normality test was analysed using Kruskal-Wallis test with Dunn's post test)*

### 25 Body weight

The 2 groups offered Abacor® containing diets consumed more than 95% of the diets given during the experimental period (table 4). The rabbits receiving Casein consumed only about 70% of the diet; whereas the Estrogen rabbits consumed about 80%. The loss of appetite in the Casein rabbits is reflected by the loss of body weight during the

30 experimental period. The rabbits receiving Casein thrived badly and 2 of the rabbits were sacrificed before finalisation of the study due to liver malfunctioning (severe hyperbilirubemia).

### Plasma cholesterol level

35 It appears from figure 7 that the plasma cholesterol level was gradually increasing during the study with similar mean values for the 4 groups. The average plasma

cholesterol during the entire experimental period was about 20 mmol/l (table 4). In order to obtain that level, the rabbits receiving 80% Abacor® needed about 40 g of cholesterol during the period, whereas the rabbits receiving Casein only required about 20 g of cholesterol. The cholesterol lowering effect of Estrogen was obvious 5 since these rabbits required more than 60 g to obtain their 20 mmol/l in plasma. The average cholesterol intake for each rabbit during the experimental period is shown in figure 8.

#### Aortic cholesterol level

10 Figure 9 demonstrates that the rabbits receiving 80% Abacor® had a lower aortic cholesterol concentration than the casein rabbits. The rabbits receiving 40% Abacor® had values in between.

15 The Estrogen rabbits had aortic cholesterol concentrations similar to the rabbits receiving 80% Abacor®.

#### Discussion

20 It turned out to be more difficult to keep the rabbits at the same elevated plasma cholesterol level than anticipated partly due to bad appetite among the rabbits receiving casein.

25 The rabbits receiving 80% Abacor® and the rabbits receiving Estrogen required more cholesterol than the Casein rabbits to maintain the same plasma cholesterol level in accordance with the cholesterol lowering effect of Abacor® and Estrogen.

30 Compared with Casein, Estrogen as well as 80% Abacor® had a direct antiatherogenic on the arterial wall in the rabbits clamped at the same hypercholesterolemic level.

#### Conclusion

35 In the previous examples 1 and 2, it was demonstrated that Abacor® had a strong dose-dependent plasma cholesterol lowering effect when compared to Casein (and Altromin).

In the present study feeding the rabbits with more dietary cholesterol compensated the cholesterol lowering effect of Abacor®. In the cholesterol clamped rabbits 80% Abacor® lowered aortic cholesterol compared with casein to an extent similar to the  
5 effect of Estrogen.

Comparison with examples 1 and 2

The overall conclusion of the two experiments in the cholesterol fed rabbits is:

- 10 Abacor® compared with Casein lowers plasma cholesterol and in addition lowers aortic cholesterol by an independent effect

The two separate antiatherogenic effects may be caused by the same constituent or combinations of constituents in Abacor® or by different constituents. It seems  
15 reasonable first to identify the plasma cholesterol-lowering constituent because the experiments with fixed dietary cholesterol concentration is easier to conduct than the experiments with cholesterol clamping. Soy lipids are good candidates and should be compared with other lipids keeping the other dietary constituents identical.  
  
20 In figure 10 we have compared the mean aortic cholesterol accumulation in each of the dietary groups from experiment 01 and 04 with the plasma cholesterol exposure of that group. The plasma cholesterol exposure is expressed as the area under the plasma cholesterol versus time curve. Aortic cholesterol is only marginally elevated in all but one group. In future experiments plasma cholesterol should probably be higher  
25 or the experiment last longer to elicit a higher accumulation in aortic tissue and also a higher degree of differentiation between dietary constituents good or bad for the arterial wall.

EXAMPLE 4

30

To compare the effect on the plasma cholesterol level in rabbits of four different soy products and Casein incorporated into a cholesterol enriched diet.

### Experimental Procedure

One hundred mature male rabbits of the white Danish country strain were initially divided into 5 different groups as shown in table 5, with same body weights and same plasma cholesterol concentrations in each group.

**Table 5**

Group	Diet	n
New Abacor	40% New Abacor + 60% Altromin + 0.25% cholesterol	20
Abalon	40% Abalon + 60% Altromin + 0.25% cholesterol	20
Casein	40% Casein + 60% Altromin + 0.25% cholesterol	20
Supro Soy	40% Supro Soy + 60% Altromin + 0.25% cholesterol	20
Abacor	40% Abacor + 60% Altromin + 0.25% cholesterol	20

The rabbits were offered 80 g of pellets per day and the residues were monitored daily.

10

Body weight and plasma cholesterol concentrations were determined biweekly. The experimental period was planned to last for 33 days. Due to surprising results the period was extended for further 25 days at which time all the diets were eaten. The rabbits were sacrificed and aortic tissues were removed for cholesterol analysis.

15

### Results

All groups consumed more than 99% of the offered diet thus making the groups comparable regarding dietary cholesterol load. There was a similar increase in body weight in the 5 groups during the experimental period (table 6). Four rabbits were lost during the experimental period.

**Table 6 Experimental data**

	40% New Abacor	40% Abalon	40% Casein	40% Supro Soy	40% Abacor
Number of rabbits in the study	18	19	19	20	20
Food intake (%)	99.9 ± 0.1	99.8 ± 0.1	99.8 ± 0.1	99.8 ± 0.4	100.0 ± 0.0
Initial Weight (kg)	3.0 ± 0.2	3.1 ± 0.1	3.1 ± 0.3	3.1 ± 0.2	3.1 ± 0.3
Weight gain (%)	18.6 ± 1.4	16.3 ± 1.4	18.1 ± 1.9	14.4 ± 1.0	14.1 ± 1.3
Initial plasma cholesterol level (mmol/l)	1.3 ± 0.1	1.3 ± 0.1	1.3 ± 0.1	1.3 ± 0.1	1.3 ± 0.1
Final plasma cholesterol level (mmol/l)	10.5 ± 1.6**	14.5 ± 1.9	13.8 ± 1.6	18.2 ± 1.1	9.8 ± 1.2**
Area under curve (days · mmol/l)	366 ± 51**	437 ± 60*	560 ± 61	652 ± 40	342 ± 43**
Total aortic cholesterol content: (nmol/mg tissue)	3.7 ± 0.2	3.5 ± 0.2	4.4 ± 0.3	3.8 ± 0.2	3.2 ± 0.1
Arcus aortae	3.6 ± 3.2	3.6 ± 0.2	5.2 ± 0.3	4.3 ± 0.3	3.4 ± 0.1
Upper thorax	5.8 ± 0.5	5.2 ± 0.4	4.8 ± 0.3	5.0 ± 0.4	4.5 ± 0.4
Lower thorax	1.8 ± 0.2	1.4 ± 0.2	1.8 ± 0.2	1.6 ± 0.1	1.5 ± 0.2

Values are listed as mean ± SEM (standard error of the mean). \* p<0.05, \*\* p<0.01 compared to the suprosoy group. Statistical analysis method used: ANOVA with Bonferroni's multiple comparison post test.

#### Plasma cholesterol level

20

After the 57 days of cholesterol feeding 40% Abacor® reduced plasma cholesterol compared with 40% Supro soy® (isolated soy protein FXP HO 161). The same was the case with 40% New Abacor®. The values for 40% Abalon and 40% Casein were lower than that for 40% Supro soy® (isolated soy protein FXP HO 161), although not significantly.

25

From day 40 to day 57 the cholesterol level in the 40% Supro soy® (isolated soy protein FXP HO 161) and the 40% Casein rabbits leveled off compared with the cholesterol levels in the three other groups.

30

#### Aortic cholesterol

The aortic cholesterol was marginally increased in the 40% Casein group but with no significant differences between the groups.

35

In figure 13 the mean values for aortic cholesterol from examples 2 and 3, are compared with the values obtained in the present experiment. It appears that the exposure of the aortic wall to plasma cholesterol in the present experiment is very low and does not cause any cholesterol accumulation in the wall.

5 Discussion

Supro soy® (isolated soy protein FXP HO 161) is the protein component of Abacor®. The pronounced difference in plasma cholesterol level between the two groups suggests that the non-protein constituents of Abacor® are responsible for the 10 cholesterol lowering effect.

Since the plasma cholesterol values for the Supro soy® (isolated soy protein FXP HO 161) group surprisingly was higher than the values for the Casein group after 30 days, it was decided to extend the investigation until shortage of the diets appeared.

15 Although the difference in plasma cholesterol level between the rabbits receiving Supro soy® (isolated soy protein FXP HO 161) and the rabbits receiving Casein diminished there was still after 57 days a clear difference in favour of Casein.

Conclusion

20 Abacor® has now in 3 consecutive experiments with all together 280 cholesterol fed rabbits demonstrated a plasma cholesterol lowering effect compared with Casein. The present experiment suggests that the cholesterol lowering effect cannot be ascribed to the protein constituents of Abacor®.

25 It remains to be shown whether the fibre component, the phosphor lipid component or the Isoflavone component or a combination of these constituents exert the cholesterol lowering effect compared with the soy protein or Casein.

30 EXAMPLE 5

The purpose of this study was to compare the efficacy of the soy protein containing product Abacor® (isolated soy protein with high fixed levels of Isoflavones, cotyledon soy fibers, and soy phospholipids) with Supro soy® (isolated soy protein FXP HO 161)

and placebo regarding beneficial changes in lipid parameters. The primary objective was the lowering of LDL cholesterol in the serum. The secondary objective was the lowering of total and HDL cholesterol as well as triglycerides and apolipoprotein B in the serum.

5

The study was carried out as a randomized three-arm placebo-controlled, double-blind study, with an 8-week treatment period. Subjects with hypercholesterolemia (inclusion range : 5.8 – 7.9 mmol T-Chol.) received 25 g soy protein daily in 2 portions (as a component of the trial substances Abacor® or Supro soy® (isolated soy protein FXP HO 161), compared to 25 g caseinate (as a component of the placebo substance).

10

The primary and secondary target parameters were recorded during the four visits (week 0, 2, 4 and 8). Further, during the first and last visit, concomitant parameters were recorded: homocysteine (as a separate risk factor for atherosclerosis) and the vitamins folic acid and B12 in serum (their deficit is frequently a cause of homocysteinemia). Clinical and control parameters were determined as usual.

15

Table 7 Trial subject information

20

- Subjects included: 121
- Subjects not evaluated: 5
- Subjects evaluated: 116\* (62 female and 54 male)
- Premature terminations: 2
- Completed the study: 114

25

\*distributed as:

Verum 1 = 39

Verum 2 = 39

Placebo = 38

30

Constituents	Amount in 100 g trial substance		
	Abacor®	Supro Soy®	Placebo
Total protein	37.9 g	39.8 g	36.6 g
Soy Protein	33.8 g	34.8 g	-
Caseinate	-	-	25.11 g

<b>Fat</b>	10.3 g	7.0 g	2.6 g
<b>CHO</b>	30.7 g	43.7 g	46.8 g
<b>Sodium</b>	0.13 g	0.08 g	0.245 g
<b>Energy, kcal</b>	359	387	345
<b>Energy, KJ</b>	1501	1618	1443

Table 8      Composition of the trial substances Abacor®, consumed 2 packages daily containing 12.5 g Soy protein (ISP)  
 (full weight 38.77 g/package)

- 5    Supro soy® (isolated soy protein FXP HO 161), consumed 2 package daily containing  
 12.5 g Soy protein (ISP)  
 (full weight 35.94 g/package)
- Placebo, consumed 2 packages daily containing 12.5 g placebo protein  
 (full weight 38.24 g/package)

10

This placebo-controlled clinical trial, performed under the Good Clinical Practice, clearly demonstrate the superiority of the proprietary protected soy composition Abacor® in comparison to the present standard Supro soy® (isolated soy protein FXP HO 161) in a significant manner.

15

These results are relevant particularly with regard to the two published meta-analyses (M-A) of the effects of soy protein intake on serum lipids.

- Both M-A demonstrate, that the consumption of soy protein significantly decreased the serum lipid concentration in hypercholesterolemic subjects (Anderson et al., 1995  
 20 and 2001), however the more recent meta-analysis (M-A II) could not show the same lipid lowering efficacy of soy:

Whereas the decrease of LDL cholesterol, for example, was expressed as 12.9 % in the M-A I, the decrease in the M-A II was only 6.1 % (net change).

- 25    The result of ISP induced LDL cholesterol decrease to 6.1 % from the M-A II corresponds very well with a decrease of 6.4 % induced by Supro soy® (isolated soy protein FXP HO 161) in the Nutri Pharma soy comparison trial, which demonstrates a decrease of 10.5% induced by Abacor®.

## EXAMPLE 6

## Objective:

- The objective of the trial was to show the effect on lipid concentrations in serum of
- 5 supplementary treatment with a soy protein based dietary supplement (Abacor®) for patients having an increased serum cholesterol being treated with a statin preparation, but where the treatment had not resulted in a reduction of the LDL cholesterol concentration to below 3,0 mmol/L.
- 10 The primary effect parameter was a reduction in LDL cholesterol in response to the supplementary treatment with 51 g Abacor® daily, corresponding to an intake of 30 g soy protein daily. Secondary effect parameters were percent changes in total and HDL cholesterol, in LDL/HDL ratio and in triglycerides. The hypothesis of the study was that supplementary treatment with Abacor® will improve the lipid profile of patients with
- 15 hypercholesterolemia treated with a statin preparation.

## Planning:

- The hypothesis was sought reviewed in an open cohort study of patients with
- 20 treatment requiring hypercholesterolemia.

At the Clinical Biochemical Department of County Hospital in Gentofte in co-operation with Cardiologic Department P a lipid out-patients' clinic (HjerteRaskIgen) had been attached. All patients who had been admitted to cardiologic department with an

25 ischaemic heart disease were offered a heart rehabilitation sequence including dietary instruction, instruction on and support to life-style changes (smoking cessation, weight loss, exercise) and if necessary medical cholesterol lowering treatment. Patients having primary hypercholesterolemia with or without ischaemic heart disease may also have been referred by their own GP or from another hospital for a treatment

30 sequence. The medical treatment was done according to a standardised instruction, and the total treatment strategy was centred round a computer program – HjerteRask2000 – in which disease sequence, predispositions and ongoing registration of medicine consumption and lipid levels along with weight and tobacco consumption was keyed in. On each consultation the program generated an updated

35 patient report containing this information for the use of patient and therapist, and the report was printed out and given to the patient. The HjerteRaskIgen clinic is run by

nurses, and there was a weekly visit with the doctor for new patients from other treatment institutions and for patients whose treatment sequence diverged from the standard treatment.

- 5 Patients referred to the clinic who meet the inclusion and exclusion criteria were asked to participate in the present study.

- Within the study medical treatment was started with statin corresponding to the patient's standard treatment. After 6 weeks of treatment the treatment was
- 10 supplemented with Abacor® 51 g daily. The combined treatment was given for 6 weeks whereupon the treatment is changed to treatment only with statin corresponding to the patient's standard treatment. All participants were treated with statin and all got supplementary Abacor®.
- 15 The primary effect parameter was change in the LDL cholesterol during the supplementary Abacor® treatment compared to levels for treatment only with statin corresponding to the patient's standard treatment. Secondary effect parameters were changes in total and HDL cholesterol, LDL/HDL ratio and in triglycerides during supplementary Abacor® treatment. Changes in lipid concentrations were tested by
- 20 ANOVA and paired Students t-tests.

- All patients started in the standard treatment period of the trial 6 weeks before the start of the Abacor® treatment and 2 weeks after baseline with dietary instruction and dietary evaluation. A lifestyle related "lipid lowering" effect of the patient's admittance
- 25 to the trial thus probably had set in, before the Abacor® treatment was commenced. That a potential effect during the weeks of combinational treatment may be due to an effect of Abacor® was further attempted shown by comparing lipid levels during combinational treatment with levels after further 6 weeks of treatment only with statin corresponding to the patient's standard treatment.
- 30 Nonetheless, the absence of a placebo group as regards the Abacor® treatment weakens the possibility of making reliable conclusions from a significant cholesterol drop during the Abacor® treatment. An unchanged cholesterol level during the supplementary treatment with Abacor® in the present study, however, significantly
- 35 reduces the expectations to the future use of the treatment, and the present study was

meant as an initial pilot study before the decision for a possible subsequent randomised double blind testing.

Since the study wished to detect a reduction caused by Abacor® of 0.4 mmol/L in  
5 serum LDL concentration and a standard deviation of 0.8 mmol/l in the material was expected, 50 patients must be included in order to have a power of 80% at the p<0.01 level in the chosen coupled design.

The primary analysis was performed for participants having >80% medicine  
10 compliance.

**Participants in the trial:**

50 ambulant patients with hyper cholesterolemia in treatment with a statin preparation as monotherapy were included. All > 18 years old having LDL cholesterol  
15 concentration above or at 3.0 mmol/L and below or at 4.5 mmol/L on current cholesterol lowering treatment.

**Exclusion criteria are:**

- treatment with cholesterol lowering medication of any kind for 6 weeks prior to the start of Abacor® treatment (visit no. 3) including OTC products as fish oil and garlic products, excluding the usual statin treatment, which constituted the basis treatment of the trial.
- treatment with other medicines having a potential effect on the lipid metabolism (corticosteroids, estrogens, progestogens excluding possible constant unchanged>1 year) postmenopausal hormonal replacement, raloxifene, tamoxifen, thyroxin, insulin, orlistat)
- treatment with inhibitors of CYP3A4 (cyclosporin, oral antimycotics, macrolid antibiotics)
- known diabetes or fastening blood glucose above or at 6.7 mmol/l
- 30 • known hypo /hyper thyreosis (or TSH <0.4 mU/l or >4 mU/l combined with T3 or T4 outside the reference intervals (2.2-5.4 and 9.1-23.8 pmol/L at baseline))
- CK>230 U/l
- ASAT>50 U/l
- alkaline phosphatase >275 U/l
- 35 • albumin<550 µmol/l
- creatinine>150 µmol/l

- triglycerides>4.5 mmol/l
  - other known abnormal lab counts which needed further explanation
  - diseases or conditions which may interfere with the carrying through of the study (including cancer during the last 5 years, unstable angina pectoris, cardiac insufficiency in level NYHA III and IV)
  - diseases or conditions which may influence the absorption of Abacor® or statin preparation (intestinal resection etc.)
  - somatic or psychological conditions which according to the investigators opinion may interfere with the carrying through of the study
- 5      • known allergy to statins or soy
- 10     • pregnancy or breast-feeding or pregnancy plans
- fertility of women, unless safe prevention is used (contraceptive pills, contraceptive coil, depot injection gestagen).
- 15     Written information was handed out to potential participants during the first standard consultation in the HjerteRaskIgen clinic. Verbal information was given in the same connection and again during the following consultation based on any questions to the written information. If the patient then was interested in participation, an informed consent is signed. Information etc is given with only investigator and potential
- 20     participant present.

Methods:

A total of 6 visits were carried through.

During visit 1 (baseline – week – 2):

- 25     • the inclusion and exclusion criteria were reviewed
- age, tobacco consumption, and hereditary disposition for cardiovascular disease (1st degree relative with AMI before 55 years (men) or 65 years (women) were noted
- anamnesis and current medicine consumption was recorded
- 30     • blood pressure, pulse, height and weight were measured
- total, HDL and LDL cholesterol, triglycerides, TSH, CK, ASAT, alkaline phosphatase, albumin, creatinine and glucose were measured in a fastening blood sample
- instruction about dietary low-on-cholesterol food corresponding to the American Heart Association step 1 diet is given, and it was judged whether the patient's diet already corresponds hereto.
- 35

During visit 2 (inclusion – week 0):

- adverse events were recorded (all health related changes)
- changes in medicine intake were recorded
- 5 • blood pressure, pulse and weight were measured
- total, HDL and LDL cholesterol, triglycerides, CK, ASAT, alkaline phosphatase, TSH and glucose were measured in a fastening blood sample
- a fastening blood sample was taken and serum and plasma was isolated and frozen for later determination of total, HDL and LDL cholesterol and triglycerides as
- 10 well as CRP and any determination of possible explanatory variables, for instance genistein and daidzein etc.
- statin tablets identical to the patient's usual treatment for 6 weeks' treatment (+ 1 extra week) was handed out
- any other cholesterol lowering medical treatment of any kind, including OTC products as fish oil and garlic products were discontinued.
- 15

During visit 3 (week 6):

- adverse events were recorded (all health related changes)
- changes in medication were recorded
- 20 • blood pressure, pulse and weight were measured
- total, HDL and LDL cholesterol, triglycerides, CK, ASAT, alkaline phosphatase, TSH and glucose were measured in a fastening blood sample
- a fastening blood sample was taken and serum and plasma were frozen for later determination of total, HDL and LDL cholesterol and triglycerides as well as CRP
- 25 and any determination of possible explanatory variables as genistein and daidzein etc.
- medicine compliance was determined by counting the remaining tablets
- statin tablets identical to the patient's usual treatment for 6 weeks' treatment (+ 1 extra week) was handed out
- 30 • Abacor® 50 g powders for 1 week's treatment (+ 1 extra week) was handed out

During visit 4 (week 7):

- adverse events were recorded (all health related changes)
- any possible changes in medicine intake were recorded
- 35 • blood pressure, pulse and weight were measured

- total, HDL and LDL cholesterol, triglycerides, CK, ASAT, alkaline phosphatase, TSH and glucose were measured in a fastening blood sample
- fastening blood samples were taken, and serum and plasma were frozen for later determination of total, HDL and LDL cholesterol and triglycerides along with CRP
- 5 and any determination of possible explanatory variables as genistein and daidzein etc.
- elucidation of any possible problems regarding the intake of Abacor®, which needs to be solved
- compliance for Abacor® and statin were determined by counting the remaining 10 tablets/powders
- Abacor® 50 g powders for 5 weeks' treatment (+ 1 extra week) was handed out

During visit 5 (week 12):

- adverse events were recorded (all health related changes)
- 15 • changes in medicine intake were recorded
- blood pressure, pulse and weight were measured
- total, HDL and LDL cholesterol, triglycerides, CK, ASAT, alkaline phosphatase, TSH and glucose were measured in a fastening blood sample
- a fastening blood sample was made and serum and plasma were frozen for later 20 determination of total, HDL and LDL cholesterol and triglycerides along with CRP and any determination of possible explanatory variables as genistein and daidzein etc.
- compliance was determined by count of the remaining tablets/powders.
- Statin tablets identical with the patient's usual treatment for 6 weeks' treatment 25 (+ 1 extra week) was handed out.

During visit 6 (follow-up - week 18):

- adverse events were recorded (all health related changes)
- changes in medicine intake were recorded
- 30 • blood pressure, pulse and weight were measured
- total, HDL and LDL cholesterol, triglycerides, CK, ASAT, alkaline phosphatase, albumin, creatinine and glucose was measured in fastening blood sample
- a fastening blood sample was made and serum and plasma were frozen for later 35 determination of total, HDL and LDL cholesterol and triglycerides along with CRP and any determination of possible explanatory variables as genistein and daidzein etc.

- medicine compliance was determined by count of the remaining tablets.

Subsequently, the frozen serum samples will be thawed and total, HDL and LDL cholesterol and triglycerides in all samples from the same patient will be determined in

- 5 the same batch using standard techniques. Excess serum and plasma will be kept frozen with the aim of any later determination of possible explanatory variables as genistein and daidzein etc.

- All data were continuously keyed in into the computer program HjerterRask2000 which  
10 functions as Case Record Form (CRF) – patient medical record.

Risk assessment:

Risks:

- 15 All patients who accept to join the study were patients who have already been put into the statin treatment, which constitute the basis treatment of the test. Supplementary treatment with the soy protein based dietary supplement Abacor® was judged not to be of risk to the participants.
- 20 Due to the potential side effects of the statin treatment, CK, ASAT and alkaline phosphatase were determined on each visit.

- All serious adverse events defined as any occurrence of death, life threatening condition, admittance to hospital, cancer or disablement and any adverse event as  
25 result of an overdose (intentional or unintentional) with a participant in the trial must be immediately communicated to the clinically responsible person for the trial by any person involved in the performance of the trial when he/she becomes aware of the event. The clinically responsible person will communicate the event to Nutri Pharma A/S within 24 hours and will make a decision as to any consequence for the further  
30 performance of the trial based on suspected relation between the event and the intake of statin preparation or Abacor®.

- On a daily basis the project manager will keep updated about such and not serious adverse events and abnormal analysis results, evaluate these and make decision on  
35 any consequences, if necessary in co-operation with the clinically responsible person.

No other examinations were made as part of the trial except blood pressure measuring, weighing, measuring and blood sampling for blood analyses. These procedures were judged to create no risk for the participants.

5 Side effects:

- The only known side effect to soy protein supplement in general is mild gastro intestinal inconveniences as mild diarrhoea, constipation and nausea. In previous studies the current soy protein supplement product Abacor® has been given to a total of 170 persons, and no other side effects than the abovementioned mild gastro 10 intestinal inconveniences have been reported. Also, clinical and paraclinical investigations during these studies have registered no side effects

Inconvenience:

- During the investigation a number of blood samples will be taken, however, this 15 potential inconvenience was no larger than by the blood samples that would have been taken by standard treatment.

Potential advantages by participation in the study:

- If the treatment with Abacor® proves to have a supplementary lipid lowering effect to 20 treatment with the patient' usual statin treatment the participants will profit from this improvement of their cardiovascular risk profile, and this improvement will have been reached through a potentially more gentle method than with increase of the statin dosage. On favourable discoveries these findings, further, may be of advantage for the participants as well as for other hypercholesterolemic patients in the future.

25

Potential disadvantages by participation in the study:

- In addition to the already described disadvantages, the participants will not be able to have their lipid lowering treatment adjusted. However, during every visit lipids will be measured and if the levels were judged to be unjustifiable, the patient will discontinue 30 participating. Since the trial only continues for 20 weeks, the inclusion criteria serum LDL concentration at or below 4.5 mmol/L secure that no participant will receive an unreasonably low treatment dose of known active cholesterol lowering medicine.

**Safety measures:**

Adverse events were monitored on a continuous basis. On each visit blood samples were taken (CK, ASAT, alkaline phosphatase) with the aim of disclosing potentially serious side effects to the treatment with statin.

5

**Results**

- Preliminary results from this study is shown in figures 23-28. When Abacor® was ingested in combination with a usual statin antihypercholesterolaemic treatment in 39 male and female patients, a significant cholesterol lowering effect was found in 10 addition to the effect of the statin treatment (total and LDL cholesterol). After discontinuation of Abacor® treatment, plasma lipid values returned to Abacor® pre-treatment levels. There seems to be a gender differentiation (males more sensitive than females), has to be verified in a placebo controlled study.

15 **EXAMPLE 7**

This study aimed to assess the efficacy and safety of a low-calorie, soy-based meal replacement program (Scan Diet®) for weight loss and serum lipid management among overweight and obese persons. Scan Diet® includes isolated soy proteins, 20 fibers and phospholipids. This prospective, controlled, parallel-group, clinical trial, involved 100 obese volunteers aged 35-65 with a BMI of 28-41 kg/m<sup>2</sup>; 74 completed all 12 weeks of the trial. Participants were randomized into two equal groups: a meal replacement group (1200 kcal/day) also given a single dietary counselling session and a weight loss pamphlet from the American Heart Association; and a control group 25 given the counselling session and weight loss pamphlet. Main outcome measures were weight and serum lipid concentrations. By intent-to-treat analysis, the treatment group had a significantly greater reduction in weight (7.00 vs. 2.89 kg, p<0.001), total cholesterol (TC) (22.5 vs. 8.82 mg/dl; p<0.001) and LDL-cholesterol (LDL-C)(22.2 vs. 7.08 mg/dl; p<0.001) than the control group. In completers-only analysis, the treatment 30 group had significantly greater reductions in weight (7.09 kg; n=37 vs. 2.87 kg; n=37; p=0.0001), TC (26.05 vs. 7.52 mg/dl; p=0.0026) and LDL-C (21.61 vs. 6.52 mg/dl; p=0.0043). The reduction in LDL-C was significantly greater in the treatment group than the control group at any weight loss level. Treatment was well tolerated with no serious side effects. This study shows that a low-calorie diet based on a soy-based 35 meal replacement formula, incorporating isolated soy protein, fiber and phospholipids,

reduces body weight and lowers cholesterol above and beyond that expected given the amount of weight lost.

#### EXAMPLE 8

5

Weight loss and glycaemic control in overweight type 2 diabetic patients after 8 weeks VLCD.

Introduction Conventional treatment of obese type 2 diabetic patients is often  
10 inadequate. We studied the efficacy of Nutrilett®, a commercial very low calorie diet (VLCD), on weight and glycaemic control. Methods Eleven type 2 diabetic patients with BMI  $36.8 \pm 5 \text{ kg/m}^2$  were recruited for the study. Four patients were treated with OHA. The patients were given a VLCD (700 kcal/day) for 8 weeks. Results Weight, waist circumference, HbA1c and fasting blood glucose (FBG) all fell significantly  
15 following VLCD treatment. The mean weight loss was 10.9 kg, ~14 % of their initial body weight (start:  $100.4 \text{ kg} \pm 14$ , after:  $89.5 \text{ kg} \pm 13$ ;  $P < 0.001$ ). The waist circumference decreased significantly from  $111.2 \text{ cm} \pm 2.3$  to  $101.5 \text{ cm} \pm 2.6$  ( $P < 0.001$ ). Mean FBG levels fell by 19 % from 8.7 mmol/l to 7.0 mmol/l ( $P < 0.05$ ), and mean HbA1c fell from 7.0% to 6.1% ( $P < 0.01$ ). OHA therapy could be ceased in two  
20 of the four patients on OHA treatment. Conclusion The short term use of a VLCD is very effective in improving glycaemic control and promoting an important weight loss in overweight type 2 diabetic patients.

#### EXAMPLE 9

25

Bone mineral content is not reduced by weight loss induced by either soy based meal replacement or energy restriction of normal foods

Introduction Studies of changes in bone mineral content (BMC) during weight loss  
30 have had conflicting conclusions. Different strategies for weight reduction might explain different results on loss of BMC during weight loss. Methods We have compared loss of BMC in two weight loss studies. Study 1: 12 week's energy restriction on normal foods (-600 kcal/day), 27 females and 11 males, BMI  $34.3 \pm 3.5$ . Study 2: 8 weeks on a soy-based meal replacement (ScanDiet®, 800 kcal/day), 44  
35 females and 19 males; BMI  $36.1 \pm 2.5$ . Body composition was measured before and after weight loss by DEXA scan. Results In study 1 body weight and body fat was

- reduced by  $8.3 \pm 0.4$  kg and  $7.2 \pm 0.4$  kg and in study 2 by  $10.8 \pm 0.4$  kg and  $7.9 \pm 0.3$  kg respectively. Body mass was reduced more in study 2 than in 1,  $p < 0.001$ . Body mass and fat mass was reduced significantly in both groups,  $p < 0.001$ . BMC was not reduced significantly in any of the two groups ( $6.4 \pm 11.9$  g and  $2.9 \pm 8.9$  g in study 1 and 2 respectively) and after adjustment for weight loss there was no significant difference between the two groups. Conclusion The soy based meal replacement is very effective to induce weight loss and does not have any adverse effect on bone mineral content.

## CLAIMS

## 1. A composition comprising

5                   (a) a soy protein source, selected from isolated soy protein, soy protein concentrate, or soy flour, said soy protein source providing an amount of soy protein, which is at least 45 weight percent of the total protein content of the composition, said total protein content providing at least 15 percent of the total energy content of the composition,

10                  (b) at least one phytoestrogen compound in an amount of more than 0.10 weight percent of the soy protein content of the composition, and

15                  (c) a phospholipid source providing at least 15 percent of the total energy content of the composition, and

15                  (d) phosphatidyl choline in an amount of more than 10 weight percent of the phospholipid source of the composition.

2. A composition according to claim 1, wherein the soy protein source is isolated soy protein and the amount of isolated soy protein is at least 50 weight percent of the total protein content.

3. A composition according to claim 2, wherein the amount of isolated soy protein is at least 75 weight percent of the total protein content.

25                 4. A composition according to claim 3, wherein the amount of isolated soy protein is at least 90 weight percent of the total protein content.

30                 5. A composition according to claim 4, wherein substantially all of the protein is isolated soy protein.

6. A composition according to claim 1, wherein the soy protein source is soy protein concentrate or soy flour and the amount of soy protein is at least 50 weight percent of the total protein content.

7. A composition according to claim 6, wherein the amount of soy protein is at least 75 weight percent of the total protein content.
8. A composition according to claim 7, wherein the amount of soy protein is at least 90 weight percent of the total protein content.
9. A composition according to claim 8, wherein substantially all of the protein is soy protein.
10. 10. A composition according to any of claims 1 to 9 wherein the arginine to lysine ratio of the soy protein of the composition is at least 1.0.
11. A composition according to claims 10 wherein the arginine to lysine ratio of the soy protein of the composition is at least 1.5.
- 15 12. A composition according to claims 11 wherein the arginine to lysine ratio of the soy protein of the composition is at least 2.
13. A composition according to claims 12 wherein the arginine to lysine ratio of the soy protein of the composition is at least 2.5.
- 20 14. A composition according to claims 13 wherein the arginine to lysine ratio of the soy protein of the composition is at least 3.
- 25 15. A composition according to any of claims 1 to 14 wherein the phytoestrogen compound is present in an amount of at least about 0.20 weight percent of the soy protein content of the composition.
- 30 16. A composition according to claim 15 wherein the phytoestrogen compound is present in an amount of at least about 0.30 weight percent of the soy protein content of the composition.
- 35 17. A composition according to claim 16 wherein the phytoestrogen compound is present in an amount of at least about 0.33 weight percent of the soy protein content of the composition.

18. A composition according to claim 17 wherein the phytoestrogen compound is present in an amount of at least about 0.45 weight percent of the soy protein content of the composition.
- 5    19. A composition according to claim 18 wherein the phytoestrogen compound is present in an amount of at least about 0.75 weight percent of the soy protein content of the composition.
- 10    20. A composition according to claim 19 wherein the phytoestrogen compound is present in an amount of at least about 1.0 weight percent of the soy protein content of the composition.
- 15    21. A composition according to any of claims 1 to 20 wherein the phytoestrogen compound is selected among isoflavones.
- 20    22. A composition according to claim 21 wherein the isoflavones are selected from the group comprising genistein, daidzein, glycitein and equol.
- 25    23. A composition according to claim 22 wherein the isoflavones are genistein and/or daidzein.
- 30    24. A composition according to claim 23 wherein the isoflavone is genistein.
- 35    25. A composition according to any of claims 21 to 24 wherein some or all of the isoflavones are present in the aglycone form.
- 40    26. A composition according to any of claims 1 to 25 wherein the phospholipid source provides at least 20 percent of the total energy content of the composition.
- 45    27. A composition according to claim 26 wherein the phospholipid source provides at least 25 percent of the total energy content of the composition.
- 50    28. A composition according to claim 27 wherein the phospholipid source provides at least 30 percent of the total energy content of the composition.

29. A composition according to any of claims 1 to 29 wherein the phospholipid source comprises at least 20% phosphatidyl choline.
30. A composition according to claim 29 wherein the phospholipid source comprises at least 30% phosphatidyl choline.
  - 5
31. A composition according to claim 30 wherein the phospholipid source comprises at least 50% phosphatidyl choline.
- 10 32. A composition according to claim 31 wherein the phospholipid source comprises at least 75% phosphatidyl choline.
33. A composition according to any of claims 1 to 32 wherein the phospholipid source is lecithin.
  - 15
34. A composition according to claim 33 wherein the lecithin is soy lecithin.
35. A composition according to any of claims 1 to 34 further comprising dietary fibers in an amount of more than 4 weight percent of the total weight of the nutritional
  - 20
- composition on a dry basis.
36. A composition according to claim 35, wherein the dietary fibers are soybean fibers.
37. A composition according to claim 36, wherein the soybean fibers are soy cotyledon
  - 25
- fibers.
38. A composition according to any of claims 35 to 37 wherein the dietary fibers are present in an amount of at least 5 weight percent of the composition.
- 30 39. A composition according to any of claims 35 to 38 wherein the weight ratio of soy protein to dietary fibers is at least about 1.0.
40. A composition according to claim 39 wherein the weight ratio of soy protein to dietary fibers is at least about 1.5.

41. A composition according to claim 40 wherein the weight ratio of soy protein to dietary fibers is at least about 2.0.
42. A composition according to claim 41 wherein the weight ratio of soy protein to dietary fibers is at least about 2.5.
  - 5
43. A composition according to claim 42 wherein the weight ratio of soy protein to dietary fibers is at least about 3.0.
- 10 44. A composition according to claim 43 wherein the weight ratio of soy protein to dietary fibers is at least about 4.0.
45. A composition according to claim 44 wherein the weight ratio of soy protein to dietary fibers is at least about 5.0.
  - 15
46. A composition according to any of claims 1 to 45 in combination with statins, niacin, bile acid resins, fibrates, nicotinic acid derivatives, oat products, rye products or fish oil concentrates with a high content of  $\omega$ -3-fatty acids or any combination thereof.
- 20 47. A composition according to claim 46 wherein the statins are selected among HMG-CoA-reductase-inhibitors.
48. A composition according to any of claims 1 to 47 further comprising an additional protein source and/or an additional carbohydrate source.
  - 25
49. A composition according to any of claims 1 to 48 in the form of a micronutrient.
50. A composition according to claim 49 additionally comprising a DNA topoisomerase inhibitor, a ribosome kinase inhibitor, and/or a growth control factor.
  - 30
51. A composition according to claim 50 wherein the growth control factor is a growth control factor controllable by a tyrosine kinase activity.
52. A composition according to any of claims 49 to 51 additionally comprising  
ormeloxifene and/or levormeloxifene.
  - 35

53. A composition according to any of claims 1 to 52 in combination with a functional food ingredient comprising a sterol.
54. A composition according to claim 53 wherein the functional food ingredient comprising a sterol is selected from the group comprising a stanol ester, a tocotrienol, a mevinolin, and a phytosterol compound, or a combination thereof.
55. A composition according to any of claims 1 to 54 for use as a functional food ingredient.
- 10 56. A composition according to claim 55, where the functional food is selected from the group comprising dairy products, e.g. yoghurts, yoghurts drinks, milk etc. juice, ready-made liquids for drinking, a spreadable product, a cereal product, nutritional bars, biscuits, bread, soups, meat products, meat substitute products, and vegetable products.
- 15 57. A composition according to any of claims 1 to 56 for special dietary use.
58. A composition according to claim 57 for lowering serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides in a subject.
- 20 59. A composition according to claim 57 for lowering serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides in hyperlipidemic patients or normocholesterolemic patients suffering from a cardiovascular disease.
- 25 60. A composition according to claim 57 for lowering serum levels of glucose and/or insulin and/or total cholesterol and/or LDL-cholesterol and/or triglycerides and/or for increasing glucose tolerance and/or insulin sensitivity and/or for preventing, treating and/or alleviating impaired glucose tolerance and/or insulin secretory failure in diabetic subjects.
- 30 61. A composition according to claim 57 for preventing, treating and/or alleviating an arteriosclerotic condition by reducing the influx of lipoproteins and/or cholesterol and/or triglycerides into the endothelium of the arterial wall and/or causing dilation of blood vessels.
- 35

62. A composition according to any of claims 1 to 61 for use as dietary food, medical food, food supplement or a medicament.
63. A composition according to claim 62 for use in preventing, treating, prophylactically  
5 treating and/or alleviating cardiovascular disease.
64. A composition according to claim 63 where said cardiovascular disease is selected from the group comprising hypercholesterolemia, hypertriglyceridemia, other hyperlipidemias, arteriosclerosis, atherosclerosis, arteriolosclerosis, coronary heart  
10 disease, angina pectoris, thrombosis, myocardial infarction, and hypertension.
65. A composition according to claim 64 where said cardiovascular disease is arteriosclerosis.
- 15 66. A composition according to claim 64 where said cardiovascular disease is atherosclerosis.
67. A composition according to any of claims 63 to 66 where the medicament is effective in lowering serum levels of total cholesterol and/or LDL-cholesterol and/or  
20 triglycerides and/or homocysteine and/or reducing the influx of cholesterol and/or triglycerides into the arterial wall and/or causing dilation of blood vessels and/or reducing the amount of oxidized LDL-cholesterol present in the arterial wall and/or increasing the serum HDL/LDL-cholesterol ratio and/or the serum HDL-cholesterol level and/or preventing, reducing or eliminating fatty streak formation and/or  
25 preventing, reducing or eliminating fibrous plaque formation and/or preventing, reducing or eliminating complicated lesion formation in a subject and/or in reducing or eliminating the risk of a subject contracting angina pectoris and/or reducing or eliminating the risk of a subject contracting a myocardial infarction.
- 30 68. A composition according to claim 62 for use in preventing, treating, alleviating and/or eliminating a cardiovascular disease in a diabetic subject.
69. A composition according to claim 68 where said cardiovascular disease is selected from the group comprising hypertriglyceridemia, hypercholesterolemia, other  
35 hyperlipidemias, hyperglycemia, hyperinsulinemia, arteriosclerosis, atherosclerosis,

arteriolosclerosis, angina pectoris, thrombosis, myocardial infarction, and hypertension.

70. A composition according to claim 62 for use in preventing, treating, alleviating  
5 and/or eliminating type 2 diabetes in a subject.

71. A composition according to any of claims 68 to 70 where the medicament is effective in lowering serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides and/or glucose and/or increasing serum levels of HDL-cholesterol and/or homocysteine and/or reducing the influx of cholesterol and/or triglycerides into the arterial wall and/or causing dilation of blood vessels and/or reducing the amount of oxidized LDL-cholesterol present in the arterial wall and/or increasing the serum HDL/LDL-cholesterol ratio and/or serum HDL-cholesterol levels and/or increasing glucose tolerance and/or insulin sensitivity and/or alleviating impaired glucose tolerance and/or insulin secretory failure and/or improving insulin secretion and/or preventing, reducing or eliminating fatty streak formation and/or preventing, reducing or eliminating fibrous plaque formation and/or preventing, reducing or eliminating complicated lesion formation and/or reducing or eliminating the risk of a subject contracting angina pectoris and/or reducing or eliminating the risk of a subject contracting a myocardial infarction and/or in treating a procoagulant state and/or an increased activity of clotting factors and/or insulin resistance and/or glycosidation, oxidation and/or chemical modification of lipoproteins and/or impaired glucose tolerance.
- 25 72. A composition according to claim 71 for use in reducing the influx of cholesterol and/or triglycerides into the arterial wall in a diabetic subject.
73. A composition according to claim 72 for use in preventing, treating, alleviating and/or eliminating a pulmonary disease in a subject.
- 30 74. A composition according to claim 73 where said pulmonary disease is selected from the group comprising inflammation of the airways, bronchoconstriction, bronchitis, asthma, and small airways diseases.
- 35 75. A composition according to claim 73 or 74 where the medicament is effective in preventing, treating, prophylactically treating and/or alleviating asthma and/or reducing

and/or eliminating mucus hypersecretion and/or dyspnea in a subject suffering from asthma and/or increasing FEV<sub>1</sub> of a subject as measured by forced expiratory volume in the first second of expiration and/or preventing, treating, prophylactically treating, alleviating and/or reducing inflammation of the airways and/or preventing, treating, prophylactically treating and/or alleviating bronchoconstriction.

76. A pharmaceutical preparation comprising a composition according to any of claims 1 to 75.
- 10 77. Use of a composition according to any of claims 1 to 75 as a medicament for preventing, treating, prophylactically treating and/or alleviating a cardiovascular disease.
- 15 78. Use of a composition according to any of claims 1 to 75 in the manufacture of a medicament for preventing, treating, prophylactically treating and/or alleviating a cardiovascular disease.
- 20 79. Use according to claim 77 or 78 where said cardiovascular disease is selected from the group comprising hypercholesterolemia, hypertriglyceridemia, other hyperlipidemias, arteriosclerosis, atherosclerosis, arteriolosclerosis, coronary heart disease, angina pectoris, thrombosis, myocardial infarction, and hypertension
- 25 80. Use according to claim 79 where said cardiovascular disease is arteriosclerosis.
81. Use according to claim 79 where said cardiovascular disease is atherosclerosis.
- 30 82. Use according to any of claims 77 to 81 where the medicament is effective in lowering serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides and/or homocysteine and/or increasing the serum HDL/LDL-cholesterol ratio and/or increasing serum levels of HDL-cholesterol and/or reducing the influx of cholesterol and/or triglycerides into the arterial wall and/or causing dilation of blood vessels and/or reducing the amount of oxidized LDL-cholesterol present in the arterial wall and/or preventing, reducing or eliminating fatty streak formation and/or preventing, reducing or eliminating fibrous plaque formation and/or preventing, reducing or eliminating complicated lesion formation in a subject and/or in reducing or eliminating the risk of a

subject contracting angina pectoris and/or reducing or eliminating the risk of a subject contracting a myocardial infarction.

83. Use of a composition according to any of claims 1 to 75 as a medicament for  
5 treating a subject suffering from type 2 diabetes and/or the metabolic syndrome.

84. Use of a composition according to any of claims 1 to 75 in the manufacture of a  
medicament for treating a subject suffering from type 2 diabetes and/or the metabolic  
syndrome.

10

85. Use of a composition according to any of claims 1 to 75 as a medicament for  
treating a cardiovascular disease in a diabetic subject.

15

86. Use of a composition according to any of claims 1 to 75 in the manufacture of a  
medicament for treating a cardiovascular disease in a diabetic subject.

20

87. Use according to any of claims 83 to 86 where the medicament is effective in  
lowering serum levels of glucose and/or of total cholesterol and/or LDL-cholesterol  
and/or triglycerides and/or homocysteine and/or increasing the serum HDL/LDL-  
cholesterol ratio and/or serum HDL-cholesterol levels and/or glucose tolerance and/or  
insulin sensitivity and/or alleviating impaired glucose tolerance and/or insulin secretory  
failure and/or improving insulin secretion.

25

88. Use of a composition according to any of claims 1 to 75 as a medicament for  
preventing, treating, prophylactically treating and/or alleviating a pulmonary disease in  
a subject.

30

89. Use of a composition according to any of claims 1 to 75 in the manufacture of a  
medicament for preventing, treating, prophylactically treating and/or alleviating a  
pulmonary disease in a subject.

90. Use according to claim 88 or 89, where the pulmonary disease is selected from the  
group comprising inflammation of the airways, bronchoconstriction, bronchitis, asthma,  
and small airways diseases.

91. Use according to any of claims 88 to 90 where the medicament is effective in preventing, treating, prophylactically treating and/or alleviating asthma.
92. Use according to any of claims 88 to 90 where the medicament is effective in preventing, treating, prophylactically treating and/or alleviating inflammation of the airways.
93. Use according to any of claims 88 to 90 where the medicament is effective in preventing, treating, prophylactically treating and/or alleviating bronchoconstriction.
94. Use according to any of claims 88 to 90 where the medicament is effective in reducing and/or eliminating mucus hypersecretion and/or dyspnea in a subject suffering from asthma.
95. Use according to any of claims 88 to 90 where the medicament is effective in increasing FEV<sub>1</sub> as measured by forced expiratory volume in the first second of expiration.
96. Use of a composition according to any of claims 1 to 75 as a nutritional preparation for lowering serum levels of glucose and/or total cholesterol and/or LDL-cholesterol and/or triglycerides and/or homocysteine and/or for increasing the serum HDL/LDL-cholesterol ratio and/or serum HDL-cholesterol levels in a subject.
97. Use of a composition according to any of claims 1 to 75 in the manufacture of a nutritional preparation for lowering serum levels of glucose and/or total cholesterol and/or LDL-cholesterol and/or triglycerides and/or homocysteine and/or for increasing the serum HDL/LDL-cholesterol ratio and/or serum HDL-cholesterol levels in a subject.
98. Use of a composition according to any of claims 1 to 75 as a nutritional preparation for lowering serum levels of glucose and/or total cholesterol and/or LDL-cholesterol and/or triglycerides and/or homocysteine and/or for increasing the serum HDL/LDL-cholesterol ratio and/or serum HDL-cholesterol levels in a diabetic subject.
99. Use of a composition according to any of claims 1 to 75 in the manufacture of a nutritional preparation for lowering serum levels of glucose and/or total cholesterol and/or LDL-cholesterol and/or triglycerides and/or homocysteine and/or for increasing

the serum HDL/LDL-cholesterol ratio and/or serum HDL-cholesterol levels in a diabetic subject.

100. Use of a composition according to any of claims 1 to 75 as a nutritional preparation for alleviating a pulmonary condition.
101. Use of a composition according to any of claims 1 to 75 in the manufacture of a nutritional preparation for alleviating a pulmonary condition.
102. Use according to any of claims 96 to 101 where the nutritional preparation is in the form of a dietary supplement.
103. Use of a composition according to any of claims 1 to 75 as a partial or total diet for an overweight subject.
104. Use of a composition according to any of claims 1 to 75 as a partial or total diet for an overweight subject suffering from an arteriosclerotic condition.
105. Use of a composition according to any of claims 1 to 75 as a partial or total diet for an overweight subject suffering from a diabetic condition.
106. Use of a composition according to any of claims 1 to 75 for preventing, treating, prophylactically treating and/or alleviating a cardiovascular disease in the human or animal body in an amount effective in lowering serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides and/or homocysteine and/or increasing the serum HDL/LDL-cholesterol ratio and/or serum HDL-cholesterol levels and/or reducing the influx of cholesterol and/or triglycerides into the arterial wall and/or causing dilation of blood vessels and/or reducing the amount of oxidized LDL-cholesterol present in the arterial wall and/or preventing, reducing or eliminating fatty streak formation and/or preventing, reducing or eliminating fibrous plaque formation and/or preventing, reducing or eliminating complicated lesion formation and/or reducing or eliminating the risk of a subject contracting angina pectoris and/or reducing or eliminating the risk of a subject contracting a myocardial infarction, and/or alleviating the clinical condition of patients contracting a myocardial infection.

107. Use according to claim 106 where the cardiovascular disease is selected from the group comprising hypercholesterolemia, hypertriglyceridemia, other hyperlipidemias, arteriosclerosis, atherosclerosis, arteriolosclerosis, coronary heart disease, angina pectoris, thrombosis, myocardial infarction, and hypertension.

5

108. Use according to claim 107 where the cardiovascular disease is arteriosclerosis.

109. Use according to claim 107 where the cardiovascular disease is atherosclerosis.

- 10 110. Use of a composition according to any of claims 1 to 75 for preventing and/or treating type 2 diabetes in an amount effective in lowering serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides and/or glucose and/or increasing serum levels of HDL-cholesterol and/or homocysteine and/or reducing the influx of cholesterol and/or triglycerides into the arterial wall and/or causing dilation of blood vessels and/or reducing the amount of oxidized LDL-cholesterol present in the arterial wall and/or improving glucose tolerance and/or increasing insulin sensitivity and/or alleviating impaired glucose tolerance and/or improving insulin secretion and/or reducing or eliminating fatty streak formation and/or preventing, reducing or eliminating fibrous plaque formation and/or preventing, reducing or eliminating complicated lesion formation and/or preventing, reducing or eliminating the risk of a subject contracting angina pectoris and/or preventing, reducing or eliminating the risk of a subject contracting a myocardial infarction and/or preventing, treating, prophylactically treating, alleviating and/or eliminating hypertension and/or hyperglycemia and/or hyperinsulinemia and/or hypercholesterolemia and/or hypertriglyceridemia and/or arteriosclerosis and/or atherosclerosis and/or arteriolosclerosis in a diabetic subject.

25

111. Use of a composition according to any of claims 1 to 75 for preventing and/or treating the metabolic syndrome in an amount effective in lowering serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides and/or glucose and/or increasing serum levels of HDL-cholesterol and/or homocysteine and/or reducing the influx of cholesterol and/or triglycerides into the arterial wall and/or causing dilation of blood vessels and/or reducing the amount of oxidized LDL-cholesterol present in the arterial wall and/or improving glucose tolerance and/or increasing insulin sensitivity and/or alleviating impaired glucose tolerance and/or improving insulin secretion and/or reducing or eliminating fatty streak formation and/or preventing, reducing or eliminating fibrous plaque formation and/or preventing, reducing or eliminating complicated lesion

formation and/or preventing, reducing or eliminating the risk of a subject contracting angina pectoris and/or preventing, reducing or eliminating the risk of a subject contracting a myocardial infarction.

- 5 112. Use of a composition according to any of claims 1 to 75 for preventing, treating, prophylactically treating and/or alleviating a pulmonary disease in a human or animal body in an amount effective in preventing, treating, prophylactically treating and/or alleviating inflammation of the airways and/or bronchoconstriction and/or bronchitis and/or small airways diseases and/or asthma and/or reducing and/or eliminating mucus hypersecretion and/or dyspnea in a subject suffering from asthma and/or increasing FEV<sub>1</sub> of a subject as measured by forced expiratory volume in the first second of expiration.

- 10 113. Use according to claim 112 where the pulmonary disease is selected from the group comprising inflammation of the airways, bronchoconstriction, bronchitis, asthma, and small airways diseases.

- 15 114. Use according to claim 112 or 113 in an amount effective in preventing, treating, prophylactically treating and/or alleviating asthma.

- 20 115. Use according to claim 112 or 113 in an amount effective in reducing and/or eliminating mucus hypersecretion and/or dyspnea in a subject suffering from asthma.

- 25 116. Use according to claim 112 or 113 in an amount effective in increasing FEV<sub>1</sub> of a subject as measured by forced expiratory volume in the first second of expiration.

- 30 117. Use according to claim 112 or 113 in an amount effective in reducing inflammation of the airways.
- 35 118. A method of preventing, treating, prophylactically treating and/or alleviating by therapy a cardiovascular disease in a human or animal body, said method comprising administration to said human or animal body of a composition according to any of claims 1 to 70 in an amount effective in lowering serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides and/or homocysteine and/or increasing the serum HDL/LDL-cholesterol ratio and/or serum HDL-cholesterol levels and/or reducing the influx of cholesterol and/or triglycerides into the arterial wall and/or causing dilation

of blood vessels and/or reducing the amount of oxidized LDL-cholesterol present in the arterial wall and/or preventing, reducing or eliminating fatty streak formation and/or preventing, reducing or eliminating fibrous plaque formation and/or preventing, reducing or eliminating complicated lesion formation and/or reducing or eliminating the

- 5 risk of a subject contracting angina pectoris and/or reducing or eliminating the risk of a subject contracting a myocardial infarction and/or alleviating the clinical condition of patients contracting a myocardial infection.

119. A method according to claim 118 wherein the cardiovascular disease is an

- 10 arteriosclerotic condition of the human or animal body.

120. A method according to claim 119 wherein the cardiovascular diseases is selected from the group comprising hypercholesterolemia; hypertriglyceridemia, other hyperlipidemias, arteriosclerosis, atherosclerosis, arteriolosclerosis, coronary heart

- 15 disease, angina pectoris, thrombosis, myocardial infarction, and hypertension.

121. A method according to claim 120 wherein the cardiovascular disease is arteriosclerosis.

- 20 122. A method according to claim 120 wherein the cardiovascular disease is atherosclerosis.

123. Method of preventing and/or treating by therapy type 2 diabetes in a human or animal body, said method comprising administration to said human or animal body of a

- 25 composition according to any of claims 1 to 70 in an amount effective in lowering serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides and/or glucose and/or increasing serum levels of HDL-cholesterol and/or homocysteine and/or reducing the influx of cholesterol and/or triglycerides into the arterial wall and/or causing dilation of blood vessels and/or reducing the amount of oxidized LDL-

- 30 cholesterol present in the arterial wall and/or improving glucose tolerance and/or increasing insulin sensitivity and/or alleviating impaired glucose tolerance and/or improving insulin secretion and/or reducing or eliminating fatty streak formation and/or preventing, reducing or eliminating fibrous plaque formation and/or preventing, reducing or eliminating complicated lesion formation and/or preventing, reducing or 35 eliminating the risk of a diabetic subject contracting angina pectoris and/or preventing, reducing or eliminating the risk of a diabetic subject contracting a myocardial infarction

and/or preventing, treating, prophylactically treating, alleviating and/or eliminating hypertension and/or hyperglycemia and/or hyperinsulinemia and/or hypercholesterolemia and/or hypertriglyceridemia and/or arteriosclerosis and/or atherosclerosis and/or arteriolosclerosis in a diabetic subject.

5

124. Method of preventing and/or treating by therapy the metabolic syndrome in a human or animal body, said method comprising administration to said human or animal body of a composition according to any of claims 1 to 70 in an amount effective in lowering serum levels of total cholesterol and/or LDL-cholesterol and/or triglycerides and/or glucose and/or increasing serum levels of HDL-cholesterol and/or homocysteine and/or reducing the influx of cholesterol and/or triglycerides into the arterial wall and/or causing dilation of blood vessels and/or reducing the amount of oxidized LDL-cholesterol present in the arterial wall and/or improving glucose tolerance and/or increasing insulin sensitivity and/or alleviating impaired glucose tolerance and/or improving insulin secretion and/or reducing or eliminating fatty streak formation and/or preventing, reducing or eliminating fibrous plaque formation and/or preventing, reducing or eliminating complicated lesion formation and/or preventing, reducing or eliminating the risk of a subject contracting angina pectoris and/or preventing, reducing or eliminating the risk of a subject contracting a myocardial infarction.

20

125. A method of preventing, treating, prophylactically treating and/or alleviating by therapy a pulmonary disease in a human or animal body, said method comprising administration to said human or animal body of a composition according to any of claims 1 to 70 in an amount effective in preventing, treating, prophylactically treating and/or alleviating inflammation of the airways and/or bronchoconstriction and/or bronchitis and/or asthma and/or small airways diseases and/or reducing and/or eliminating mucus hypersecretion and/or dyspnea in a subject suffering from asthma and/or increasing FEV<sub>1</sub> of a subject as measured by forced expiratory volume in the first second of expiration.

30

126. A method according to claim 125 wherein the pulmonary disease is selected from the group comprising inflammation of the airways, bronchoconstriction, bronchitis, asthma, and small airways diseases.

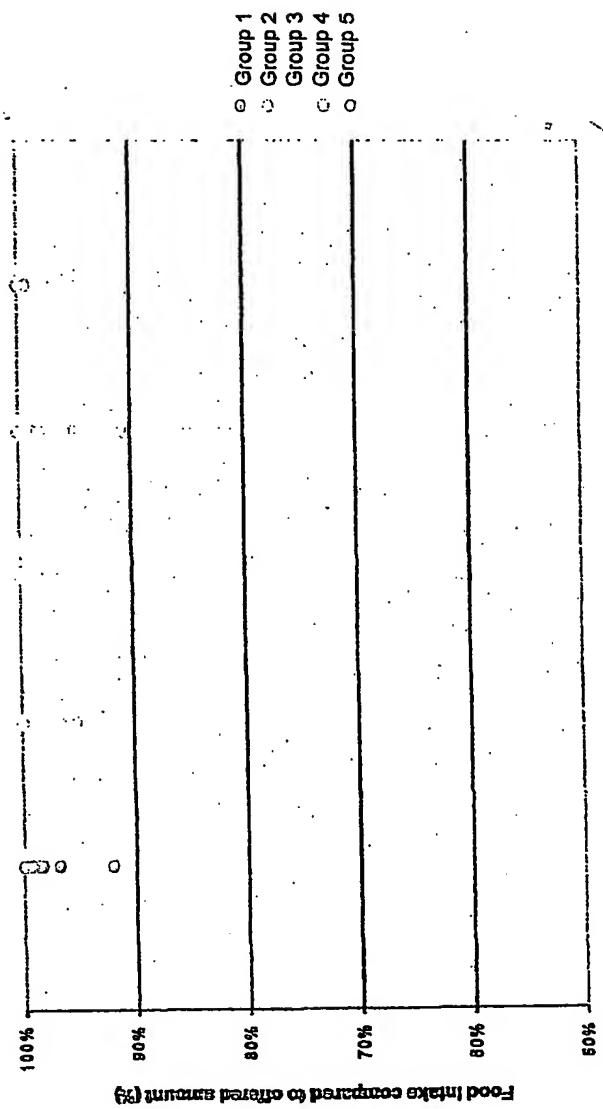
127. A method according to claim 125 or 126 wherein the composition is effective in preventing, treating, prophylactically treating and/or alleviating asthma.

128. A method according to claim 125 or 126 wherein the composition is effective in  
5 reducing and/or eliminating mucus hypersecretion and/or dyspnea in a subject suffering from asthma.

129. A method according to claim 125 or 126 wherein the composition is effective in increasing FEV<sub>1</sub> of a subject as measured by forced expiratory volume in the first  
10 second of expiration.

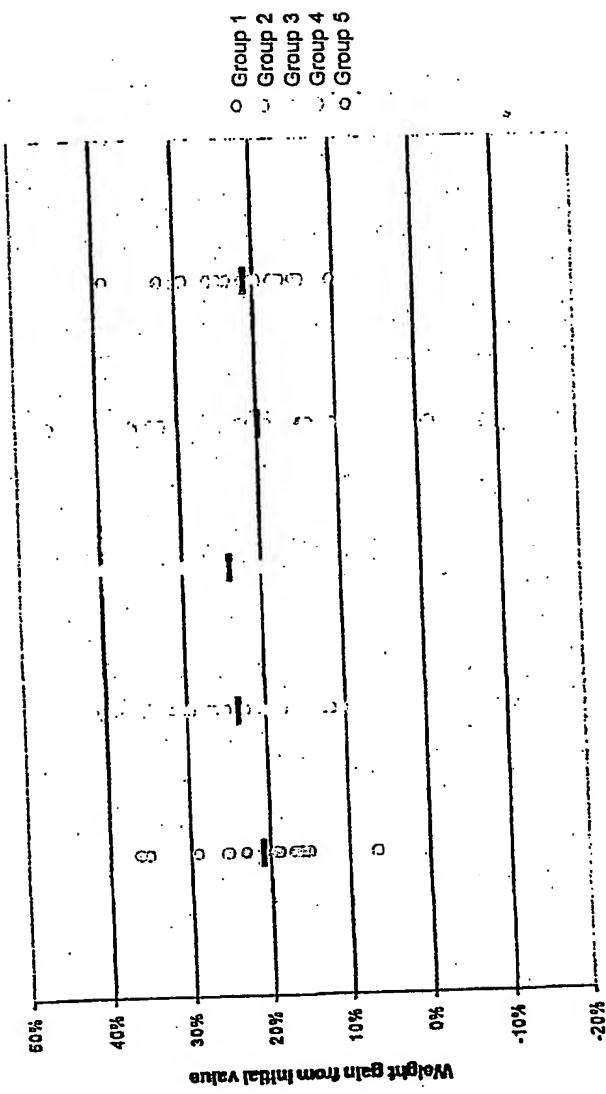
130. A method according to claim 125 or 126 wherein the composition is effective in reducing inflammation of the airways.

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**Figure 1**

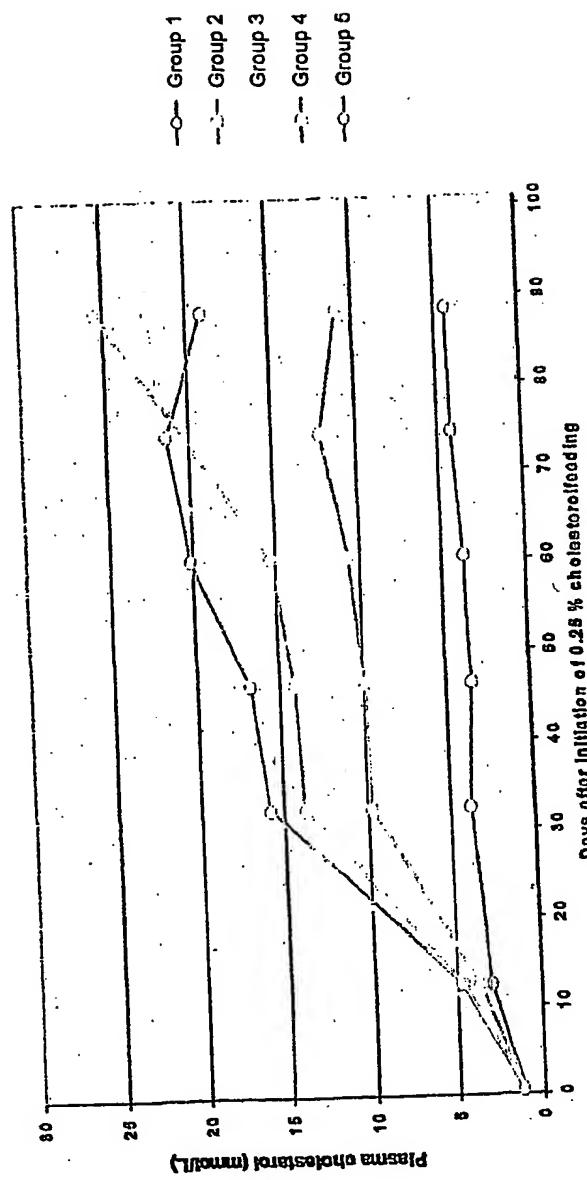
2/25

Figure 2



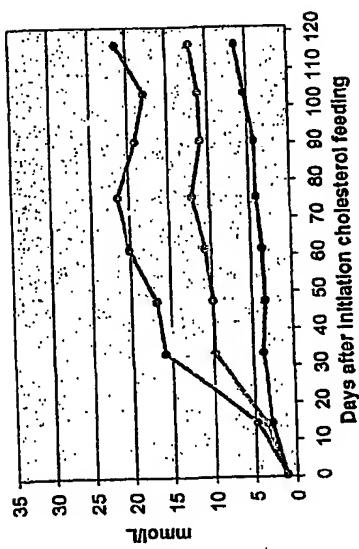
3/25

Figure 3.

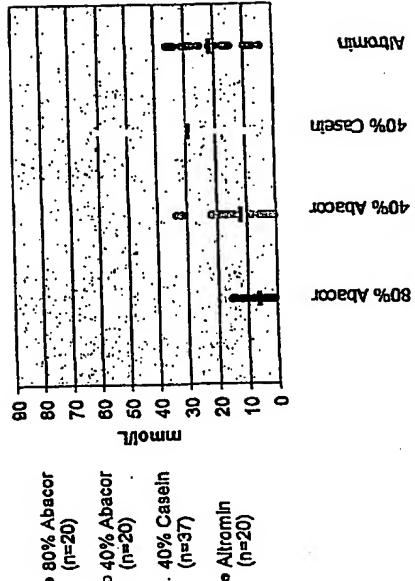


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**Figure 4**  
Development in plasma cholesterol levels  
during experimental period

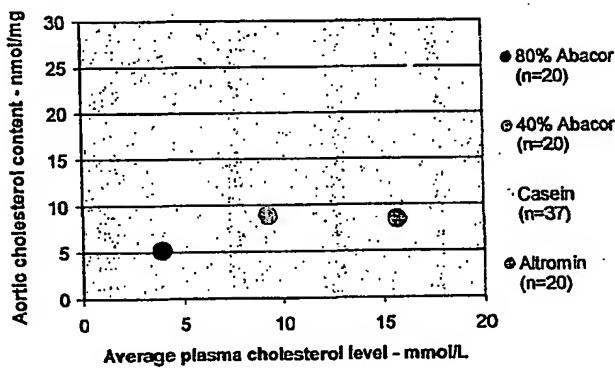


**Figure 5**  
Plasma cholesterol levels on day 116



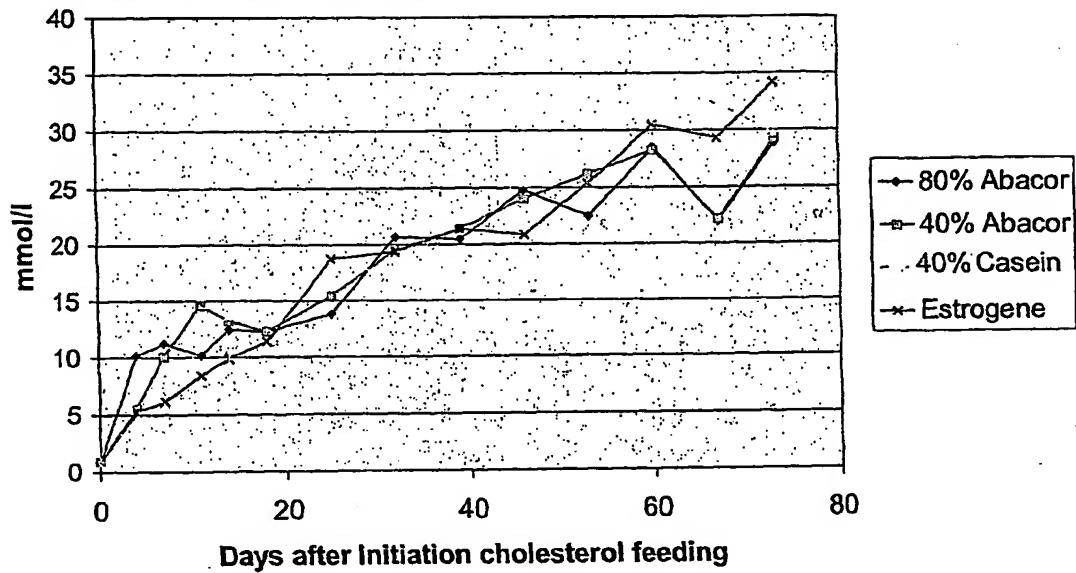
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**Figure 6**  
Cholesterol in plasma vs aortic cholesterol content

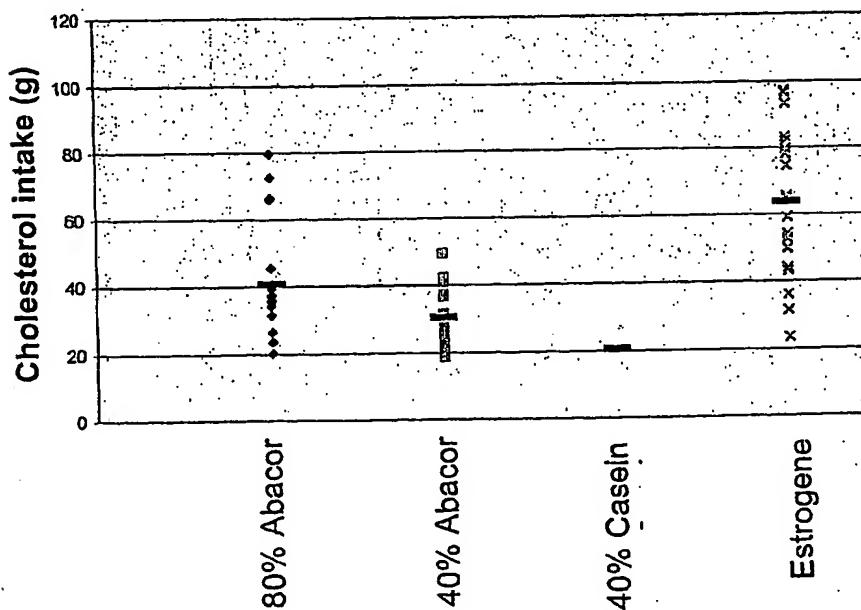


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**Figure 7**  
Development in plasma cholesterol levels during experimental period

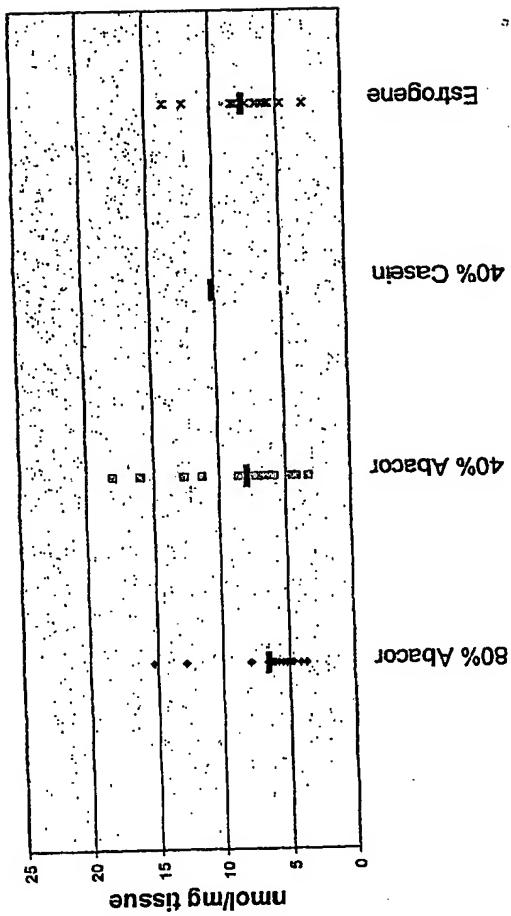


**Figure 8**  
Average Cholesterol intake during experimental period



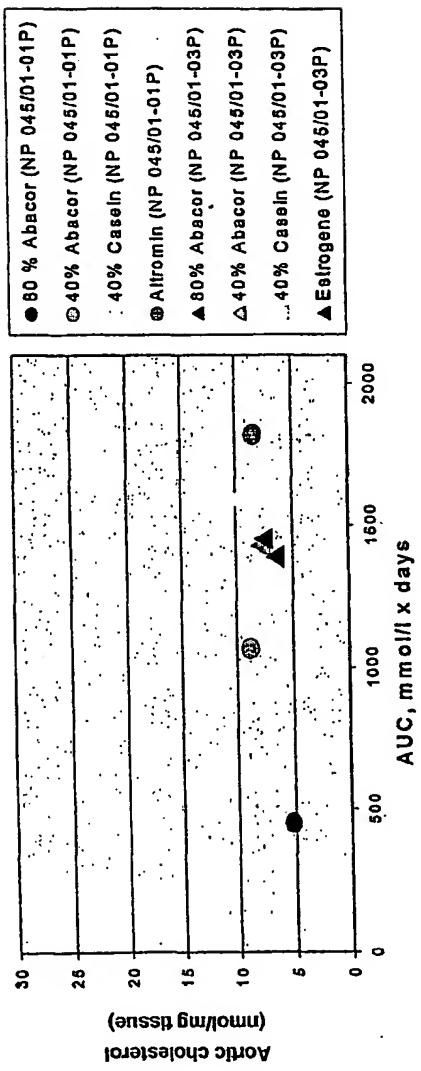
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Figure 9  
Total aortic cholesterol



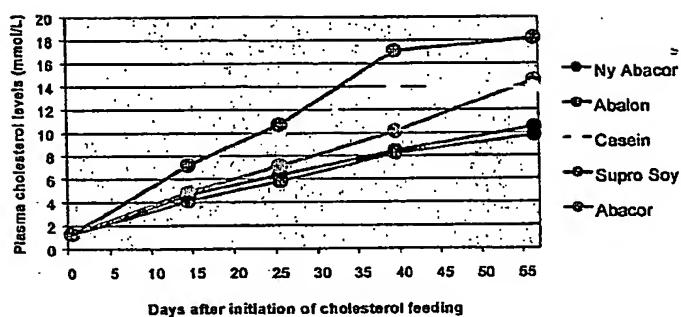
8/25

Figure 10  
Aortic versus plasma cholesterol

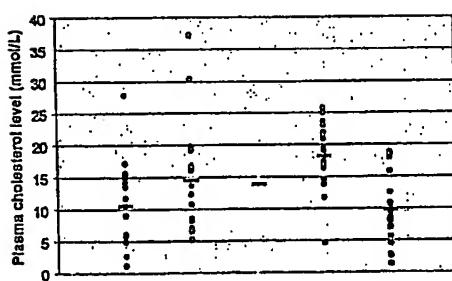


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**Figure 11**  
Development in plasma cholesterol  
levels during experimental period



**Figure 12**  
Individual plasma cholesterol levels  
on day 56



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**Figure 13**  
Aortic versus plasma cholesterol

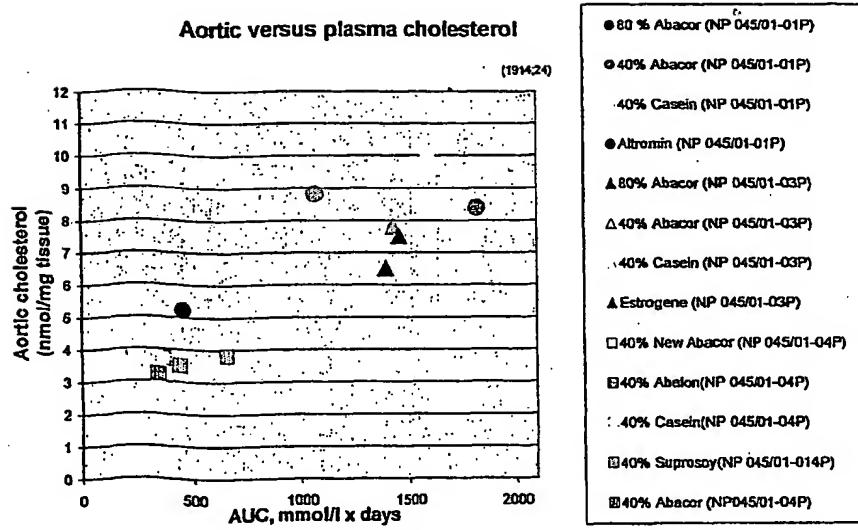
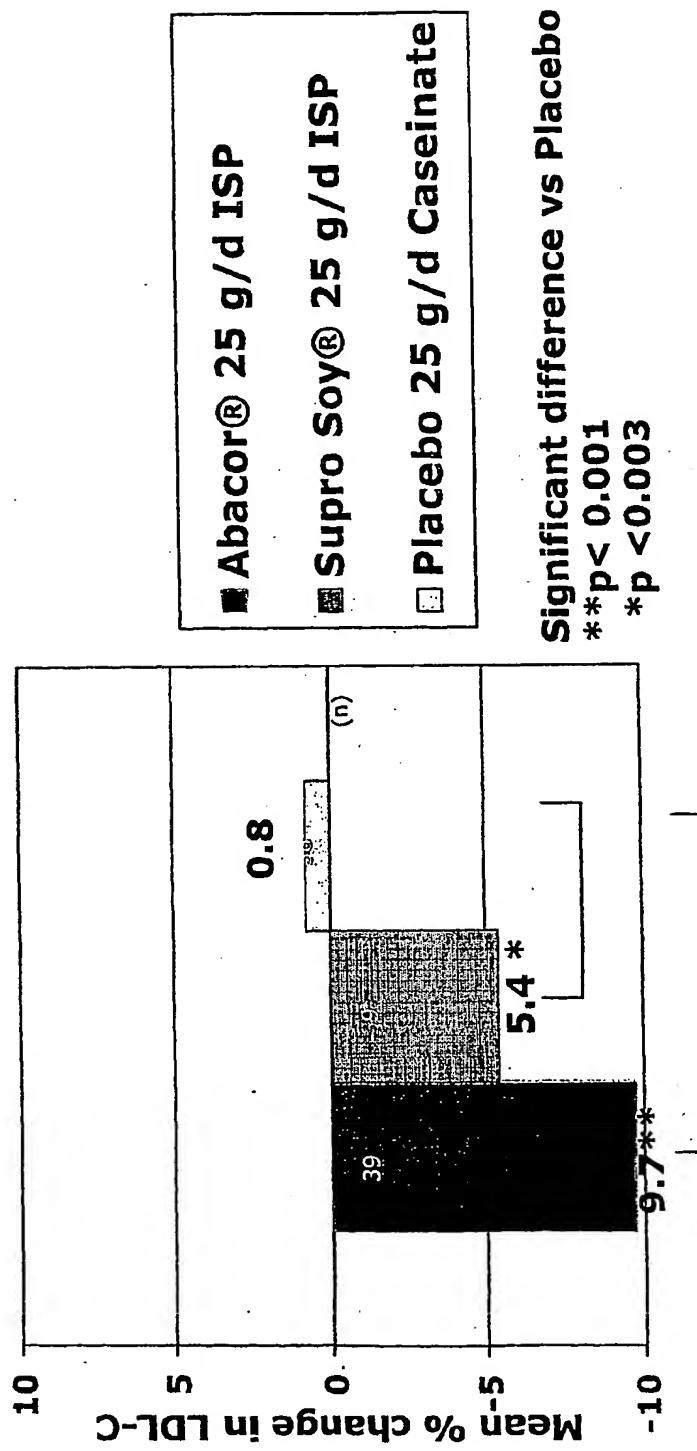


Figure 14 Change in LDL-CHOLESTEROL from baseline  
(8 weeks treatment period)



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Figure 15 LDL-CHOLESTEROL levels (mean  $\pm$  SEM mg/dl serum)  
during 8-week-treatment period

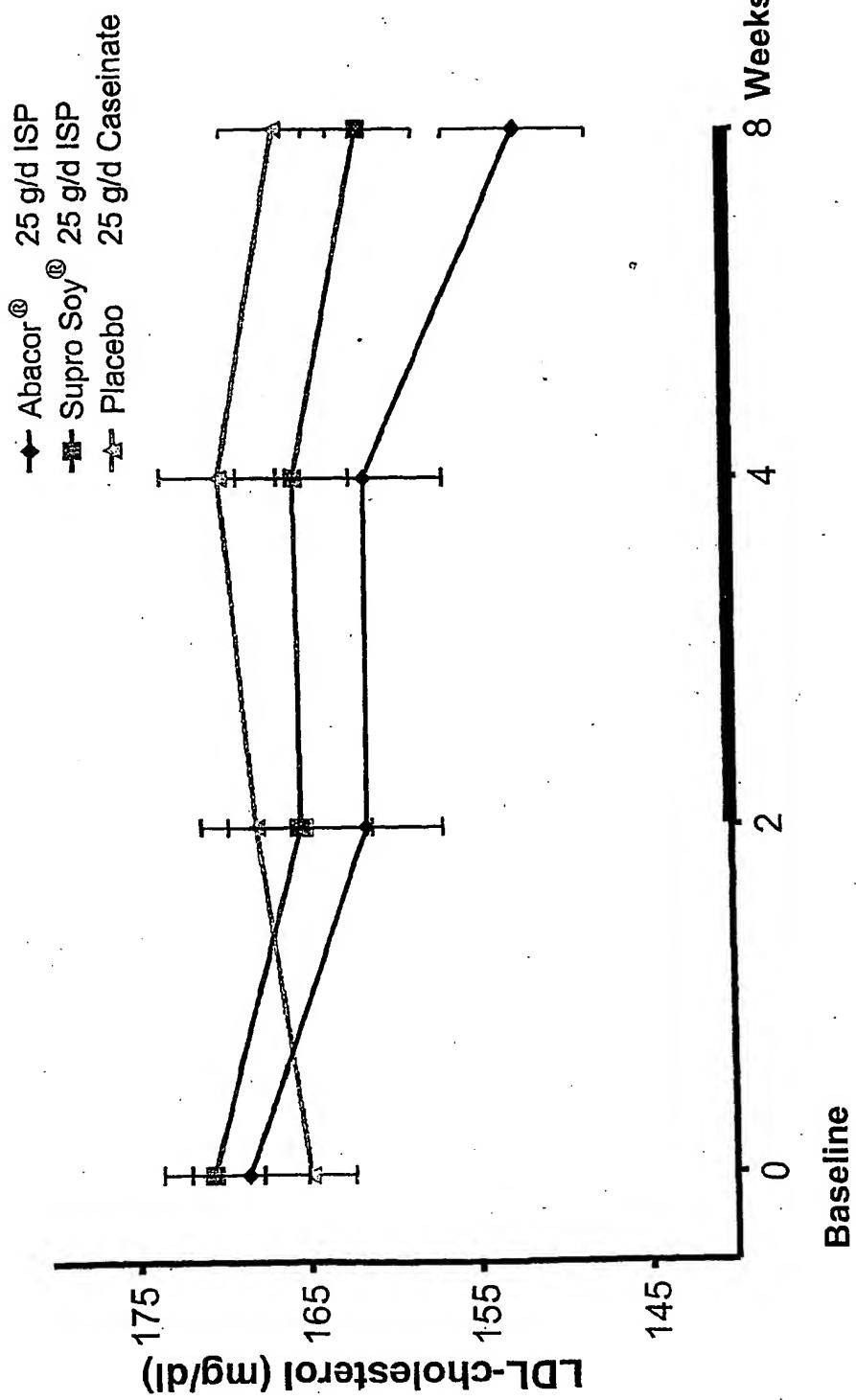


Figure 16 Change in TOTAL-CHOLESTEROL from baseline  
(8 weeks treatment period)

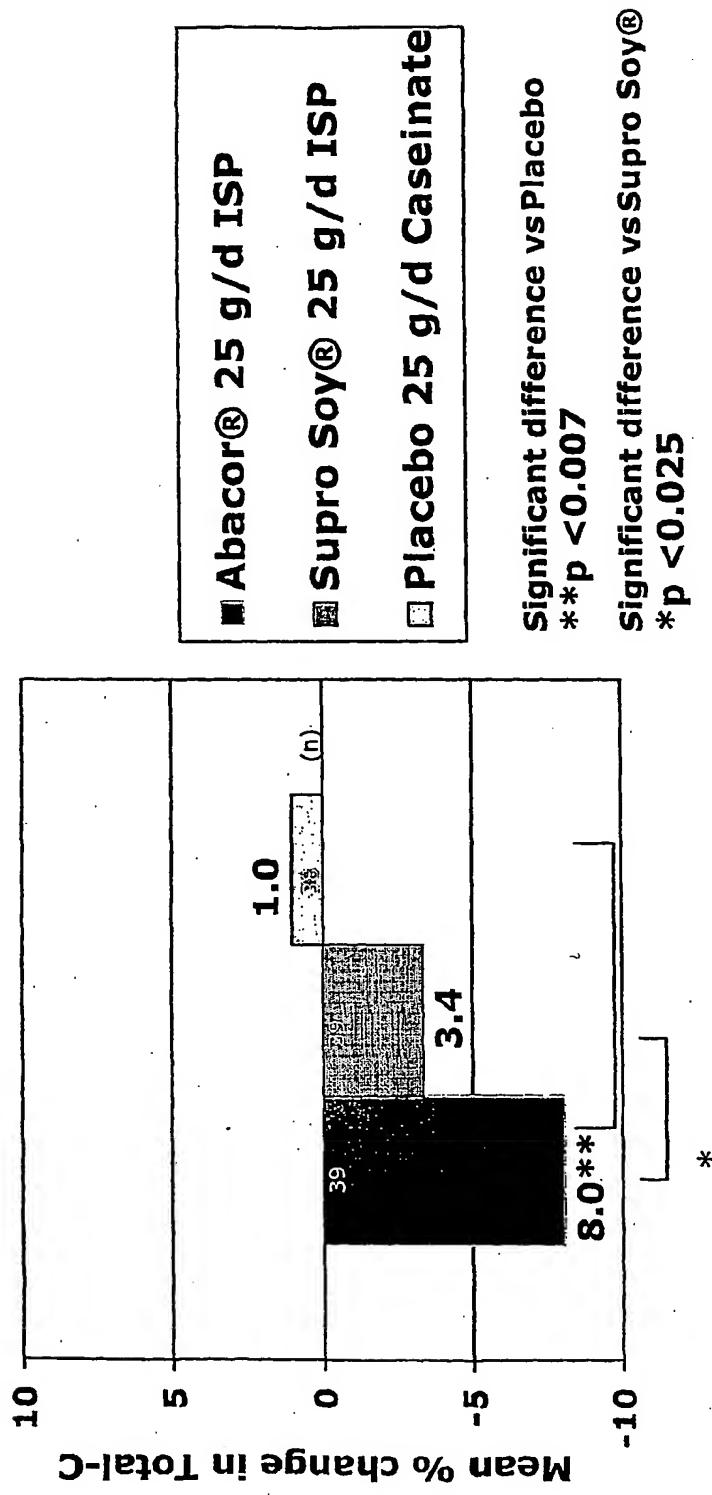


Figure 17 TOTAL CHOLESTEROL levels (mean  $\pm$  SEM mg/dl serum) during 8-week-treatment period

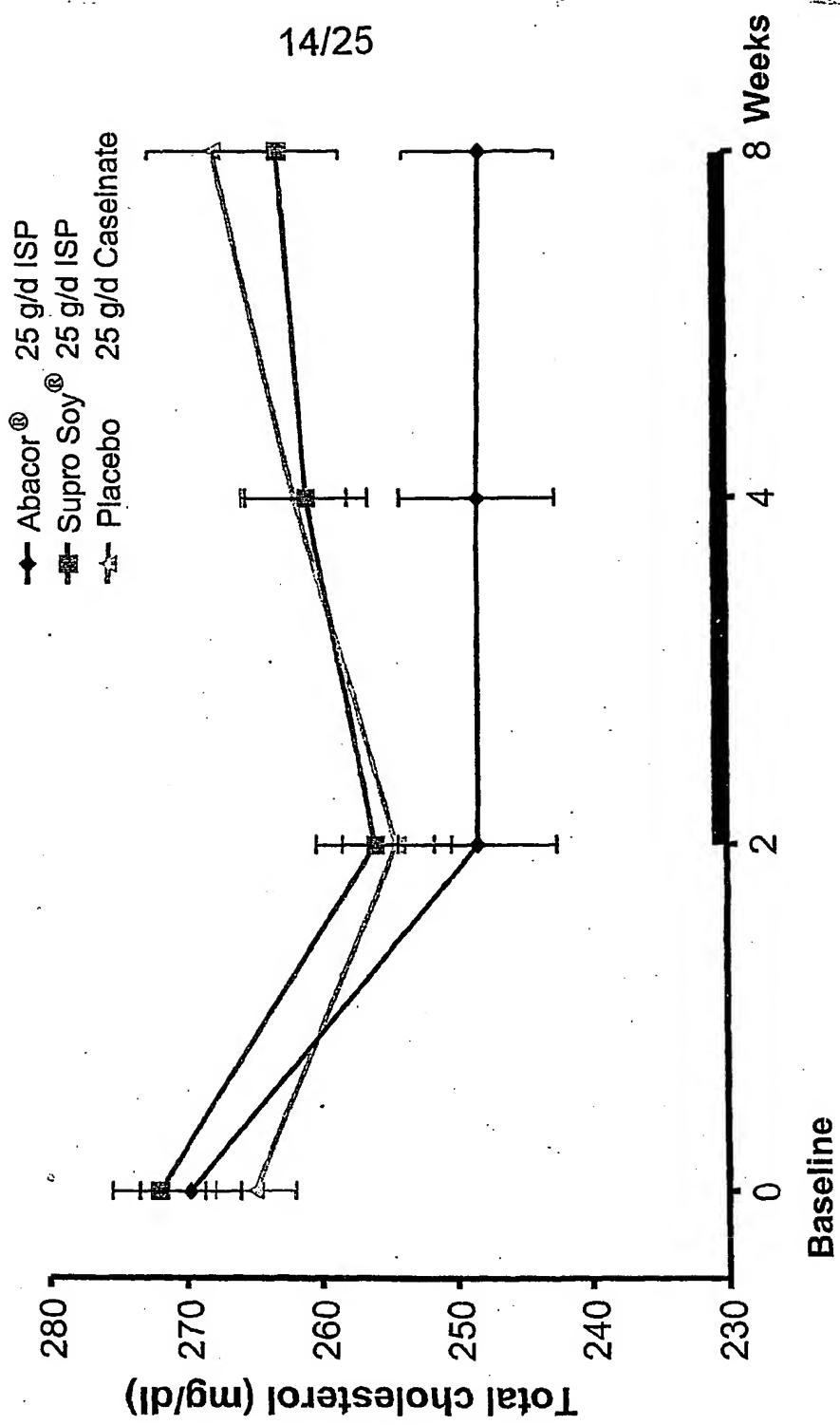


Figure 18 Change in APOLIPOPROTEIN B from baseline  
(8 weeks treatment period)

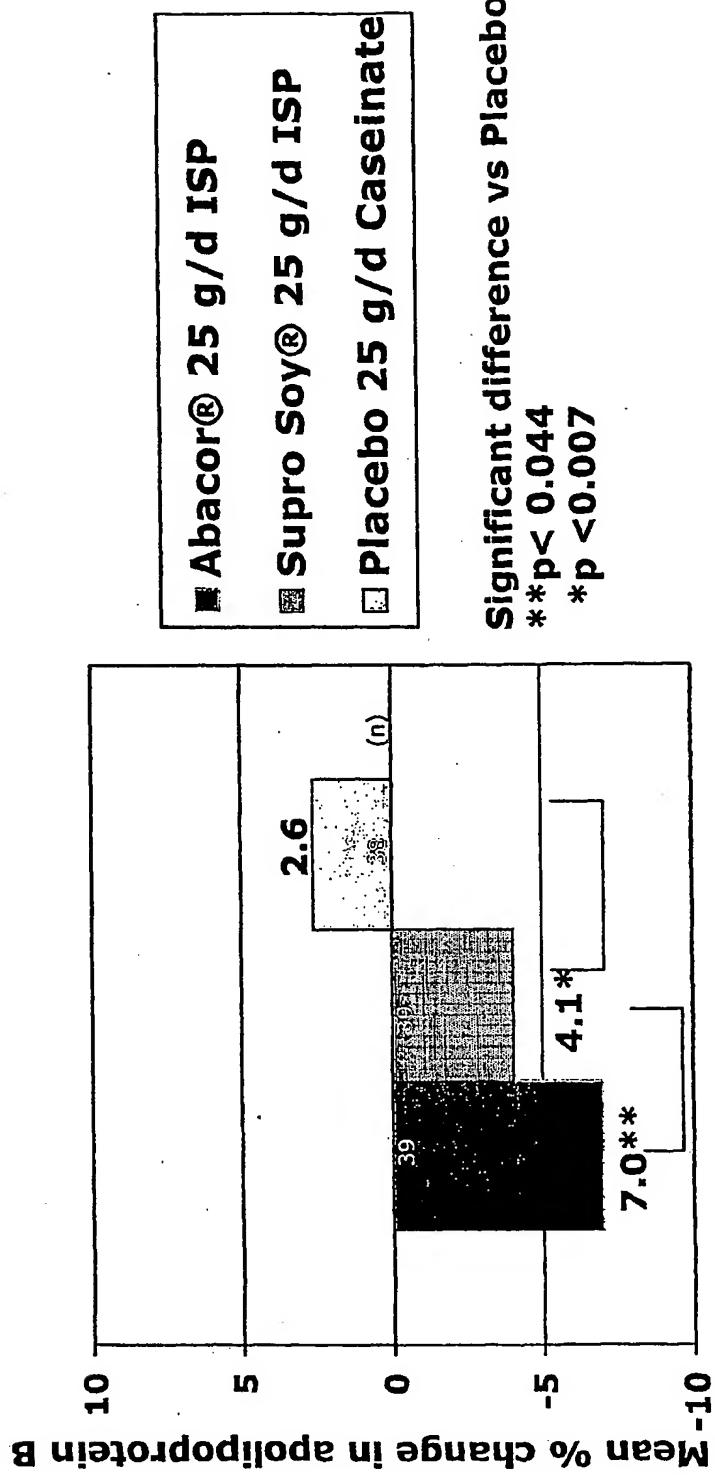


Figure 19 APOLIPROTEIN B levels (mean  $\pm$  SEM mg/dl serum) during 8-week-treatment period

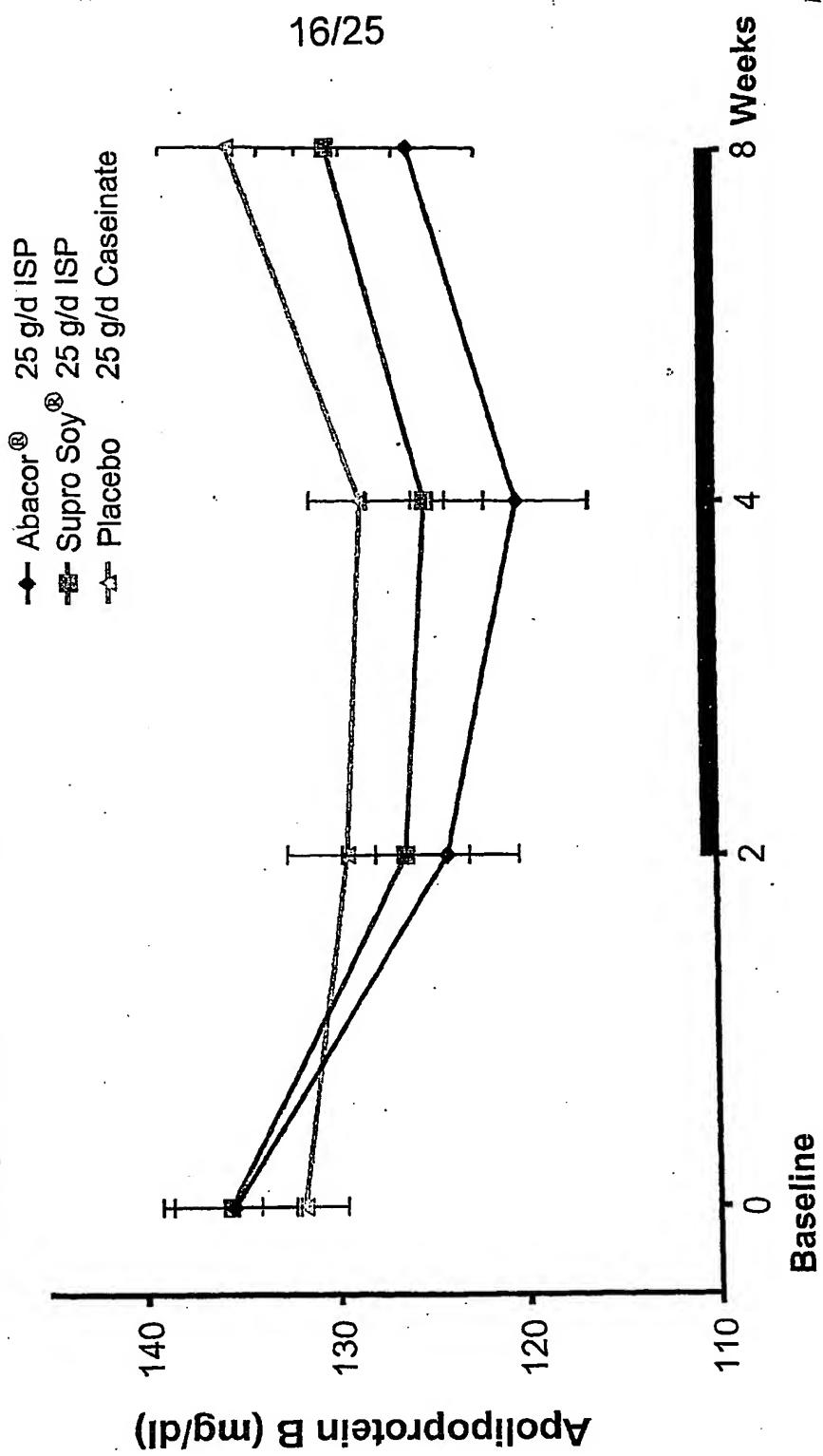


Figure 20 Change in TRIGLYCERIDES from baseline  
(8 weeks treatment period)

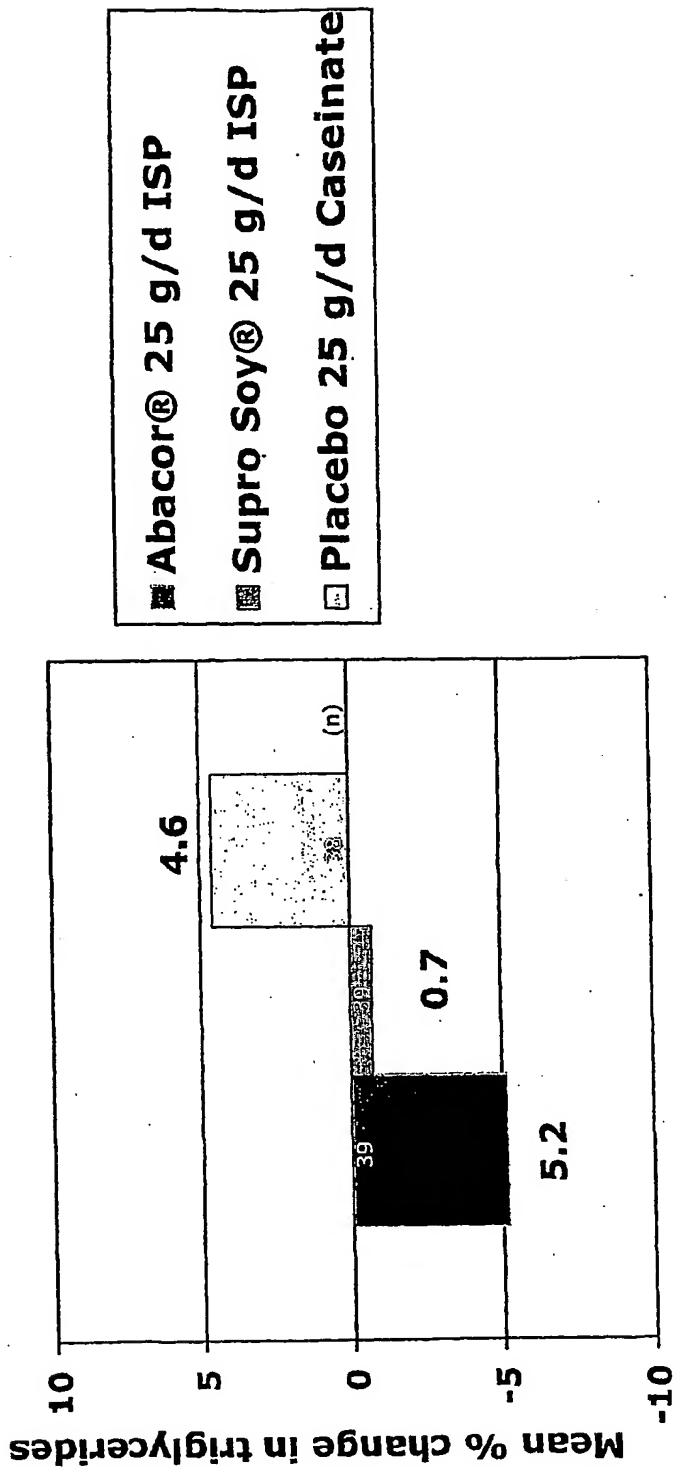


Figure 21 Lipid lowering effects of Abacor® and Supro Soy® in %  
(8 weeks treatment period)

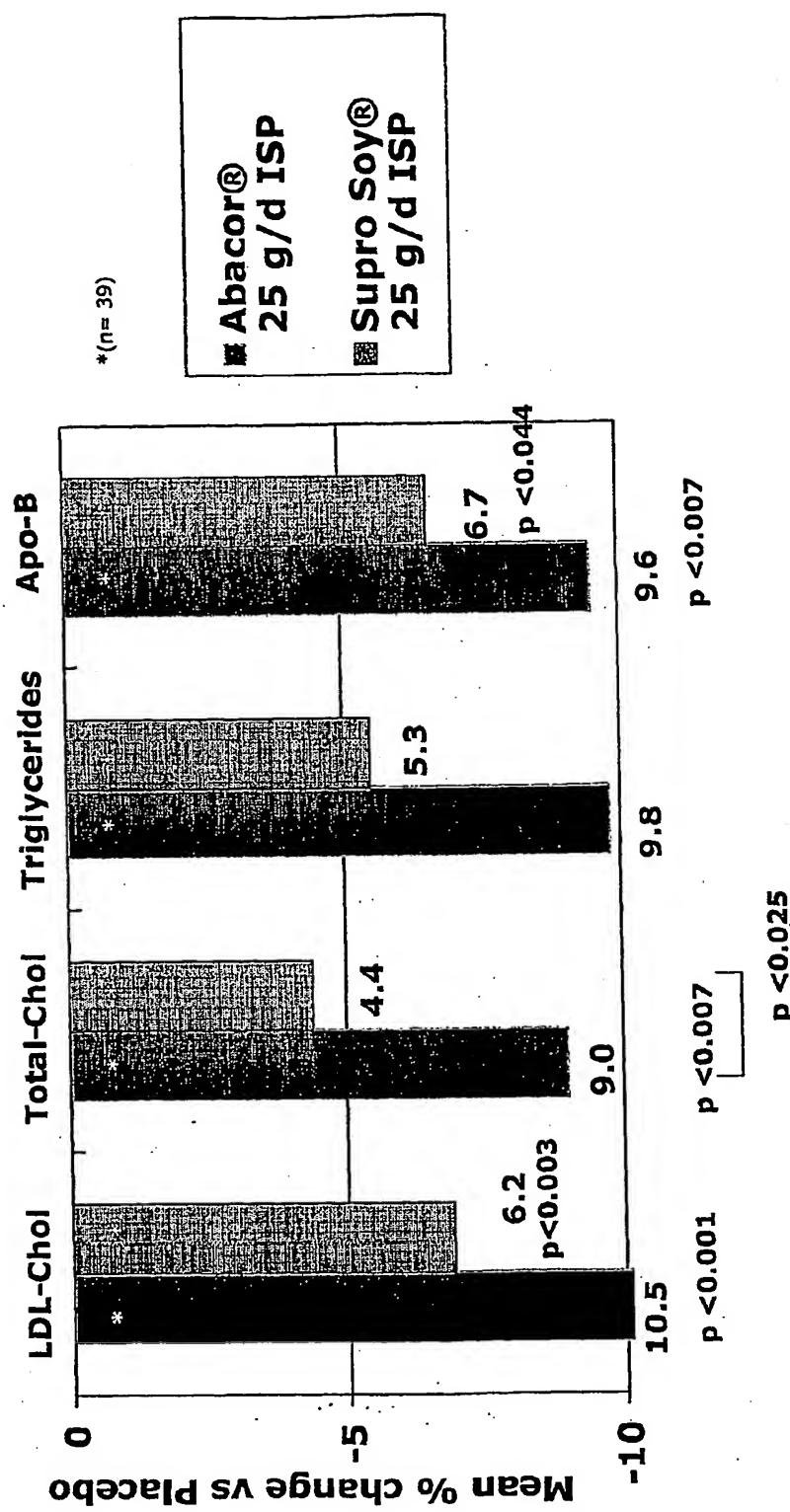
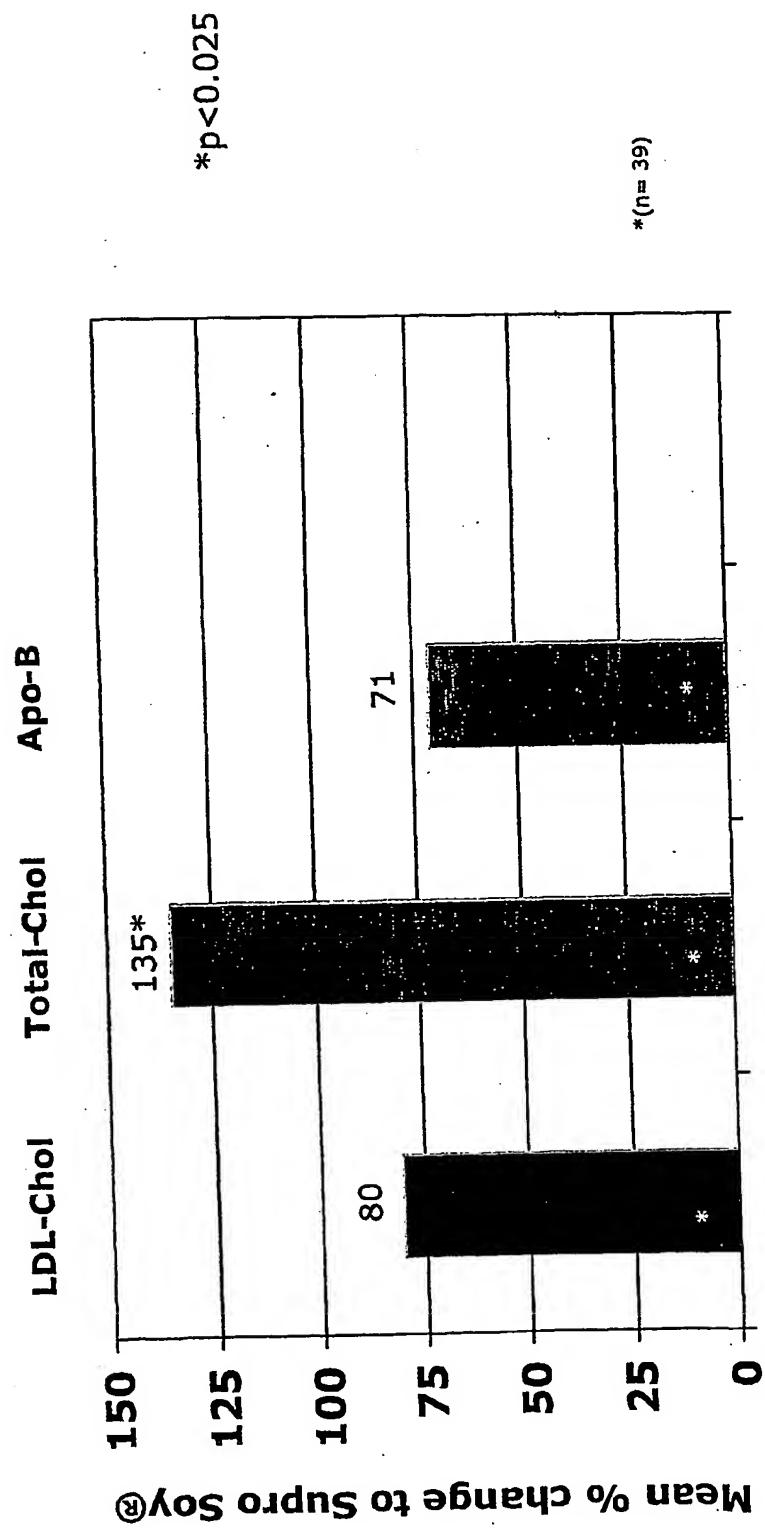
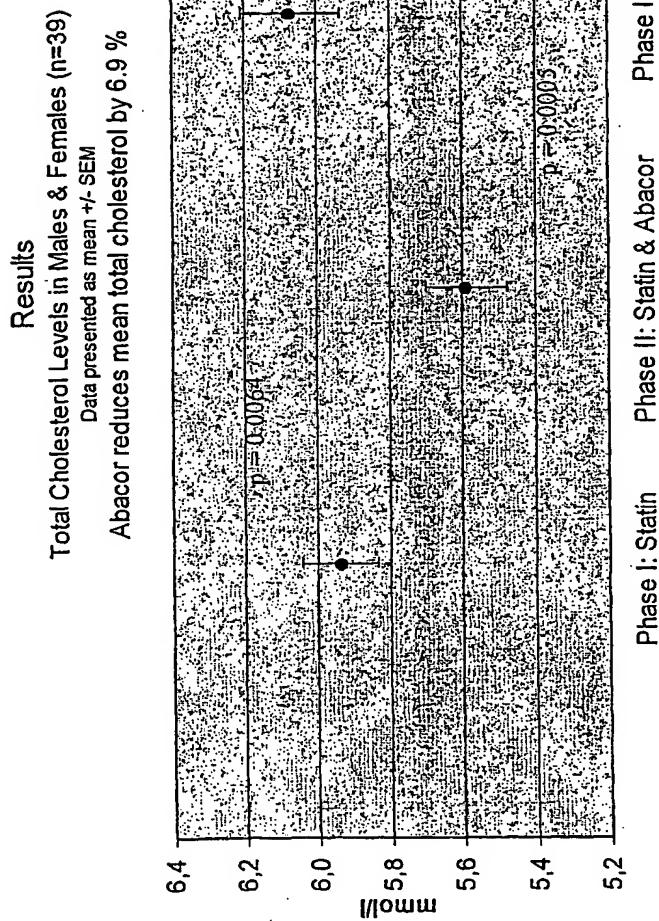


Figure 22 Superiority of lipid lowering effects  
of Abacor® to Supro Soy® in % (8 weeks treatment period)



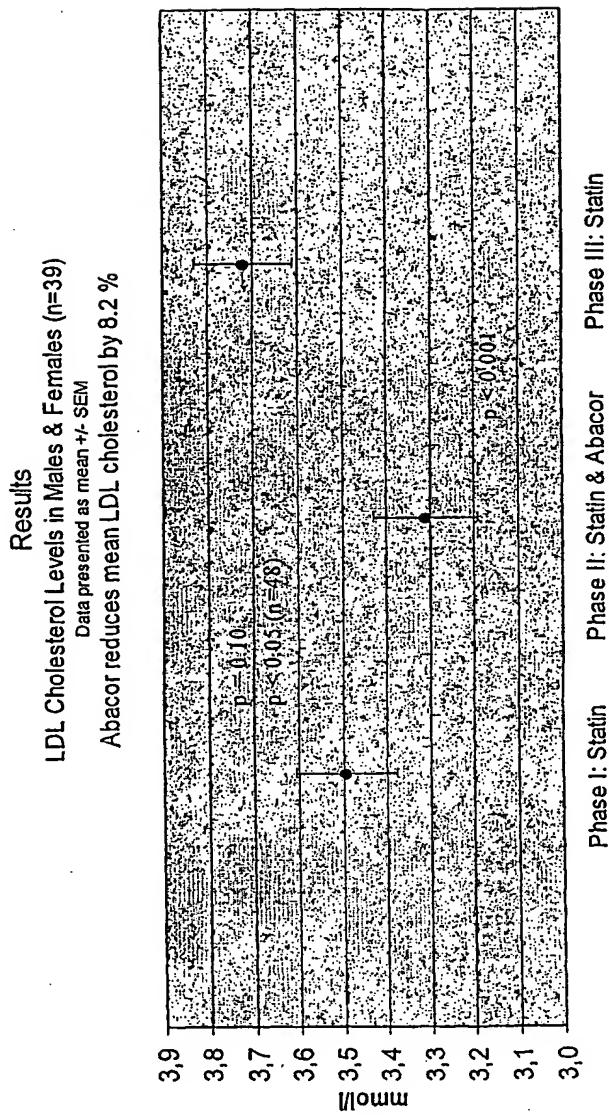
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FIGURE 23



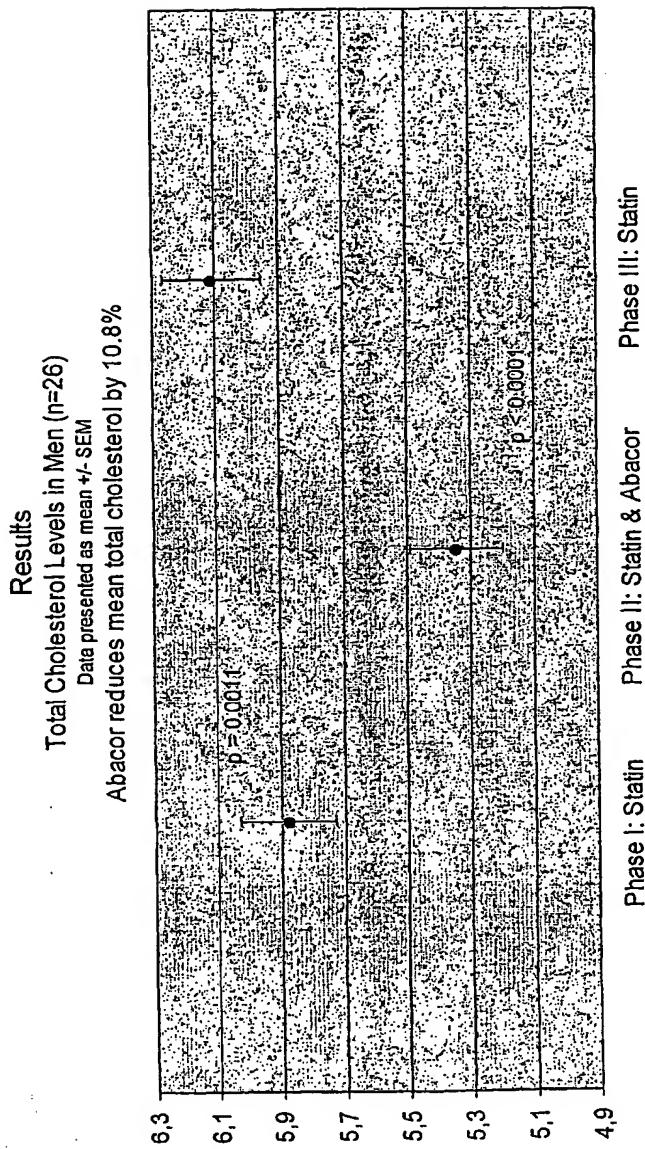
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FIGURE 24



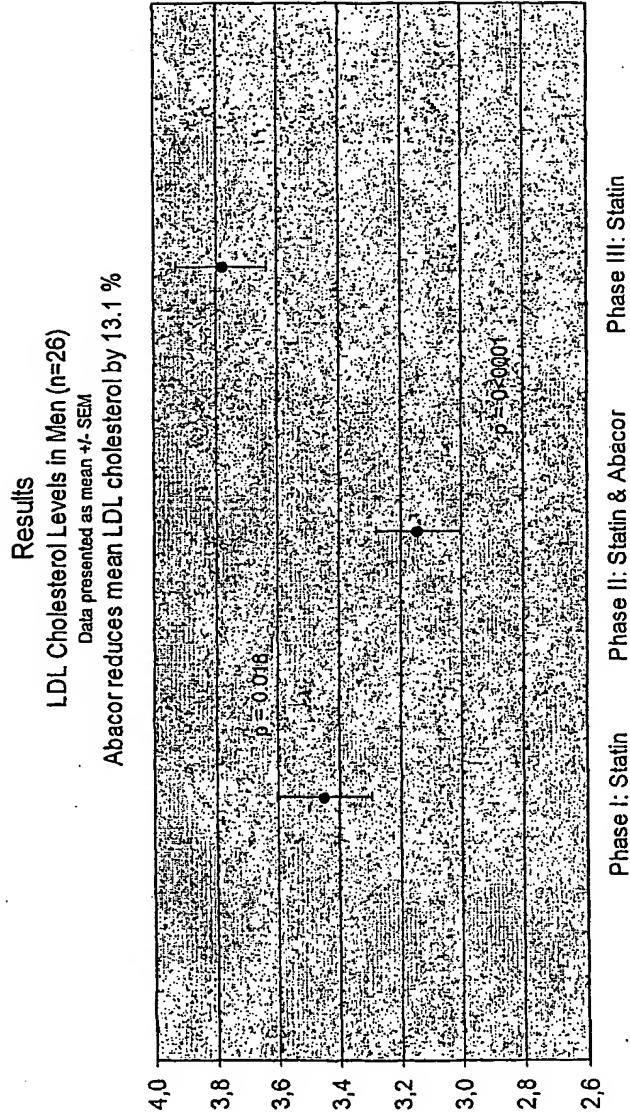
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FIGURE 25



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FIGURE 26



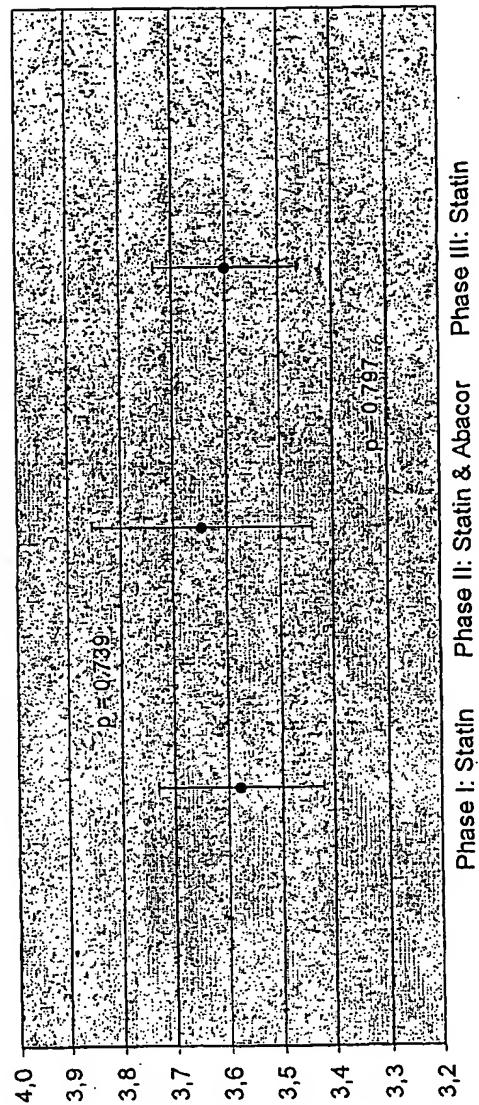
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FIGURE 27

**Results****LDL Cholesterol Levels in Women (n=13)**

Data presented as mean +/- SEM

Abacor did not reduce mean LDL cholesterol



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FIGURE 28

**Results**  
**Total Cholesterol Levels in Women (n=13)**  
Data presented as mean +/- SEM  
Abacor did not reduce mean total cholesterol

